

# **ME535**

## **Finite Element Analysis of Structures**

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**FEM theory and computations**

**2023**

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**Textbook: KJ Bathe, Finite element procedures, 1996, 2014**

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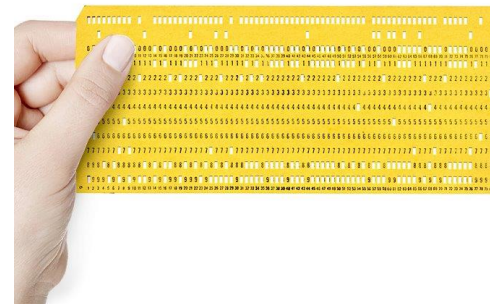
A3. ADINA Sessions

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## History of Finite Element Method

- Matrix Algebra, 1858, invented by Cayley... also by Grassmann
- Matrix Structural Analysis, 1930 (World war I), by Collar and Duncan
- First electronic commercial computer (UNIVAC I), 1951



- Delta Wing Challenge, early 1950s, by Turner & Clough  
→ "Finite element" was first named.



- Energy theorems and structural analysis: Basic concepts of FEM, early 1950s, by JH Argyris
- First book on FEM, 1967, by Zienkiewicz
- Mathematical foundation, 1973, by Strang → generalized and applied to various engineering fields
- Most commercial FEM software were originated in the 1970s  
→ ABAQUS, ADINA, ANSYS .....

## What is Finite Element Method?

- Physical problems in engineering and applied science
- Mathematical model (PDE, Partial Differential Equations)
- Simple problems → Exact closed-form solutions
  
- Most real-world problems: Very Complex (geometry, properties, boundary conditions)
- Exact solutions cannot be obtained.
- Approximate solutions are necessary!

- Finite Element Method (FEM)
  - ✓ One of approximate solution techniques. (actually, most successful in solid mechanics and structural engineering)
  - ✓ One of numerical solution methods (ex. FDM, FVM, BEM.....)
- FEM can easily handle very complex geometry (key to success), boundary conditions, linear/nonlinear and static/dynamic problems.
- “Commercialized”

## **Applications of FEM**

- Stress and thermal analyses of industrial parts such as electronic chips, electric devices, valves, pipes, pressure vessels, automotive engines and aircraft
- Seismic analysis of dams, power plants, cities and high-rise buildings
- Crash analysis of cars, trains, ships and aircraft
- Fluid flow analysis of coolant ponds, pollutants and contaminants, and air in ventilation systems
- Electromagnetic analysis of antennas, transistors and aircraft signature
- Analysis of surgical procedures such as plastic surgery, jaw reconstruction, correction of scoliosis and many others
- Fluid-structure interactions of wind turbines, floating structures, and many complicated mechanical systems

# 1. Tensors and Solid Mechanics

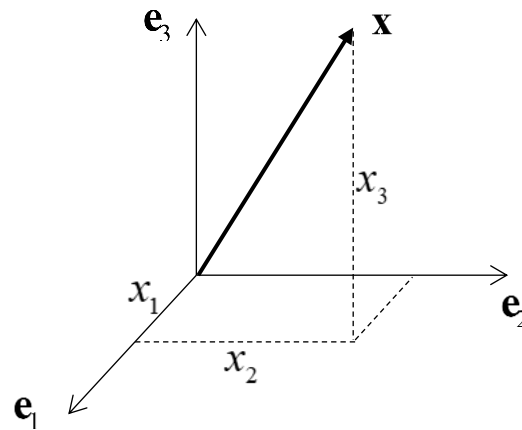
## Vector, Matrix and Tensor

- Scalar  $\alpha$  : A physical quantity that can be completely described by a real number.  
(Ex) length, temperature, ...
- Vector  $\mathbf{a}$  : A physical quantity that can be completely described by an array of numbers.  
(Ex) force, velocity, moment, ...
- Matrix  $\mathbf{A}$  : A physical quantity that can be completely described by an array of ordered numbers.  
(Ex) stress, strain, curvature, ...

What is Tensor? → "Generalized quantity"

Tensor = Scalar  $\cup$  Vector  $\cup$  Matrix  $\cup$  more ... etc

## (1) Vector algebra



(Cartesian coordinate system)

Geometric vector in 3D (position vector)

$$\mathbf{x} = x_1\mathbf{e}_1 + x_2\mathbf{e}_2 + x_3\mathbf{e}_3 \quad \text{with } \mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3 : \text{base vectors of the Cartesian coordinate system}$$

Note that the geometric representation of  $\mathbf{x}$  depends completely on the coordinate system chosen.

In general, the geometric vector is given by components and base vectors

$$\mathbf{x} = \sum_{i=1}^3 x_i \mathbf{q}_i \quad \rightarrow \quad \mathbf{x} = x_1 \mathbf{q}_1 + x_2 \mathbf{q}_2 + x_3 \mathbf{q}_3$$

Summation convention (Einstein convention)

$$\mathbf{x} = \sum_{i=1}^3 x_i \mathbf{q}_i = x_i \mathbf{q}_i$$

Tensorial representation of a vector

$$\mathbf{x} = x_i \mathbf{q}_i$$

In the Cartesian coordinate system given,

$$\mathbf{x} = x_i \mathbf{e}_i \quad \rightarrow \quad x_i$$

$$\mathbf{u} = u_i \mathbf{e}_i \quad \rightarrow \quad u_i$$

$$\mathbf{v} = v_i \mathbf{e}_i \quad \rightarrow \quad v_i$$

- Vector sum

$$\mathbf{c} = \mathbf{a} + \mathbf{b} \quad \rightarrow \quad c_i = a_i + b_i$$

- Scalar multiplication

$$\mathbf{b} = \alpha \mathbf{a} \quad \rightarrow \quad b_i = \alpha a_i$$

- Dot product

$$\alpha = \mathbf{a} \cdot \mathbf{b} = a_1 b_1 + a_2 b_2 + a_3 b_3 \quad \rightarrow \quad \alpha = a_i b_i$$

- Cross product

$$\mathbf{c} = \mathbf{a} \times \mathbf{b} = (a_2 b_3 - a_3 b_2) \mathbf{e}_1 + (a_3 b_1 - a_1 b_3) \mathbf{e}_2 + (a_1 b_2 - a_2 b_1) \mathbf{e}_3 \quad \rightarrow \quad c_i = \varepsilon_{ijk} a_j b_k$$

$$\varepsilon_{ijk} \text{ is "permutation symbol" defined as } \varepsilon_{ijk} = \begin{cases} 0 & \text{for } i = j, j = k \text{ or } k = i \\ 1 & \text{for } i, j, k \in \{(1, 2, 3), (2, 3, 1), (3, 1, 2)\} \\ -1 & \text{for } i, j, k \in \{(1, 3, 2), (3, 2, 1), (2, 1, 3)\} \end{cases}$$

## (2) Matrix algebra

A set of linear equations

$$3x_1 + 3x_2 - x_3 = 1$$

$$2x_1 + 7x_2 + 3x_3 = -3$$

$$x_1 - x_2 - x_3 = 4$$

In matrix form,

$$\begin{bmatrix} 3 & 3 & -1 \\ 2 & 7 & 3 \\ 1 & -1 & -1 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} = \begin{bmatrix} 1 \\ -3 \\ 4 \end{bmatrix}, \quad \mathbf{Ax} = \mathbf{b}$$

In 3D geometry,

$$\mathbf{A} = \sum_{i=1}^3 \sum_{j=1}^3 a_{ij} (\mathbf{e}_i \otimes \mathbf{e}_j) = a_{ij} (\mathbf{e}_i \otimes \mathbf{e}_j) \quad (a_{ij} : \text{Components of matrix } \mathbf{A})$$

(Note)  $\otimes$ : Tensor product

$$\mathbf{e}_1 \otimes \mathbf{e}_2 = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} \begin{bmatrix} 0 & 1 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$$\mathbf{A} = a_{11}(\mathbf{e}_1 \otimes \mathbf{e}_1) + a_{12}(\mathbf{e}_1 \otimes \mathbf{e}_2) + \dots + a_{33}(\mathbf{e}_3 \otimes \mathbf{e}_3)$$

$$= a_{11} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} + a_{12} \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} + \dots + a_{33} \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

- Matrix sum

$$\mathbf{C} = \mathbf{A} + \mathbf{B} \rightarrow c_{ij} = a_{ij} + b_{ij}$$

- Scalar multiplication

$$\mathbf{B} = \alpha \mathbf{A} \rightarrow b_{ij} = \alpha a_{ij}$$

- Matrix multiplication

$$\mathbf{A} = \mathbf{B}\mathbf{C} \rightarrow a_{ij} = b_{ik}c_{kj}$$

- Identity matrix

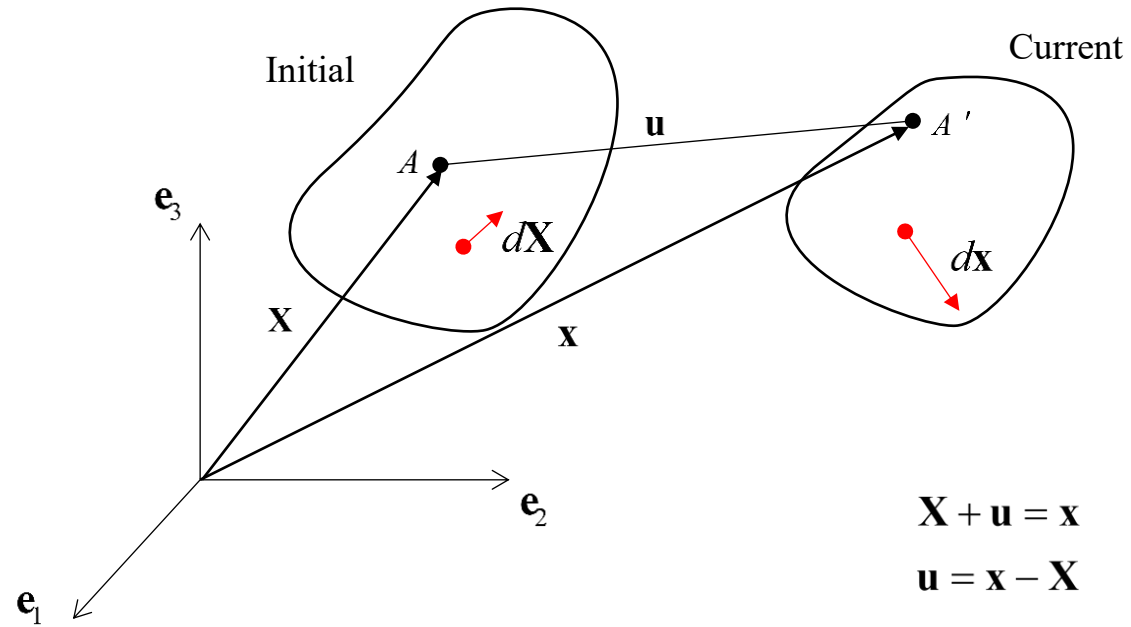
$$\mathbf{I} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \rightarrow \delta_{ij} = \begin{cases} 1 & i = j \\ 0 & i \neq j \end{cases} \text{ (Kronecker delta)}$$

- A set of linear equations

$$\mathbf{A}\mathbf{x} = \mathbf{b} \rightarrow a_{ij}x_j = b_i$$

## Review of Solid Mechanics

### (1) Deformation and strain



$$x_1 = x_1(X_1, X_2, X_3)$$

$$x_2 = x_2(X_1, X_2, X_3)$$

$$x_3 = x_3(X_1, X_2, X_3)$$

Let us consider material vectors  $d\mathbf{X}$  and  $d\mathbf{x}$  in the initial and current configurations.

$$d\mathbf{X} = \begin{bmatrix} dX_1 \\ dX_2 \\ dX_3 \end{bmatrix}, \quad d\mathbf{x} = \begin{bmatrix} dx_1 \\ dx_2 \\ dx_3 \end{bmatrix}$$

Then, the following relation is given

$$d\mathbf{x} = \mathbf{F}d\mathbf{X},$$

in which  $\mathbf{F}$  is "deformation tensor" (deformation gradient).

$$\mathbf{F} = \frac{\partial x_i}{\partial X_j} (\mathbf{e}_i \otimes \mathbf{e}_j) = \begin{bmatrix} \frac{\partial x_1}{\partial X_1} & \frac{\partial x_1}{\partial X_2} & \frac{\partial x_1}{\partial X_3} \\ \frac{\partial x_2}{\partial X_1} & \frac{\partial x_2}{\partial X_2} & \frac{\partial x_2}{\partial X_3} \\ \frac{\partial x_3}{\partial X_1} & \frac{\partial x_3}{\partial X_2} & \frac{\partial x_3}{\partial X_3} \end{bmatrix}$$

Strain is the geometrical measure of deformation representing the “relative displacements” between material points.

Green-Lagrange strain tensor

$$\mathbf{E} = \frac{1}{2}(\mathbf{F}^T \mathbf{F} - \mathbf{I}) = \begin{bmatrix} E_{11} & E_{12} & E_{13} \\ E_{21} & E_{22} & E_{23} \\ E_{31} & E_{32} & E_{33} \end{bmatrix}$$

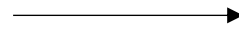
When deformation is “small”, the small strain tensor  $\varepsilon$  is defined as

$$\varepsilon_{ij} = \frac{1}{2} \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right) = \begin{bmatrix} \frac{\partial u_1}{\partial x_1} & \frac{1}{2} \left( \frac{\partial u_1}{\partial x_2} + \frac{\partial u_2}{\partial x_1} \right) & \frac{1}{2} \left( \frac{\partial u_1}{\partial x_3} + \frac{\partial u_3}{\partial x_1} \right) \\ \frac{1}{2} \left( \frac{\partial u_2}{\partial x_1} + \frac{\partial u_1}{\partial x_2} \right) & \frac{\partial u_2}{\partial x_2} & \frac{1}{2} \left( \frac{\partial u_2}{\partial x_3} + \frac{\partial u_3}{\partial x_2} \right) \\ \frac{1}{2} \left( \frac{\partial u_3}{\partial x_1} + \frac{\partial u_1}{\partial x_3} \right) & \frac{1}{2} \left( \frac{\partial u_3}{\partial x_2} + \frac{\partial u_2}{\partial x_3} \right) & \frac{\partial u_3}{\partial x_3} \end{bmatrix}$$

## (2) Momentum balance and stress

( Newton's law )

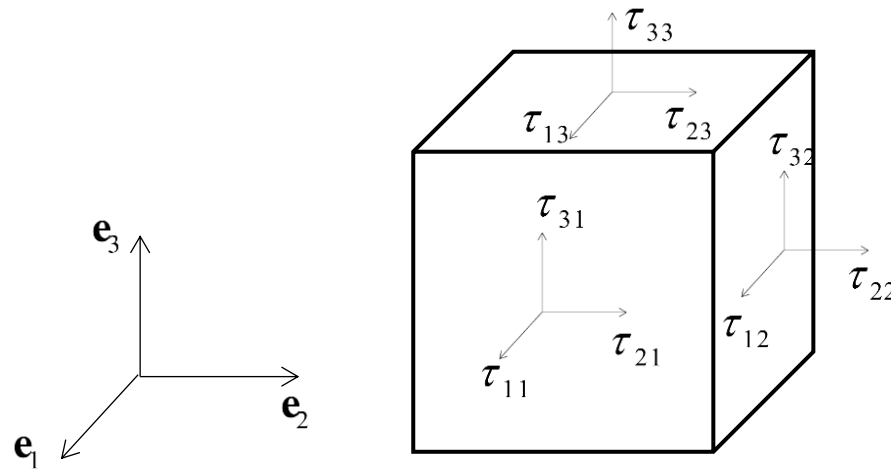
$$\mathbf{F} = m\mathbf{a}$$



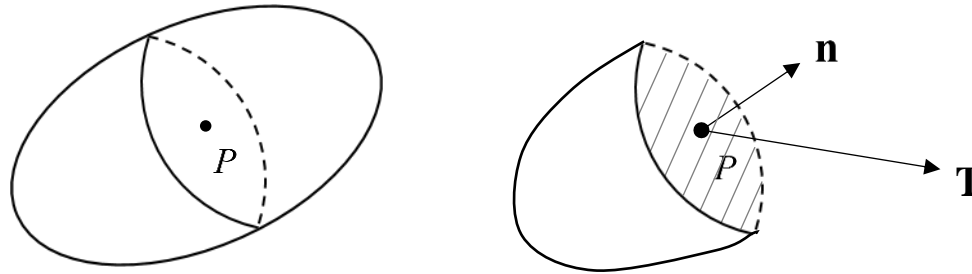
( Momentum balance )

Linear momentum :  $m\mathbf{V}$

Angular momentum :  $\mathbf{x} \times m\mathbf{V}$



$$\boldsymbol{\tau} = \tau_{ij}(\mathbf{e}_i \otimes \mathbf{e}_j) = \begin{bmatrix} \tau_{11} & \tau_{12} & \tau_{13} \\ \tau_{21} & \tau_{22} & \tau_{23} \\ \tau_{31} & \tau_{32} & \tau_{33} \end{bmatrix} \quad (\text{Cauchy stress tensor})$$



(a) Traction

$$\tau_{ij}n_j = T_i \quad \text{or} \quad \boldsymbol{\tau}\mathbf{n} = \mathbf{T}$$

(b) Local equilibrium

$$\tau_{ij,j} + f_i^B = 0 \quad \text{or} \quad \text{div}(\boldsymbol{\tau}) + \mathbf{f}^B = 0 \quad \leftarrow \quad \tau_{ij,j} = \frac{\partial \tau_{ij}}{\partial x_j}$$

$$\frac{\partial \tau_{11}}{\partial x_1} + \frac{\partial \tau_{12}}{\partial x_2} + \frac{\partial \tau_{13}}{\partial x_3} + f_1^B = 0$$

$$\frac{\partial \tau_{21}}{\partial x_1} + \frac{\partial \tau_{22}}{\partial x_2} + \frac{\partial \tau_{23}}{\partial x_3} + f_2^B = 0$$

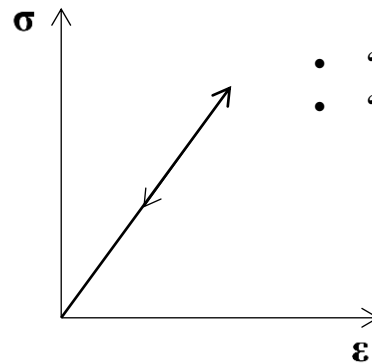
$$\frac{\partial \tau_{31}}{\partial x_1} + \frac{\partial \tau_{32}}{\partial x_2} + \frac{\partial \tau_{33}}{\partial x_3} + f_3^B = 0$$

### (3) Elasticity and material law

$$\boldsymbol{\tau} = \boldsymbol{\tau}(\boldsymbol{\varepsilon}) \quad \text{or} \quad \boldsymbol{\varepsilon} = \boldsymbol{\varepsilon}(\boldsymbol{\tau})$$

For linear 3D elastic material:  $\boldsymbol{\tau} = \mathbf{C} : \boldsymbol{\varepsilon} \quad \rightarrow \quad \tau_{ij} = C_{ijkl} \varepsilon_{kl}$  with  $C_{ijkl}$ : 4<sup>th</sup> order tensor

Isotropic linear elastic material



- “Linear”
- “Elastic”: loading path = unloading path

$$\tau_{ij} = \lambda \varepsilon_{kk} \delta_{ij} + 2G \varepsilon_{ij} \quad \text{with Lamé's constants } \lambda \text{ and } G$$

#### (4) Governing equations

- Equilibrium in  $V$  and on  $S_f$

$$\tau_{ij,j} + f_i^B = 0 \quad \text{in } V \text{ (Local equilibrium)}$$

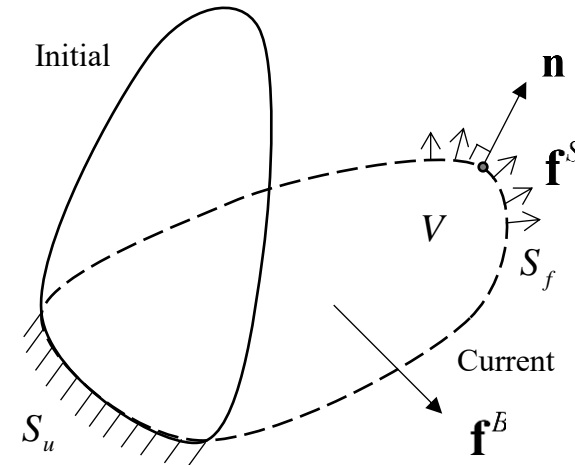
$$\tau_{ij}n_j = f_i^S \quad \text{on } S_f \text{ (Force BC)}$$

- Compatibility

$$u_i = 0 \quad \text{on } S_u \text{ (Displacement BC)}$$

- Material law (stress-strain law)

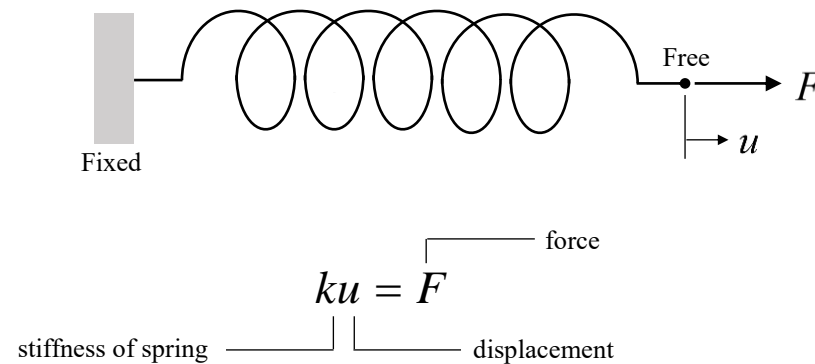
$$\tau_{ij} = \tau_{ij}(\varepsilon_{kl})$$



## 2. Matrix Structural Analysis

### Hooke's law

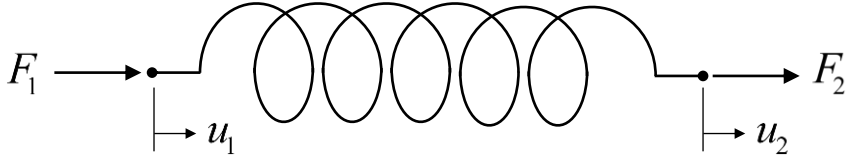
Single DOF spring system:



Degrees of freedom (DOFs):

DOFs are the set of independent variables to describe a system. In mechanics, DOFs are the set of independent displacements and/or rotations.

A spring with free boundary

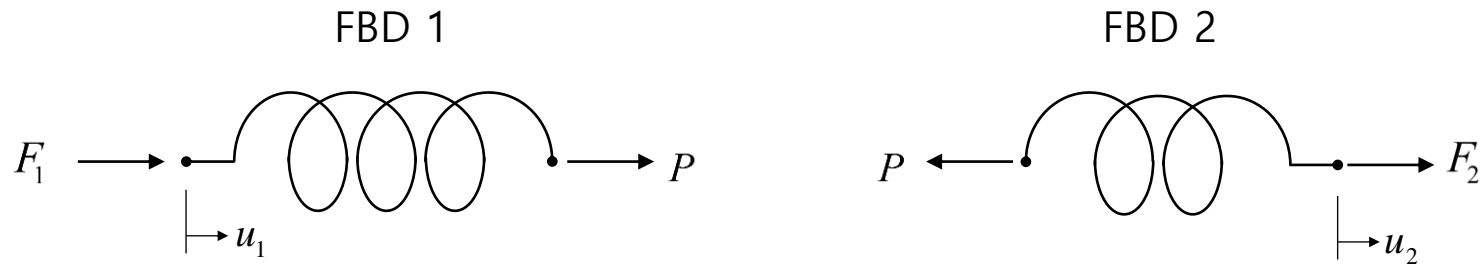


$$\begin{bmatrix} k_{11} & k_{12} \\ k_{21} & k_{22} \end{bmatrix} \begin{bmatrix} u_1 \\ u_2 \end{bmatrix} = \begin{bmatrix} F_1 \\ F_2 \end{bmatrix} \quad ; \text{ 2 DOFs system}$$

Stiffness matrix      Displacement vector      Force vector

**KU = F** ; "Equilibrium eqn of a spring"

Let us find the stiffness matrix  $\mathbf{K}$  of the single spring.



$P$  : internal force ( tension +, compression - )

FBD : free body diagram

Equilibrium

$$F_1 + P = 0 \quad \text{for FBD 1}$$

$$-P + F_2 = 0 \quad \text{for FBD 2}$$

$$\rightarrow \therefore F_1 = -P, \quad F_2 = P$$

Stretch kinematics

$$u_2 - u_1 = \Delta u$$

Hooke's law

$$k\Delta u = P$$

$$k(u_2 - u_1) = P$$

A set of 2 linear equations

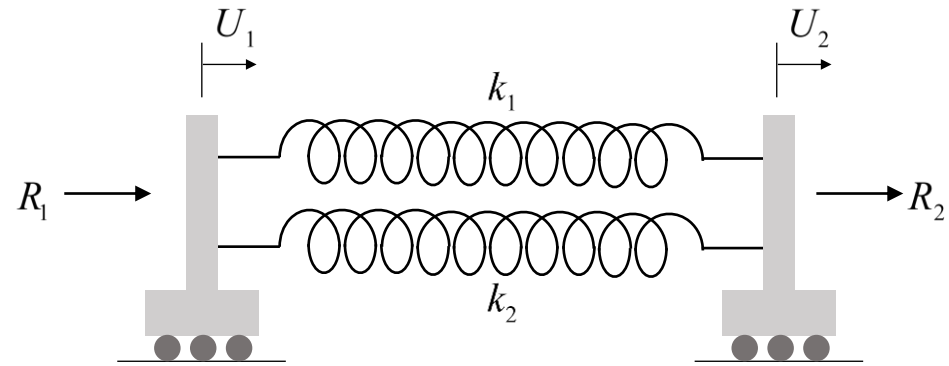
$$-k(u_2 - u_1) = F_1$$

$$k(u_2 - u_1) = F_2$$

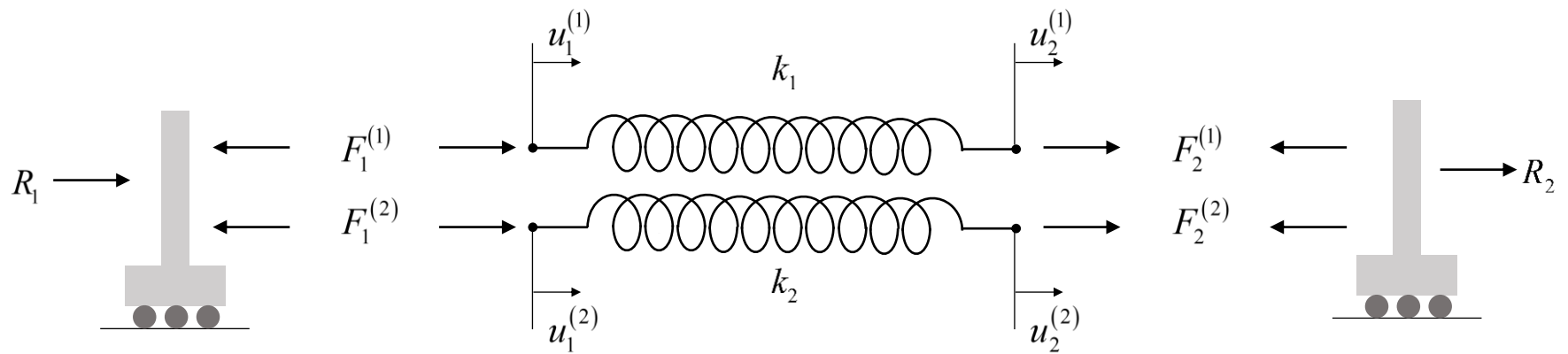
In matrix form

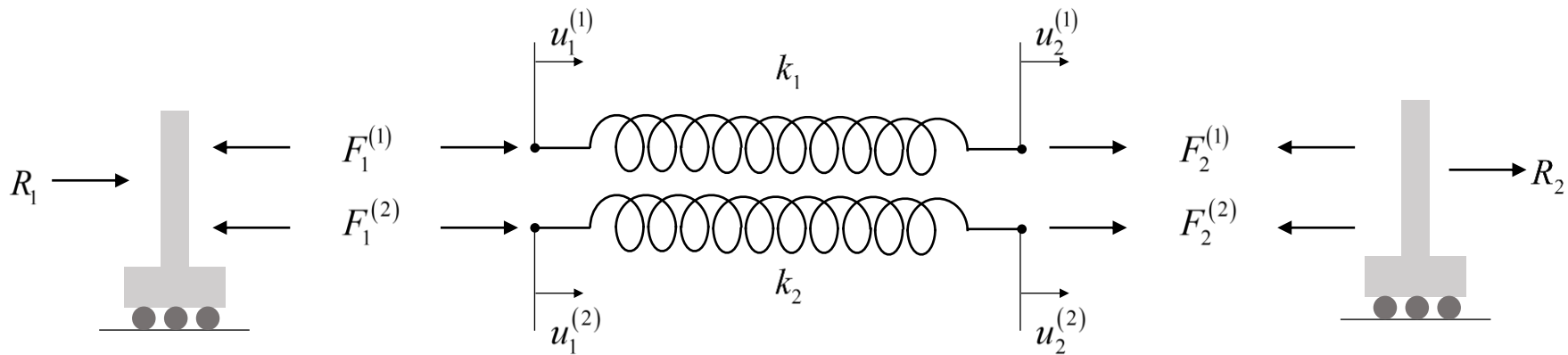
$$\begin{bmatrix} k & -k \\ -k & k \end{bmatrix} \begin{bmatrix} u_1 \\ u_2 \end{bmatrix} = \begin{bmatrix} F_1 \\ F_2 \end{bmatrix} ; \text{ symmetric } \mathbf{K} = \mathbf{K}^T$$

Two spring system - I (Parallel connection)



Free body diagram





Compatibility of displacement

$$\begin{aligned} u_1^{(1)} &= u_1^{(2)} = U_1 \\ u_2^{(1)} &= u_2^{(2)} = U_2 \end{aligned} \quad \dots \text{ (eq. 2.1)}$$

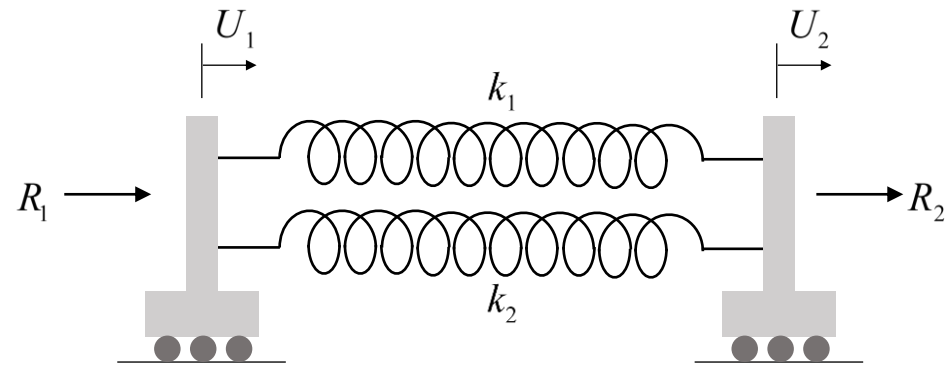
Equilibrium of force

$$\begin{aligned} F_1^{(1)} + F_1^{(2)} &= R_1 \\ F_2^{(1)} + F_2^{(2)} &= R_2 \end{aligned} \quad \rightarrow \quad \begin{bmatrix} F_1^{(1)} \\ F_2^{(1)} \end{bmatrix} + \begin{bmatrix} F_1^{(2)} \\ F_2^{(2)} \end{bmatrix} = \begin{bmatrix} R_1 \\ R_2 \end{bmatrix} \quad \dots \text{ (eq. 2.2)}$$

Stiffness matrices of each springs

$$\begin{bmatrix} k_1 & -k_1 \\ -k_1 & k_1 \end{bmatrix} \begin{bmatrix} u_1^{(1)} \\ u_2^{(1)} \end{bmatrix} = \begin{bmatrix} F_1^{(1)} \\ F_2^{(1)} \end{bmatrix} \text{ for spring 1}$$

$$\begin{bmatrix} k_2 & -k_2 \\ -k_2 & k_2 \end{bmatrix} \begin{bmatrix} u_1^{(2)} \\ u_2^{(2)} \end{bmatrix} = \begin{bmatrix} F_1^{(2)} \\ F_2^{(2)} \end{bmatrix} \text{ for spring 2} \quad \dots \text{ (eq. 2.3)}$$



Applying the compatibility and equilibrium

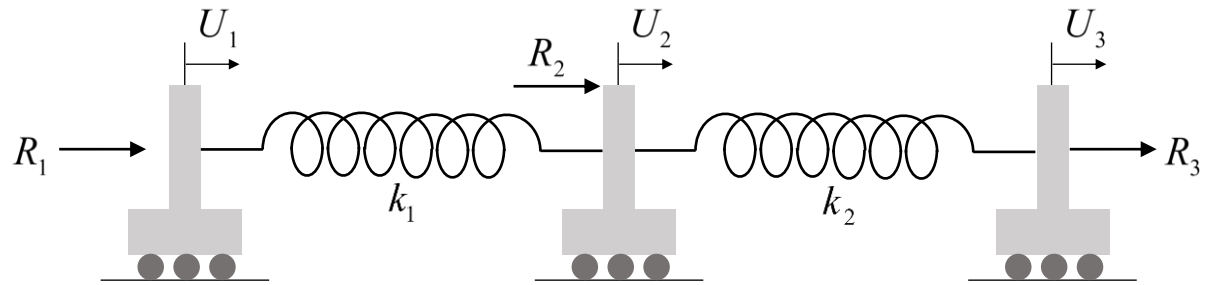
$$\begin{bmatrix} k_1 & -k_1 \\ -k_1 & k_1 \end{bmatrix} \begin{bmatrix} U_1 \\ U_2 \end{bmatrix} + \begin{bmatrix} k_2 & -k_2 \\ -k_2 & k_2 \end{bmatrix} \begin{bmatrix} U_1 \\ U_2 \end{bmatrix} = \begin{bmatrix} R_1 \\ R_2 \end{bmatrix}$$

Finally

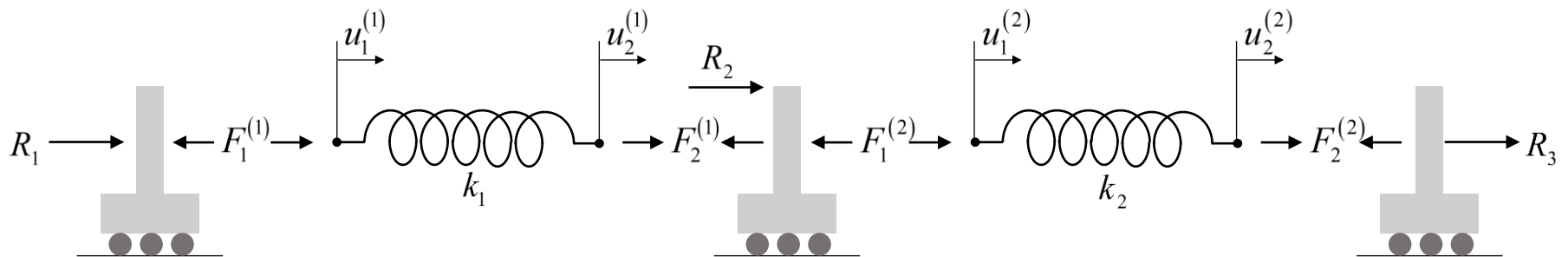
$$\begin{bmatrix} k_1 + k_2 & -k_1 - k_2 \\ -k_1 - k_2 & k_1 + k_2 \end{bmatrix} \begin{bmatrix} U_1 \\ U_2 \end{bmatrix} = \begin{bmatrix} R_1 \\ R_2 \end{bmatrix}$$

Symmetric :  $\mathbf{K} = \mathbf{K}^T$

## Two spring system - II (Serial connection)



## Free body diagram



Compatibility of displacement

$$\begin{aligned} u_1^{(1)} &= U_1 \\ u_2^{(1)} &= u_1^{(2)} = U_2 \\ u_2^{(2)} &= U_3 \end{aligned}$$

Equilibrium of force

$$\begin{aligned} F_1^{(1)} &= R_1 \\ F_2^{(1)} + F_1^{(2)} &= R_2 \\ F_2^{(2)} &= R_3 \end{aligned} \quad \rightarrow \quad \begin{bmatrix} F_1^{(1)} \\ F_2^{(1)} \\ 0 \end{bmatrix} + \begin{bmatrix} 0 \\ F_1^{(2)} \\ F_2^{(2)} \end{bmatrix} = \begin{bmatrix} R_1 \\ R_2 \\ R_3 \end{bmatrix}$$

A set of linear equations

$$\begin{bmatrix} k_1 & -k_1 & 0 \\ -k_1 & k_1 & 0 \\ 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} U_1 \\ U_2 \\ U_3 \end{bmatrix} = \begin{bmatrix} F_1^{(1)} \\ F_2^{(1)} \\ 0 \end{bmatrix} \quad \text{for spring 1}$$

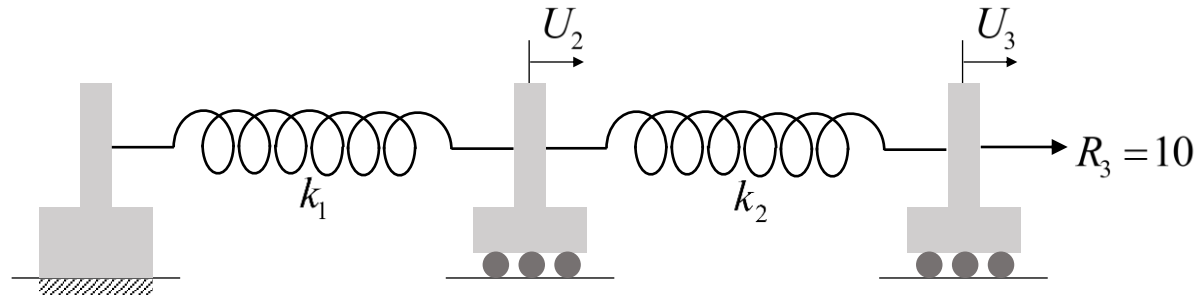
$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & k_2 & -k_2 \\ 0 & -k_2 & k_2 \end{bmatrix} \begin{bmatrix} U_1 \\ U_2 \\ U_3 \end{bmatrix} = \begin{bmatrix} 0 \\ F_1^{(2)} \\ F_2^{(2)} \end{bmatrix} \quad \text{for spring 2}$$

Finally,

$$\begin{bmatrix} k_1 & -k_1 & 0 \\ -k_1 & k_1 + k_2 & -k_2 \\ 0 & -k_2 & k_2 \end{bmatrix} \begin{bmatrix} U_1 \\ U_2 \\ U_3 \end{bmatrix} = \begin{bmatrix} R_1 \\ R_2 \\ R_3 \end{bmatrix}$$

Symmetric & Singular

When  $U_1 = 0, R_2 = 0, R_3 = 10$



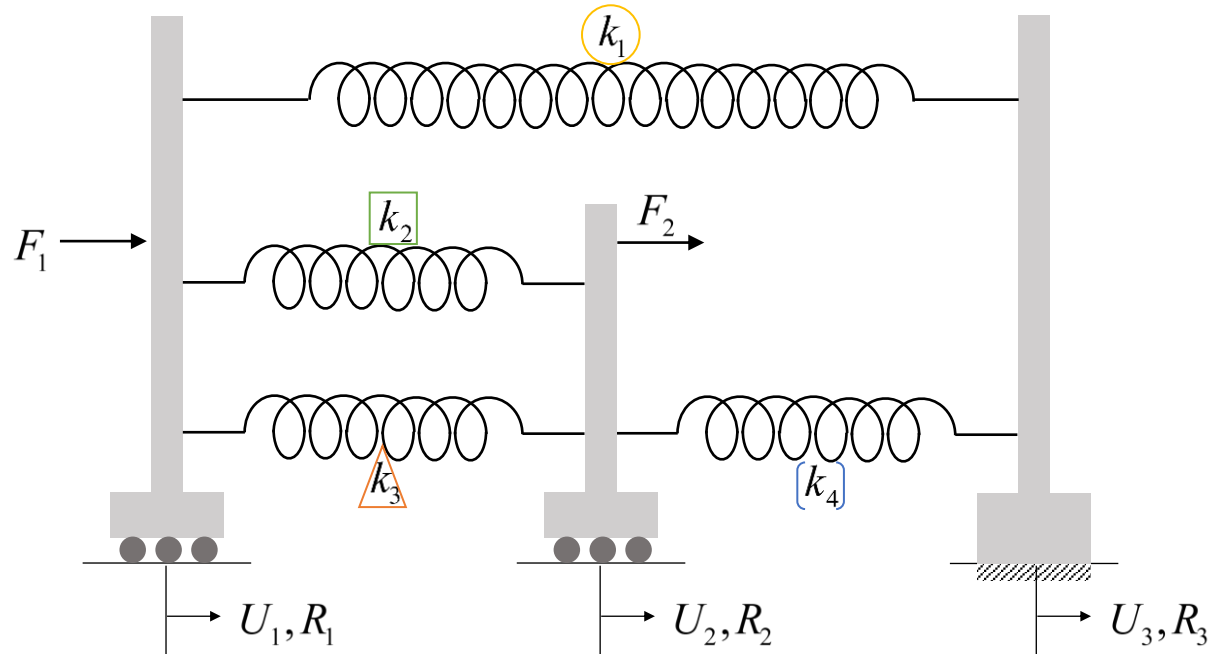
$$\begin{bmatrix} k_1 & -k_1 & 0 \\ -k_1 & k_1 + k_2 & -k_2 \\ 0 & -k_2 & k_2 \end{bmatrix} \begin{bmatrix} 0 \\ U_2 \\ U_3 \end{bmatrix} = \begin{bmatrix} R_1 \\ 0 \\ R_3 \end{bmatrix}$$

→ The first row gives the reaction  $R_1 = -k_1 U_2$

$$\rightarrow \begin{bmatrix} k_1 + k_2 & -k_2 \\ -k_2 & k_2 \end{bmatrix} \begin{bmatrix} U_2 \\ U_3 \end{bmatrix} = \begin{bmatrix} 0 \\ R_3 \end{bmatrix} \rightarrow \begin{bmatrix} U_2 \\ U_3 \end{bmatrix} = \begin{bmatrix} k_1 + k_2 & -k_2 \\ -k_2 & k_2 \end{bmatrix}^{-1} \begin{bmatrix} 0 \\ R_3 \end{bmatrix}$$

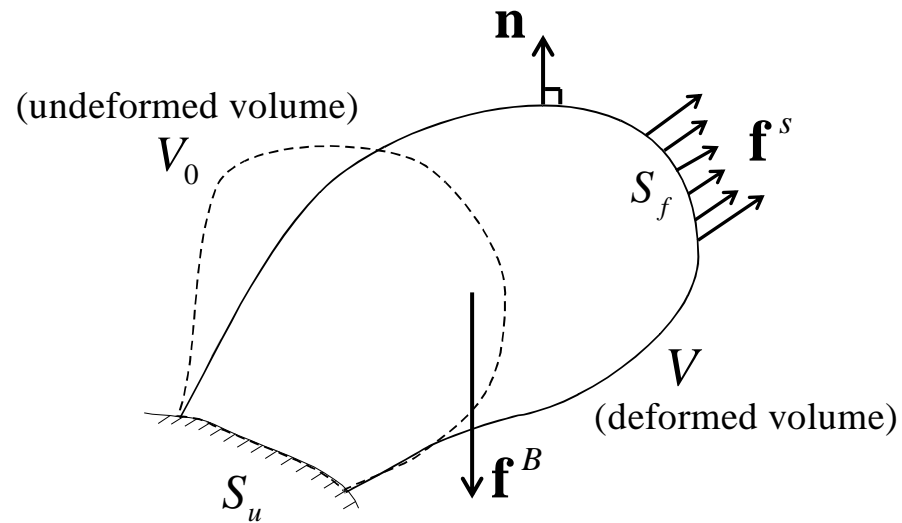
In this study, we found that the equilibrium of the entire system can be obtained from assembly of the equilibrium eqns of individual springs.

## Direct stiffness method



$$\begin{bmatrix}
 \boxed{k_1} + \boxed{k_2} + \boxed{k_3} & -\boxed{k_2} - \boxed{k_3} & -\boxed{k_1} \\
 -\boxed{k_2} - \boxed{k_3} & \boxed{k_2} + \boxed{k_3} + \boxed{k_4} & -\boxed{k_4} \\
 -\boxed{k_1} & -\boxed{k_4} & \boxed{k_1} + \boxed{k_4}
 \end{bmatrix}
 \begin{bmatrix}
 U_1 \\
 U_2 \\
 U_3
 \end{bmatrix}
 =
 \begin{bmatrix}
 R_1 \\
 R_2 \\
 R_3
 \end{bmatrix}
 \Rightarrow
 \begin{bmatrix}
 k_1 + k_2 + k_3 & -k_2 - k_3 \\
 -k_2 - k_3 & k_2 + k_3 + k_4
 \end{bmatrix}
 \begin{bmatrix}
 U_1 \\
 U_2
 \end{bmatrix}
 =
 \begin{bmatrix}
 F_1 \\
 F_2
 \end{bmatrix}$$

### 3. Principle of Virtual Work (PVW)



External surface

$S_u$ : Displacement boundary

$S_f$ : Force boundary

$$S = S_u \cup S_f, \quad S_u \cap S_f = \emptyset$$

$$\mathbf{f}^s = \begin{bmatrix} f_1^s \\ f_2^s \\ f_3^s \end{bmatrix} : \text{Surface force [force/unit area]}$$

$$\mathbf{f}^B = \begin{bmatrix} f_1^B \\ f_2^B \\ f_3^B \end{bmatrix} : \text{Body force [force/unit volume]}$$

$$\mathbf{u} = \begin{bmatrix} u_1 \\ u_2 \\ u_3 \end{bmatrix} : \text{Displacement vector}$$

$$\boldsymbol{\tau} = \begin{bmatrix} \tau_{11} & \tau_{12} & \tau_{13} \\ & \tau_{22} & \tau_{23} \\ \text{sym} & & \tau_{33} \end{bmatrix} : \text{Cauchy stress tensor [force/unit deformed area]}$$

$$\boldsymbol{\varepsilon} = \begin{bmatrix} \varepsilon_{11} & \varepsilon_{12} & \varepsilon_{13} \\ & \varepsilon_{22} & \varepsilon_{23} \\ \text{sym} & & \varepsilon_{33} \end{bmatrix} : \text{ Small strain tensor, } \varepsilon_{ij} = \frac{1}{2} \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right)$$

The solutions should satisfy:

(1) Equilibrium in  $V$  and on  $S_f$

$$\tau_{ij,j} + f_i^B = 0 \quad \text{in } V \quad (\text{eq. 3.1})$$

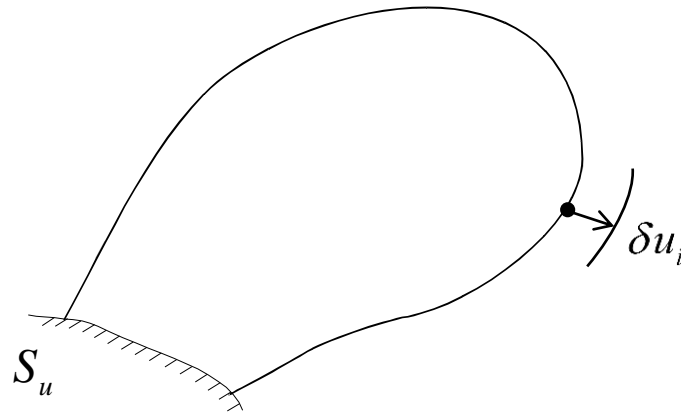
$$\tau_{ij} n_j = f_i^S \quad \text{on } S_f$$

(2) Compatibility

$$u_i = 0 \quad \text{on } S_u$$

(3) Stress–strain law

$$\tau_{ij} = \tau_{ij}(\varepsilon_{kl})$$



$\delta u_i$ : virtual displacement  $\rightarrow$  "zero on  $S_u$ , arbitrary otherwise, but continuous"

(1) Multiplying  $\delta u_i$  to the equilibrium equation (eq. 3.1)

$$(\tau_{ij,j} + f_i^B) \delta u_i = 0$$

(2) Integration w.r.t the total volume  $V$  ( $\approx V_0$  when displacement is small)

$$\int_V (\tau_{ij,j} + f_i^B) \delta u_i dV = 0$$

(3) Integration by parts

$$\int_V (\tau_{ij,j} \delta u_i + f_i^B \delta u_i) dV = 0 \quad \leftarrow \quad \tau_{ij,j} \delta u_i = (\tau_{ij} \delta u_i)_{,j} - \tau_{ij} \delta u_{i,j}$$

$$\rightarrow \int_V (\tau_{ij} \delta u_i)_{,j} dV - \int_V (\tau_{ij} \delta u_{i,j}) dV + \int_V (f_i^B \delta u_i) dV = 0 \quad (\text{eq. 3.2})$$

(4) Divergence theorem and small virtual strain

(a) Divergence theorem is used for the first term of (eq. 3.2)

$$\int_V (\tau_{ij} \delta u_i)_{,j} dV = \int_S (\tau_{ij} \delta u_i) n_j dS \quad \leftarrow \quad S = S_u \cup S_f$$

$$= \int_{S_f} (\tau_{ij} n_j) \delta u_i dS + \int_{S_u} (\tau_{ij} n_j) \delta u_i dS \quad \leftarrow \quad \tau_{ij} n_j = f_i^S \text{ on } S_f \quad \text{and} \quad \delta u_i = 0 \text{ on } S_u$$

$$= \int_{S_f} f_i^S \delta u_i dS$$

(b) Small virtual strain is defined for the second term of (eq. 3.2)

$$\int_V (\tau_{ij} \delta u_{i,j}) dV = \frac{1}{2} \int_V \tau_{ij} (\delta u_{i,j} + \delta u_{j,i}) dV \quad \leftarrow \quad \frac{1}{2} (\delta u_{i,j} + \delta u_{j,i}) = \delta \varepsilon_{ij} : \text{virtual strain tensor (sym.)}$$

$$= \int_V \tau_{ij} \delta \varepsilon_{ij} dV$$

(5) Substituting the results of (a) and (b) into (eq. 3.2), PVW is obtained

$$\int_V (\tau_{ij} \delta \varepsilon_{ij}) dV = \int_V (f_i^B \delta u_i) dV + \int_{S_f} (f_i^S \delta u_i) dS$$

(Real terms:  $\tau_{ij}, f_i^S, f_i^B \quad \leftrightarrow \quad$  Virtual terms:  $\delta u_i, \delta \varepsilon_{ij}$ )

- So called "weak form"
- "Internal virtual work" (LHS) is the same as "external virtual work" (RHS).

- Each term of PVW produces “scalar value” (scalar equation).

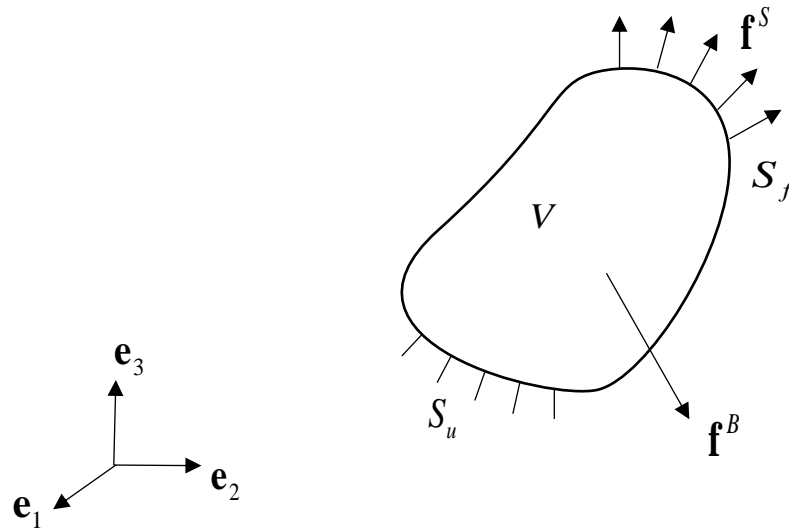
### PVW for linear elastic materials

$$\int_V (\tau_{ij} \delta \varepsilon_{ij}) dV = \int_V (f_i^B \delta u_i) dV + \int_{S_f} (f_i^S \delta u_i) dS \quad \leftarrow \tau_{ij} = C_{ijkl} \varepsilon_{kl}$$

$$\int_V \delta \varepsilon_{ij} C_{ijkl} \varepsilon_{kl} dV = \int_V f_i^B \delta u_i dV + \int_{S_f} f_i^S \delta u_i dS$$

<b>Solution procedure with strong form</b>	<b>Solution procedure with weak form</b>
<p>Find the solution which satisfies:</p> <ul style="list-style-type: none"> <li>(1) Equilibrium in <math>V</math> and on <math>S_f</math></li> <li>(2) Compatibility</li> <li>(3) Stress–Strain law</li> </ul>	<p>Find <math>\mathbf{u}</math> such that</p> <p>“Principle of virtual work” is satisfied for all possible <math>\delta\mathbf{u}</math></p>

## 4. Finite Element Formulation



$$\text{PVW: } \int_V \tau_{ij} \delta \varepsilon_{ij} dV = \int_V f_i^B \delta u_i dV + \int_{S_f} f_i^S \delta u_i dS$$

Let us assume

- ① Small displacement:  $V_o \approx V$
- ② Linear elastic material:  $\tau_{ij} = C_{ijkl} \varepsilon_{kl}$

In the finite element formulation,

$$\boldsymbol{\tau} = \begin{bmatrix} \tau_{11} \\ \tau_{22} \\ \tau_{33} \\ \tau_{12} \\ \tau_{23} \\ \tau_{31} \end{bmatrix}, \quad \boldsymbol{\varepsilon} = \begin{bmatrix} \varepsilon_{11} \\ \varepsilon_{22} \\ \varepsilon_{33} \\ \gamma_{12} \\ \gamma_{23} \\ \gamma_{31} \end{bmatrix},$$

where  $\gamma_{ij} = 2\varepsilon_{ij}$  ( $i \neq j$ ) : engineering shear strain

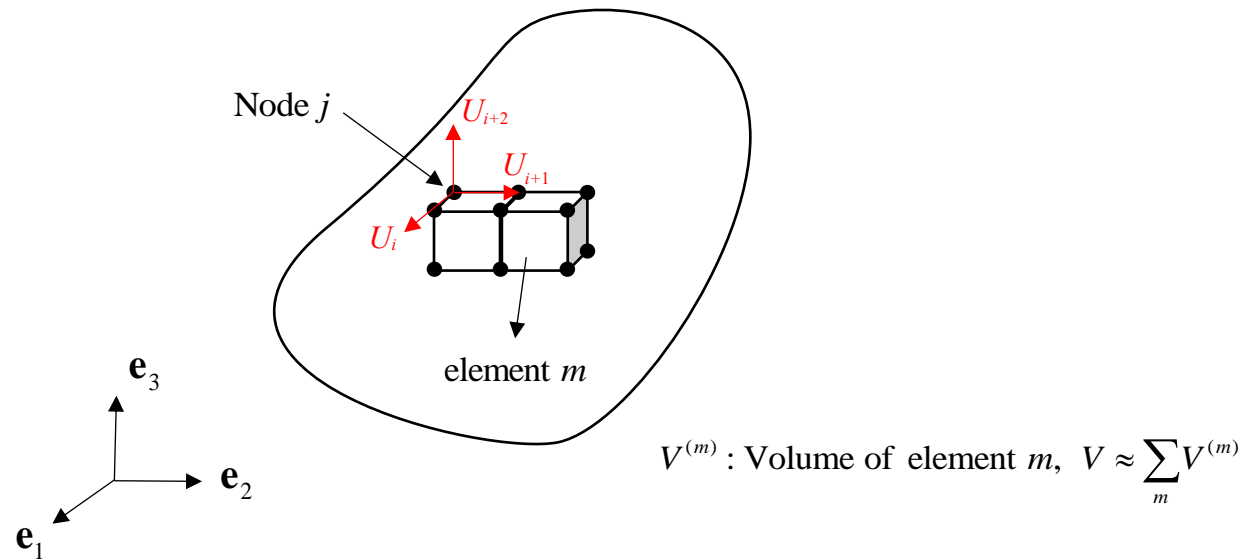
$$\tau_{ij}\varepsilon_{ij} = \sum_{i=1}^3 \sum_{j=1}^3 \tau_{ij}\varepsilon_{ij} = \boldsymbol{\varepsilon}^T \boldsymbol{\tau} = \boldsymbol{\varepsilon} \cdot \boldsymbol{\tau} \quad (\text{note: } \tau_{12}\varepsilon_{12} + \tau_{21}\varepsilon_{21} = 2\tau_{12}\varepsilon_{12} = \tau_{12}\gamma_{12})$$

Material Law:  $\boldsymbol{\tau} = \mathbf{C}\boldsymbol{\varepsilon}$

PVW in vector/matrix form:

$$\boxed{\int_V \delta \boldsymbol{\varepsilon}^T \mathbf{C} \boldsymbol{\varepsilon} dV = \int_V \delta \mathbf{u}^T \mathbf{f}^B dV + \int_{S_f} \delta \mathbf{u}^T \mathbf{f}^S dS}$$

## Finite Element Discretization



Let us assume that  $S_f = S$  and  $S_u = \phi \rightarrow$  "PVW still works."

Nodal displacement at node  $j \rightarrow \begin{bmatrix} U_i \\ U_{i+1} \\ U_{i+2} \end{bmatrix}$

Nodal displacement vector (nodal DOFs vector),

$$\mathbf{U} = \begin{bmatrix} U_1 \\ \vdots \\ U_i \\ U_{i+1} \\ \vdots \\ U_N \end{bmatrix}, \quad N: \text{number of the total DOFs}$$

$$\text{PVW: } \sum_m \int_{V^{(m)}} \delta \boldsymbol{\varepsilon}^{(m)\text{T}} \mathbf{C}^{(m)} \boldsymbol{\varepsilon}^{(m)} dV^{(m)} = \sum_m \int_{V^{(m)}} \delta \mathbf{u}^{(m)\text{T}} \mathbf{f}^{B(m)} dV^{(m)} + \sum_m \int_{S_1^{(m)} \dots S_q^{(m)}} \delta \mathbf{u}^{s(m)\text{T}} \mathbf{f}^{S(m)} dS^{(m)},$$

where  $S_1^{(m)} \dots S_q^{(m)}$  are surfaces of "element  $m$ " on boundary.

## Displacement Interpolations

$$\mathbf{u}^{(m)} = \mathbf{H}^{(m)}\mathbf{U} \quad (\text{interpolation of displacement})$$

$$\delta\mathbf{u}^{(m)} = \mathbf{H}^{(m)}\delta\mathbf{U} \quad (\text{interpolation of virtual displacement})$$

where

$\mathbf{u}^{(m)}$  : displacement field of element  $m$

$\delta\mathbf{u}^{(m)}$  : virtual displacement field of element  $m$

$\mathbf{U}$  : nodal displacement vector

$\delta\mathbf{U}$  : virtual nodal displacement vector

$\mathbf{H}^{(m)}$  : displacement interpolation matrix for element  $m$

(Note) The same interpolation is used for real and virtual displacements.

→ "symmetric stiffness matrix"

From the strain-displacement relation,

$$\varepsilon_{ij} = \frac{1}{2} \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right) \quad \rightarrow \quad \boldsymbol{\varepsilon}^{(m)} = \mathbf{B}^{(m)} \mathbf{U}$$

$$\delta \varepsilon_{ij} = \frac{1}{2} \left( \frac{\partial \delta u_i}{\partial x_j} + \frac{\partial \delta u_j}{\partial x_i} \right) \quad \rightarrow \quad \delta \boldsymbol{\varepsilon}^{(m)} = \mathbf{B}^{(m)} \delta \mathbf{U}$$

$\boldsymbol{\varepsilon}^{(m)}$  : strain field of element m

$\delta \boldsymbol{\varepsilon}^{(m)}$  : virtual strain field of element m

$\mathbf{B}^{(m)}$  : strain interpolation matrix for element m

Using the displacement and strain interpolations in PVW, the following equation is obtained

$$\delta \mathbf{U}^T \left[ \sum_m \int_{V^{(m)}} \mathbf{B}^{(m)T} \mathbf{C}^{(m)} \mathbf{B}^{(m)} dV^{(m)} \right] \mathbf{U} = \delta \mathbf{U}^T \left[ \sum_m \int_{V^{(m)}} \mathbf{H}^{(m)T} \mathbf{f}^{B^{(m)}} dV^{(m)} + \sum_m \int_{S_1^{(m)} \dots S_q^{(m)}} \mathbf{H}_s^{(m)T} \mathbf{f}^{S^{(m)}} dS^{(m)} \right]$$

(eq. 3.1)

Substituting  $\delta \mathbf{U} = [1 \ 0 \ \cdots \ 0]^T$  ( $\delta U_1 = 1$ , others = 0) into (eq. 3.1), we obtain a linear equation

$$K_{11}U_1 + K_{12}U_2 + \cdots + K_{1N}U_N = R_1$$

Substituting  $\delta \mathbf{U} = [0 \ 1 \ 0 \ \cdots \ 0]^T$  ( $\delta U_2 = 1$ , others = 0) into (eq. 3.1), we obtain another equation

$$K_{21}U_1 + K_{22}U_2 + \cdots + K_{2N}U_N = R_2$$

We can do this task  $N$  times.

This process to apply "virtual displacement vectors" is the same to

$$\mathcal{I}' \left[ \sum_m \int_{V^{(m)}} \mathbf{B}^{(m)T} \mathbf{C}^{(m)} \mathbf{B}^{(m)} dV^{(m)} \right] \mathbf{U} = \mathcal{I}' \left[ \sum_m \int_{V^{(m)}} \mathbf{H}^{(m)T} \mathbf{f}^{B(m)} dV^{(m)} + \sum_m \int_{S_1^{(m)} \dots S_q^{(m)}} \mathbf{H}_s^{(m)T} \mathbf{f}^{S(m)} dS^{(m)} \right]$$

Finally, we obtain a set of  $N$  linear equations.  $\rightarrow$  "static equilibrium equations"

$$\boxed{\mathbf{K}\mathbf{U} = \mathbf{R}}$$

where  $\mathbf{K} = \sum_m \mathbf{K}^{(m)}$  with  $\mathbf{K}^{(m)} = \int_{V^{(m)}} \mathbf{B}^{(m)\top} \mathbf{C}^{(m)} \mathbf{B}^{(m)} dV^{(m)}$

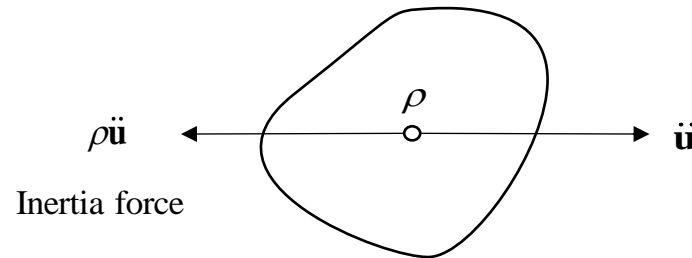
$$\mathbf{R} = \mathbf{R}_B + \mathbf{R}_S \quad \left\{ \begin{array}{l} \mathbf{R}_B = \sum_m \mathbf{R}_B^{(m)} \quad \text{with } \mathbf{R}_B^{(m)} = \int_{V^{(m)}} \mathbf{H}^{(m)\top} \mathbf{f}^B dV^{(m)} \\ \mathbf{R}_S = \sum_m \mathbf{R}_S^{(m)} \quad \text{with } \mathbf{R}_S^{(m)} = \int_{S_1^{(m)} \dots S_q^{(m)}} \mathbf{H}_s^{(m)\top} \mathbf{f}^s dS^{(m)} \end{array} \right.$$

$\mathbf{K}$ : Stiffness matrix

$\mathbf{U}$ : Nodal displacement vector

$\mathbf{R}$ : Nodal force vector

## Dynamic Equilibrium Equations (Equations of motion)



$$\mathbf{R}^{B(m)} = \int_{V^{(m)}} \mathbf{H}^{(m)T} \mathbf{f}^{B(m)} dV^{(m)}$$

$$\mathbf{f}^{B(m)} = \bar{\mathbf{f}}^{B(m)} + (-\rho \ddot{\mathbf{u}}^{(m)}) \quad \leftarrow \quad \mathbf{u}^{(m)} = \mathbf{H}^{(m)} \mathbf{U} \quad \text{and} \quad \ddot{\mathbf{u}}^{(m)} = \mathbf{H}^{(m)} \ddot{\mathbf{U}}$$

$$\mathbf{R}^{B(m)} = \int_{V^{(m)}} \mathbf{H}^{(m)T} \bar{\mathbf{f}}^{B(m)} dV^{(m)} - \left[ \int_{V^{(m)}} \mathbf{H}^{(m)T} \rho^{(m)} \mathbf{H}^{(m)} dV^{(m)} \right] \ddot{\mathbf{U}}$$

$$\mathbf{K}\mathbf{U} = \mathbf{R} - \mathbf{M}\ddot{\mathbf{U}} \quad \text{with "mass matrix" } \mathbf{M} = \sum_m \mathbf{M}^{(m)}, \quad \mathbf{M}^{(m)} = \int_{V^{(m)}} \mathbf{H}^{(m)T} \rho^{(m)} \mathbf{H}^{(m)} dV^{(m)}$$

$$\mathbf{M}\ddot{\mathbf{U}} + \mathbf{K}\mathbf{U} = \mathbf{R}$$

## Imposition of Zero-Displacement BC

Note that  $\mathbf{K}$  is singular because  $S_u = \phi$  is assumed.

The displacement BC is imposed by simply getting rid of the columns corresponding to zero-displacements ( $U_i = 0$ ) and the rows corresponding to zero-virtual displacements ( $\delta U_i = 0$ ) in the stiffness matrix  $\mathbf{K}$ .

Ex) When  $U_3 = U_4 = 0$ ,

$$\begin{bmatrix} K_{11} & K_{12} & K_{13} & K_{14} & K_{15} \\ & K_{22} & K_{23} & K_{24} & K_{25} \\ & & K_{33} & K_{34} & K_{35} \\ & \text{sym.} & & K_{44} & K_{45} \\ & & & & K_{55} \end{bmatrix} \begin{bmatrix} U_1 \\ U_2 \\ U_3 \\ U_4 \\ U_5 \end{bmatrix} = \begin{bmatrix} R_1 \\ R_2 \\ R_3 \\ R_4 \\ R_5 \end{bmatrix} \rightarrow \begin{bmatrix} K_{11} & K_{12} & K_{15} \\ & K_{22} & K_{25} \\ \text{sym.} & & K_{55} \end{bmatrix} \begin{bmatrix} U_1 \\ U_2 \\ U_5 \end{bmatrix} = \begin{bmatrix} R_1 \\ R_2 \\ R_5 \end{bmatrix}$$

Then,  $\mathbf{K}_{N \times N}$  is reduced to  $\tilde{\mathbf{K}}_{\tilde{N} \times \tilde{N}}$  ( $\tilde{N} = N - (\# \text{ of prescribed DOFs})$ ) and the displacement and force vectors are also reduced into  $\tilde{\mathbf{U}}$  and  $\tilde{\mathbf{R}}$ . Finally, we get  $\tilde{\mathbf{K}}\tilde{\mathbf{U}} = \tilde{\mathbf{R}}$ . When the displacement BC is properly applied, the equilibrium equation can be solved.

## Imposition of General Displacement BC

In general, if  $U_k = U_k^*$  is specified, we have

$$\bar{R}_k = K_{kk} U_k^*,$$

$$\bar{R}_i = R_i - K_{ik} U_k^*, \quad K_{ik} = K_{ki} = 0 \quad \text{with } i = 1, 2, \dots, k-1, k+1, \dots, n \quad (i \neq k).$$

This procedure is repeated for every specified displacement.

Ex) When  $U_3 = U_3^*$  is specified,

$$\begin{bmatrix} K_{11} & K_{12} & K_{13} & K_{14} & K_{15} \\ K_{21} & K_{22} & K_{23} & K_{24} & K_{25} \\ \hline K_{31} & K_{32} & K_{33} & K_{34} & K_{35} \\ K_{41} & K_{42} & K_{43} & K_{44} & K_{45} \\ K_{51} & K_{52} & K_{53} & K_{54} & K_{55} \end{bmatrix} \begin{bmatrix} U_1 \\ U_2 \\ U_3 \\ U_4 \\ U_5 \end{bmatrix} = \begin{bmatrix} R_1 \\ R_2 \\ R_3 \\ R_4 \\ R_5 \end{bmatrix} \quad \rightarrow \quad \begin{bmatrix} K_{11} & K_{12} & 0 & K_{14} & K_{15} \\ K_{21} & K_{22} & 0 & K_{24} & K_{25} \\ \hline 0 & 0 & K_{33} & 0 & 0 \\ K_{41} & K_{42} & 0 & K_{44} & K_{45} \\ K_{51} & K_{52} & 0 & K_{54} & K_{55} \end{bmatrix} \begin{bmatrix} U_1 \\ U_2 \\ U_3 \\ U_4 \\ U_5 \end{bmatrix} = \begin{bmatrix} \bar{R}_1 \\ \bar{R}_2 \\ K_{33} U_3^* \\ \bar{R}_4 \\ \bar{R}_5 \end{bmatrix}$$

Then,  $\mathbf{K}_{N \times N}$  is modified to  $\bar{\mathbf{K}}_{N \times N}$  and the displacement and force vectors are also modified into  $\bar{\mathbf{U}}$  and  $\bar{\mathbf{R}}$ . Finally, we get  $\bar{\mathbf{K}}\bar{\mathbf{U}} = \bar{\mathbf{R}}$ .

## Properties of Stiffness Matrix

① The  $i^{\text{th}}$  column of  $\mathbf{K}$  represents the forces needed at the nodes to impose the unit displacement corresponding to the  $i^{\text{th}}$  displacement DOF ( $U_i = 1$ ) and keep all other DOFs equal to zero ( $U_j = 0, i \neq j$ ).

②  $\mathbf{K}$  is a symmetric matrix, i.e.  $\mathbf{K}^T = \mathbf{K}$  or  $K_{ij} = K_{ji}$ .

“Betti’s reciprocal theorem” and “Maxwell’s theorem”

③  $\mathbf{K}\mathbf{U} = \mathbf{R}$

$$\text{External work} = \frac{1}{2} \mathbf{U}^T \mathbf{R} = \frac{1}{2} \mathbf{U}^T \mathbf{K} \mathbf{U} \geq 0 \quad (\text{strain energy stored})$$

When  $\mathbf{U} = \mathbf{0}$  or  $\mathbf{U}$  is the displacement vector corresponding to rigid body motions,

$$\frac{1}{2} \mathbf{U}^T \mathbf{K} \mathbf{U} = 0.$$

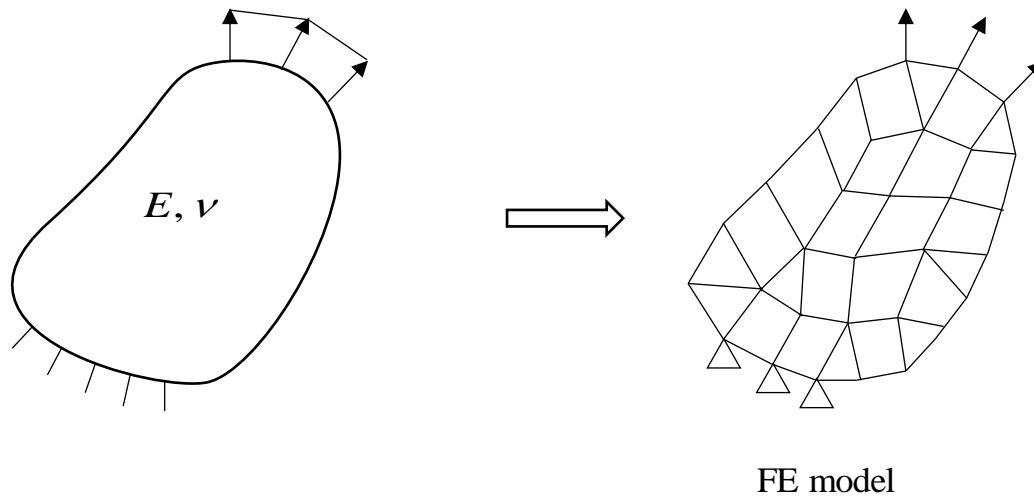
When  $\mathbf{U} \neq \mathbf{0}$ ,  $\frac{1}{2} \tilde{\mathbf{U}}^T \tilde{\mathbf{K}} \tilde{\mathbf{U}} > 0$  for all  $\tilde{\mathbf{U}}$ .  $\rightarrow \tilde{\mathbf{K}}$ : “positive definite” matrix

## FE Solution Procedure

Principal unknown:  $\mathbf{U}$

Step 1) Geometry, material properties, applied load and displacement BC are given.

→ Construct "FE model".



FE model has information on

- nodal positions
- element connectivity (a set of nodes to construct the element)
- material properties ( $E, \nu$ )
- BCs (force & displacement) are only applied at nodes

Step 2) Calculate  $\mathbf{K}^{(m)}$  and  $\mathbf{R}^{(m)}$  of each finite element (element matrix)

Step 3) Assemble  $\mathbf{K}$  and  $\mathbf{R}$  (total matrix).

$$\mathbf{K} = \sum_m \mathbf{K}^{(m)}, \quad \mathbf{R} = \sum_m \mathbf{R}^{(m)}$$

Step 4) Apply the displacement BC.

$$\mathbf{K} \rightarrow \tilde{\mathbf{K}}$$

Step 5) Solve the linear system.

$$\tilde{\mathbf{K}}\tilde{\mathbf{U}} = \tilde{\mathbf{R}} \rightarrow \tilde{\mathbf{U}} = \tilde{\mathbf{K}}^{-1}\tilde{\mathbf{R}} \rightarrow \mathbf{U} \text{ is found.}$$

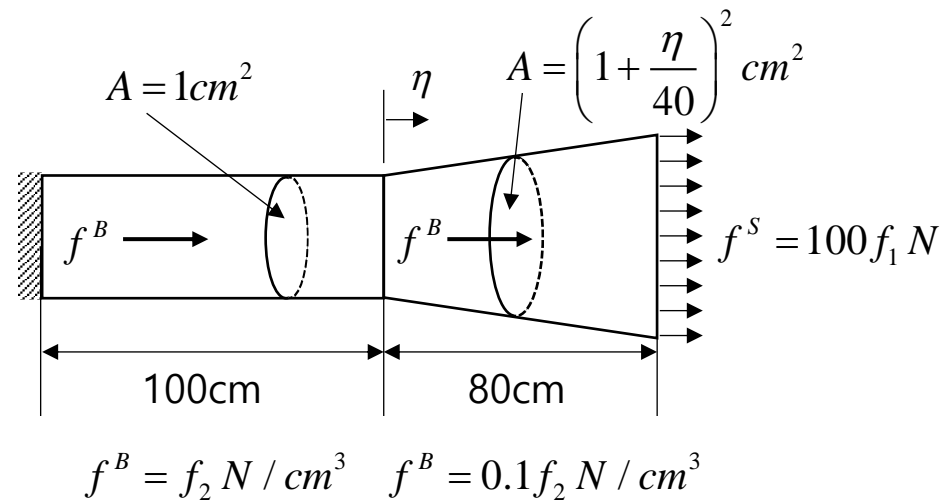
Step 6) Calculate solutions

$$\rightarrow \text{Displacement field of element } m: \mathbf{u}^{(m)} = \mathbf{H}^{(m)}\mathbf{U}$$

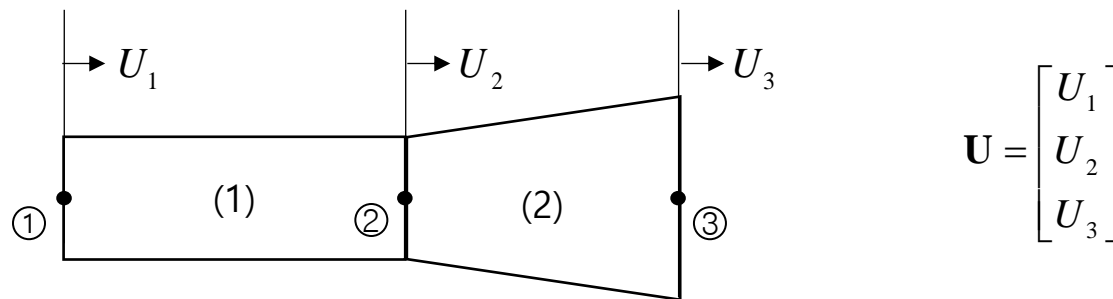
$$\rightarrow \text{Strain field of element } m: \boldsymbol{\varepsilon}^{(m)} = \mathbf{B}^{(m)}\mathbf{U}$$

$$\rightarrow \text{Stress field of element } m: \boldsymbol{\tau}^{(m)} = \mathbf{C}^{(m)}\boldsymbol{\varepsilon}^{(m)} = \mathbf{C}^{(m)}\mathbf{B}^{(m)}\mathbf{U}$$

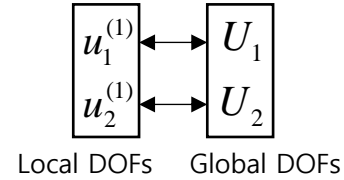
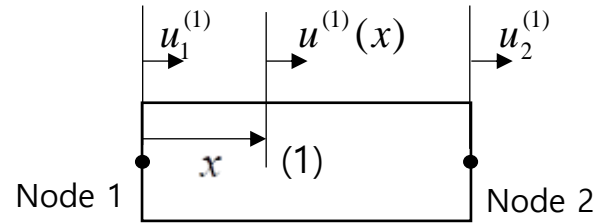
## Example – 1D bar problem



FE model



## Element (1)



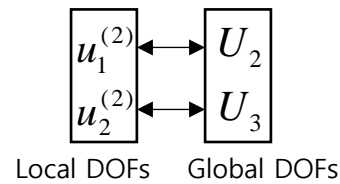
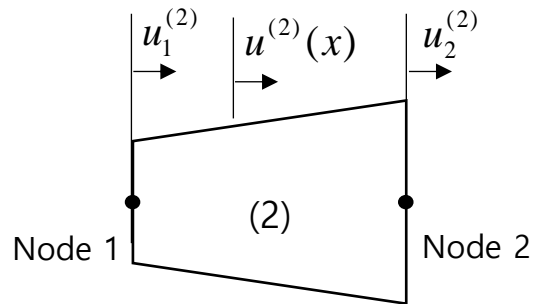
$x$  (locally defined coordinate)

$$u^{(1)}(x) = \left(1 - \frac{x}{100}\right) u_1^{(1)} + \frac{x}{100} u_2^{(1)}$$

$$u^{(1)}(x) = \begin{bmatrix} 1 - \frac{x}{100} & \frac{x}{100} \end{bmatrix} \begin{bmatrix} u_1^{(1)} \\ u_2^{(1)} \end{bmatrix} = \begin{bmatrix} 1 - \frac{x}{100} & \frac{x}{100} & 0 \end{bmatrix} \begin{bmatrix} U_1 \\ U_2 \\ U_3 \end{bmatrix} = \mathbf{H}^{(1)} \mathbf{U}$$

$$\varepsilon_{xx}^{(1)} = \frac{\partial u^{(1)}}{\partial x} = \begin{bmatrix} -\frac{1}{100} & \frac{1}{100} \end{bmatrix} \begin{bmatrix} u_1^{(1)} \\ u_2^{(1)} \end{bmatrix} = \begin{bmatrix} -\frac{1}{100} & \frac{1}{100} & 0 \end{bmatrix} \begin{bmatrix} U_1 \\ U_2 \\ U_3 \end{bmatrix} = \mathbf{B}^{(1)} \mathbf{U}$$

## Element (2)



$$u^{(2)}(x) = \left(1 - \frac{x}{80}\right) u_1^{(2)} + \frac{x}{80} u_2^{(2)}$$

$$u^{(2)}(x) = \begin{bmatrix} 1 - \frac{x}{80} & \frac{x}{80} \end{bmatrix} \begin{bmatrix} u_1^{(2)} \\ u_2^{(2)} \end{bmatrix} = \begin{bmatrix} 0 & 1 - \frac{x}{80} & \frac{x}{80} \end{bmatrix} \begin{bmatrix} U_1 \\ U_2 \\ U_3 \end{bmatrix} = \mathbf{H}^{(2)} \mathbf{U}$$

$$\varepsilon_{xx}^{(2)} = \frac{\partial u^{(2)}}{\partial x} = \begin{bmatrix} -\frac{1}{80} & \frac{1}{80} \end{bmatrix} \begin{bmatrix} u_1^{(2)} \\ u_2^{(2)} \end{bmatrix} = \begin{bmatrix} 0 & -\frac{1}{80} & \frac{1}{80} \end{bmatrix} \begin{bmatrix} U_1 \\ U_2 \\ U_3 \end{bmatrix} = \mathbf{B}^{(2)} \mathbf{U}$$

Stiffness matrix

$$\mathbf{K}\mathbf{U} = \mathbf{R} \quad \text{with } \mathbf{U} = \begin{bmatrix} U_1 \\ U_2 \\ U_3 \end{bmatrix}$$

$$\begin{aligned} \mathbf{K} &= \sum_{m=1}^2 \mathbf{K}^{(m)} = \mathbf{K}^{(1)} + \mathbf{K}^{(2)} \\ &= \int_{V^{(1)}} \mathbf{B}^{(1)T} \mathbf{E} \mathbf{B}^{(1)} dV + \int_{V^{(2)}} \mathbf{B}^{(2)T} \mathbf{E} \mathbf{B}^{(2)} dV^{(2)} \end{aligned}$$

$$\mathbf{K} = \int_0^{100} 1 \times \begin{bmatrix} -\frac{1}{100} \\ \frac{1}{100} \\ 0 \end{bmatrix} E \begin{bmatrix} -\frac{1}{100} & \frac{1}{100} & 0 \end{bmatrix} dx + \int_0^{80} \left(1 + \frac{x}{40}\right)^2 \begin{bmatrix} 0 \\ -\frac{1}{80} \\ \frac{1}{80} \end{bmatrix} E \begin{bmatrix} 0 & -\frac{1}{80} & \frac{1}{80} \end{bmatrix} dx$$

$$= \frac{E}{100} \begin{bmatrix} 1 & -1 & 0 \\ -1 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix} + \frac{13E}{240} \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & -1 \\ 0 & -1 & 1 \end{bmatrix} = \frac{E}{240} \begin{bmatrix} 2.4 & -2.4 & 0 \\ -2.4 & 15.4 & -13 \\ 0 & -13 & 13 \end{bmatrix}$$

## Direct stiffness method

$$\mathbf{K}^{(1)} = \int_0^{100} 1 \times \begin{bmatrix} -\frac{1}{100} \\ \frac{1}{100} \end{bmatrix} E \begin{bmatrix} -\frac{1}{100} & \frac{1}{100} \end{bmatrix} dx = \frac{E}{100} \begin{bmatrix} 1 & -1 \\ -1 & 1 \end{bmatrix}$$

$$\mathbf{K}^{(2)} = \int_0^{80} \left(1 + \frac{x}{40}\right)^2 \times \begin{bmatrix} -\frac{1}{80} \\ \frac{1}{80} \end{bmatrix} E \begin{bmatrix} -\frac{1}{80} & \frac{1}{80} \end{bmatrix} dx = \frac{13E}{240} \begin{bmatrix} 1 & -1 \\ -1 & 1 \end{bmatrix}$$

$$\mathbf{K} = \begin{bmatrix} \frac{E}{100} & -\frac{E}{100} & 0 \\ -\frac{E}{100} & \frac{E}{100} + \frac{13E}{240} & -\frac{13E}{240} \\ 0 & -\frac{13E}{240} & \frac{13E}{240} \end{bmatrix} = \frac{E}{240} \begin{bmatrix} 2.4 & -2.4 & 0 \\ -2.4 & 15.4 & -13 \\ 0 & -13 & 13 \end{bmatrix}$$

Load vector

$$\mathbf{R} = \mathbf{R}_B + \mathbf{R}_S$$

$$\mathbf{R}_B = \sum_m \mathbf{R}_B^{(m)} \quad \text{with} \quad \mathbf{R}_B^{(m)} = \int_{V^{(m)}} \mathbf{H}^{(m)T} \mathbf{f}^{(m)} dV^{(m)}$$

$$\mathbf{R}_S = \sum_m \mathbf{R}_S^{(m)} \quad \text{with} \quad \mathbf{R}_S^{(m)} = \int_{S_f^{(m)}} \mathbf{H}_S^{(m)T} \mathbf{f}^{S(m)} dS^{(m)}$$

$$\mathbf{R}_B = \int_0^{100} 1 \times \begin{bmatrix} 1 - \frac{x}{100} \\ \frac{x}{100} \\ 0 \end{bmatrix} f_2 dx + \int_0^{80} \left(1 + \frac{x}{40}\right)^2 \begin{bmatrix} 0 \\ 1 - \frac{x}{80} \\ \frac{x}{80} \end{bmatrix} 0.1 f_2 dx = \frac{1}{3} \begin{bmatrix} 150 \\ 186 \\ 68 \end{bmatrix} f_2 \quad (\text{Body force})$$

$$\mathbf{R}_S = \mathbf{R}_S^{(1)} + \mathbf{R}_S^{(2)} = \int_{S_2} \mathbf{H}^{(2)T} \Big|_{x=80} \frac{100 f_1}{S_2} dS = \int_{S_2} \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \frac{100 f_1}{S_2} dS = \begin{bmatrix} 0 \\ 0 \\ 100 f_1 \end{bmatrix} \quad (\text{Surface force})$$

$$\mathbf{R} = \mathbf{R}_B + \mathbf{R}_S = \begin{bmatrix} 50 f_2 \\ 62 f_2 \\ \frac{68}{3} f_2 + 100 f_1 \end{bmatrix}$$

Equilibrium equation

$$\frac{E}{240} \begin{bmatrix} 2.4 & -2.4 & 0 \\ -2.4 & 15.4 & -13 \\ 0 & -13 & 13 \end{bmatrix} \begin{bmatrix} U_1 \\ U_2 \\ U_3 \end{bmatrix} = \begin{bmatrix} 50 f_2 \\ 62 f_2 \\ \frac{68}{3} f_2 + 100 f_1 \end{bmatrix} \quad (\mathbf{K}\mathbf{U} = \mathbf{R}, \mathbf{K} \text{ is singular.})$$

Imposition of displacement BC

$$U_1 = 0 \quad (\text{and } \delta U_1 = 0)$$

$$\frac{E}{240} \begin{bmatrix} 15.4 & -13 \\ -13 & 13 \end{bmatrix} \begin{bmatrix} U_2 \\ U_3 \end{bmatrix} = \begin{bmatrix} 62f_2 \\ \frac{68}{3}f_2 + 100f_1 \end{bmatrix} \quad (\tilde{\mathbf{K}}\tilde{\mathbf{U}} = \tilde{\mathbf{R}})$$

Nodal displacement vector is found:  $\mathbf{U} = \begin{bmatrix} U_1 \\ U_2 \\ U_3 \end{bmatrix}$

→ Displacement field:  $\mathbf{u}^{(m)} = \mathbf{H}^{(m)}\mathbf{U}$

→ Strain field:  $\boldsymbol{\varepsilon}_{xx}^{(m)} = \mathbf{B}^{(m)}\mathbf{U}$

→ Stress field:  $\tau_{xx}^{(m)} = E\mathbf{B}^{(m)}\mathbf{U}$

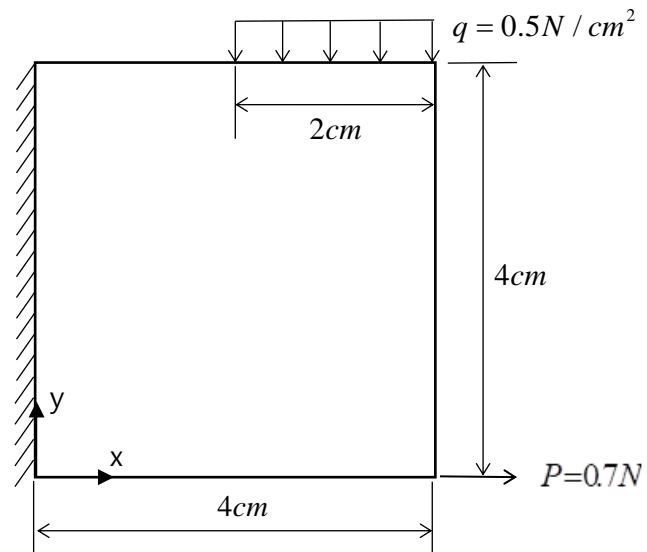
Mass matrix for dynamic analysis

$$\mathbf{M} = \sum_{m=1}^2 \int_{V^{(m)}} \mathbf{H}^{T(m)} \rho^{(m)} \mathbf{H}^{(m)} dV^{(m)}$$

$$\mathbf{M} = \int_0^{100} 1 \times \begin{bmatrix} 1 - \frac{x}{100} \\ \frac{x}{100} \\ 0 \end{bmatrix} \rho \begin{bmatrix} 1 - \frac{x}{100} & \frac{x}{100} & 0 \end{bmatrix} dx + \int_0^{80} \left(1 + \frac{x}{40}\right)^2 \begin{bmatrix} 0 \\ 1 - \frac{x}{80} \\ \frac{x}{80} \end{bmatrix} \rho \begin{bmatrix} 0 & 1 - \frac{x}{80} & \frac{x}{80} \end{bmatrix} dx$$

$$\mathbf{M} = \frac{\rho}{6} \begin{bmatrix} 200 & 100 & 0 \\ & 584 & 336 \\ \text{sym.} & & 1024 \end{bmatrix}$$

## Example – 2D plane stress problem

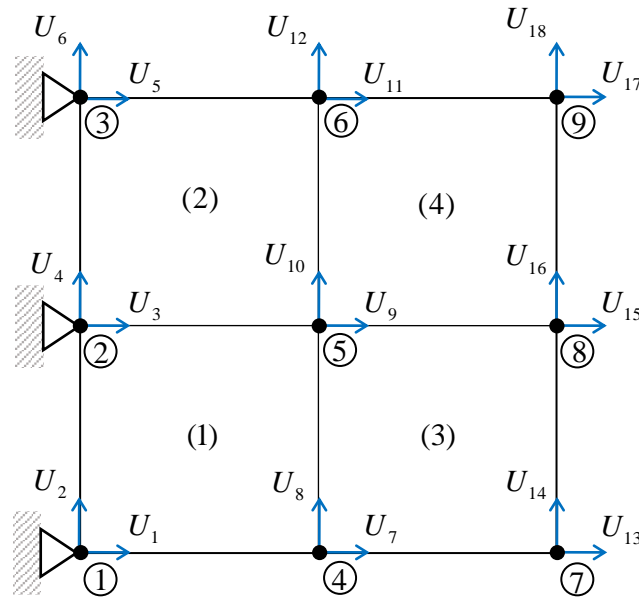


Thickness = 1 ,  $E$  : Young's modulus,  $\nu$  : Poisson's ratio

Plane stress condition

$$\boldsymbol{\tau} = \frac{E}{1-\nu^2} \begin{bmatrix} 1 & \nu & 0 \\ \nu & 1 & 0 \\ 0 & 0 & \frac{1-\nu}{2} \end{bmatrix} \boldsymbol{\varepsilon} \quad \text{with} \quad \boldsymbol{\tau} = \begin{bmatrix} \tau_{xx} \\ \tau_{yy} \\ \tau_{xy} \end{bmatrix}, \quad \boldsymbol{\varepsilon} = \begin{bmatrix} \varepsilon_{xx} \\ \varepsilon_{yy} \\ \gamma_{xy} \end{bmatrix}.$$

## Finite element model



$$\mathbf{U} = \begin{bmatrix} U_1 \\ U_2 \\ \vdots \\ U_{18} \end{bmatrix}, \quad \mathbf{R} = \begin{bmatrix} R_1 \\ R_2 \\ \vdots \\ R_{18} \end{bmatrix}$$

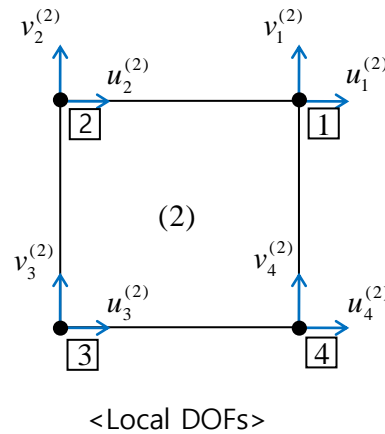
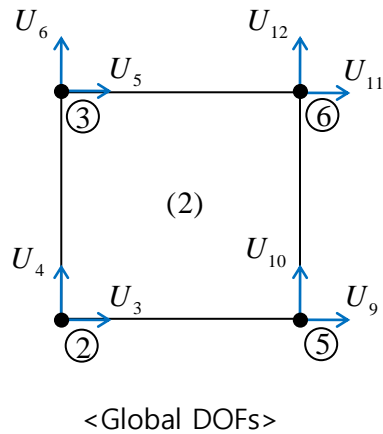
Number of nodes : 9

Number of elements : 4

Number of total DOFs : 18 (9x2)

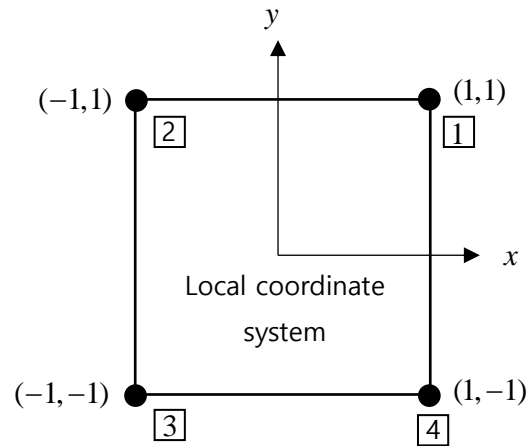
Displacement BC :  $U_1 = U_2 = U_3 = U_4 = U_5 = U_6 = 0$ .

Element stiffness matrices,  $\mathbf{K}^{(m)}$



$$\mathbf{u}^{(2)} = \begin{bmatrix} u_1^{(2)} \\ u_2^{(2)} \\ u_3^{(2)} \\ u_4^{(2)} \\ v_1^{(2)} \\ v_2^{(2)} \\ v_3^{(2)} \\ v_4^{(2)} \end{bmatrix} \begin{matrix} \leftrightarrow U_{11} \\ \leftrightarrow U_5 \\ \leftrightarrow U_3 \\ \leftrightarrow U_9 \\ \leftrightarrow U_{12} \\ \leftrightarrow U_6 \\ \leftrightarrow U_4 \\ \leftrightarrow U_{10} \end{matrix}$$

$\mathbf{u}^{(2)}$  : Nodal displacement vector of element (2)



Displacement interpolation:

$$\mathbf{u}(x, y) = \begin{bmatrix} u^{(2)}(x, y) \\ v^{(2)}(x, y) \end{bmatrix}$$

$$u^{(2)}(x, y) = \alpha_0 + \alpha_1 x + \alpha_2 y + \alpha_3 xy$$

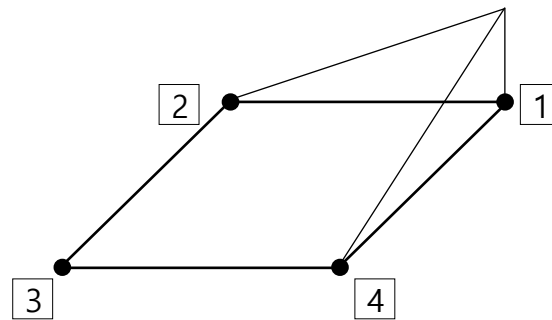
$$u^{(2)}(1,1) = u_1^{(2)}, \quad u^{(2)}(-1,1) = u_2^{(2)}, \quad u^{(2)}(-1,-1) = u_3^{(2)}, \quad u^{(2)}(1,-1) = u_4^{(2)}$$

$$u^{(2)}(x, y) = \sum_i^4 h_i(x, y)u_i^{(2)} = h_1(x, y)u_1^{(2)} + h_2(x, y)u_2^{(2)} + h_3(x, y)u_3^{(2)} + h_4(x, y)u_4^{(2)}$$

with "shape functions"

$$h_1 = \frac{1}{4}(1+x)(1+y), \quad h_2 = \frac{1}{4}(1-x)(1+y), \quad h_3 = \frac{1}{4}(1-x)(1-y), \quad h_4 = \frac{1}{4}(1+x)(1-y)$$

(Note)  $h_i = 1$  at node  $i$ , and  $h_i = 0$  at other nodes.



$$v^{(2)} = \sum_i^4 h_i(x, y)v_i^{(2)} = h_1v_1^{(2)} + h_2v_2^{(2)} + h_3v_3^{(2)} + h_4v_4^{(2)}$$

$$\begin{bmatrix} u^{(2)} \\ v^{(2)} \end{bmatrix} = \begin{bmatrix} h_1 & h_2 & h_3 & h_4 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & h_1 & h_2 & h_3 & h_4 \end{bmatrix} \begin{bmatrix} u_1^{(2)} \\ u_2^{(2)} \\ u_3^{(2)} \\ u_4^{(2)} \\ v_1^{(2)} \\ v_2^{(2)} \\ v_3^{(2)} \\ v_4^{(2)} \end{bmatrix} = \mathbf{H}^{(2)} \mathbf{u}^{(2)}$$

$$\varepsilon_{xx}^{(2)} = \frac{\partial u^{(2)}}{\partial x} = \begin{bmatrix} \frac{\partial h_1}{\partial x} & \frac{\partial h_2}{\partial x} & \frac{\partial h_3}{\partial x} & \frac{\partial h_4}{\partial x} & 0 & 0 & 0 & 0 \end{bmatrix} \mathbf{u}^{(2)}$$

$$\varepsilon_{yy}^{(2)} = \frac{\partial v^{(2)}}{\partial y} = \begin{bmatrix} 0 & 0 & 0 & 0 & \frac{\partial h_1}{\partial y} & \frac{\partial h_2}{\partial y} & \frac{\partial h_3}{\partial y} & \frac{\partial h_4}{\partial y} \end{bmatrix} \mathbf{u}^{(2)}$$

$$\gamma_{xy}^{(2)} = \frac{\partial v^{(2)}}{\partial x} + \frac{\partial u^{(2)}}{\partial y} = \begin{bmatrix} \frac{\partial h_1}{\partial y} & \frac{\partial h_2}{\partial y} & \frac{\partial h_3}{\partial y} & \frac{\partial h_4}{\partial y} & \frac{\partial h_1}{\partial x} & \frac{\partial h_2}{\partial x} & \frac{\partial h_3}{\partial x} & \frac{\partial h_4}{\partial x} \end{bmatrix} \mathbf{u}^{(2)}$$

$$\boldsymbol{\varepsilon}^{(2)} = \begin{bmatrix} \varepsilon_{xx}^{(2)} \\ \varepsilon_{yy}^{(2)} \\ \gamma_{xy}^{(2)} \end{bmatrix} = \begin{bmatrix} \frac{\partial h_1}{\partial x} & \frac{\partial h_2}{\partial x} & \frac{\partial h_3}{\partial x} & \frac{\partial h_4}{\partial x} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \frac{\partial h_1}{\partial y} & \frac{\partial h_2}{\partial y} & \frac{\partial h_3}{\partial y} & \frac{\partial h_4}{\partial y} \\ \frac{\partial h_1}{\partial y} & \frac{\partial h_2}{\partial y} & \frac{\partial h_3}{\partial y} & \frac{\partial h_4}{\partial y} & \frac{\partial h_1}{\partial x} & \frac{\partial h_2}{\partial x} & \frac{\partial h_3}{\partial x} & \frac{\partial h_4}{\partial x} \end{bmatrix} \begin{bmatrix} u_1^{(2)} \\ \vdots \\ u_4^{(2)} \\ v_1^{(2)} \\ \vdots \\ v_4^{(2)} \end{bmatrix} = \mathbf{B}^{(2)} \mathbf{u}^{(2)}$$

$$\mathbf{K}^{(2)} = \int_{V^{(2)}} \mathbf{B}^{(2)T} \mathbf{C}^{(2)} \mathbf{B}^{(2)} dV^{(2)} = t \int_{-1}^1 \int_{-1}^1 \mathbf{B}^{(2)T}(x, y) \mathbf{C}^{(2)} \mathbf{B}^{(2)}(x, y) dx dy$$

$$\text{with } \mathbf{C}^{(2)} = \frac{E}{1-\nu^2} \begin{bmatrix} 1 & \nu & 0 \\ \nu & 1 & 0 \\ 0 & 0 & \frac{1-\nu}{2} \end{bmatrix}$$

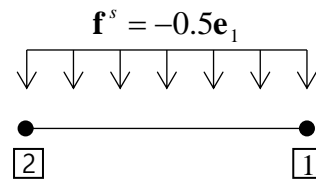
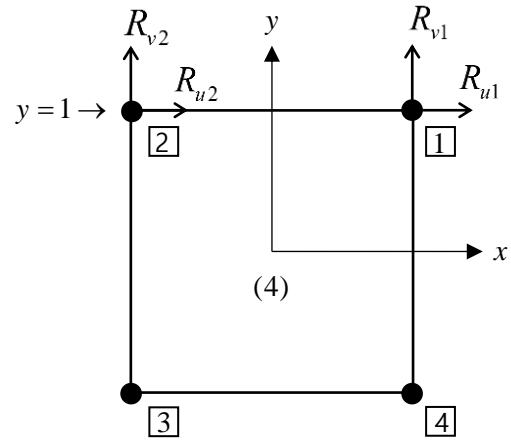
$$\mathbf{K}^{(2)} = \mathbf{K}^{(1)} = \mathbf{K}^{(3)} = \mathbf{K}^{(4)}$$

Stiffness matrix,  $\mathbf{K} : \mathbf{K}^{(1)}_{(8 \times 8)}, \mathbf{K}^{(2)}_{(8 \times 8)}, \mathbf{K}^{(3)}_{(8 \times 8)}, \mathbf{K}^{(4)}_{(8 \times 8)} \rightarrow \mathbf{K}_{(18 \times 18)}$

$$\mathbf{K}_{8 \times 8}^{(2)} = \begin{matrix} & u_1^{(2)} & u_2^{(2)} \\ \delta u_1^{(2)} & \left[ \begin{array}{cc} K_{11}^{(2)} & K_{12}^{(2)} \\ K_{21}^{(2)} & K_{22}^{(2)} \end{array} \right. & \dots \\ \delta u_2^{(2)} & & \dots \\ \vdots & & \ddots \end{matrix} \left. \vphantom{\begin{matrix} \\ \\ \\ \end{matrix}} \right]$$

$$\mathbf{K}_{18 \times 18} = \begin{matrix} & U_1 & U_2 & \dots & U_5 & \dots & U_{11} & \dots & U_{18} \\ \delta U_1 & & & & | & & | & & \\ \delta U_2 & & & & | & & | & & \\ \vdots & & & & | & & | & & \\ \delta U_{11} & - & - & - & K_{12}^{(2)} & - & K_{11}^{(2)} & - & - & - \\ \vdots & & & & | & & | & & \\ \delta U_{18} & & & & | & & | & & \end{matrix} \left[ \vphantom{\begin{matrix} \\ \\ \\ \\ \\ \end{matrix}} \right]$$

Load vector:  $\mathbf{R}$



$$\mathbf{R} = \mathbf{R}_B + \mathbf{R}_S$$

$$\mathbf{R}_B = \sum_m \mathbf{R}_B^{(m)} \quad \text{with} \quad \mathbf{R}_B^{(m)} = \int_{V^{(m)}} \mathbf{H}^{(m)T} \mathbf{f}^{(m)} dV^{(m)}$$

$$\mathbf{R}_S = \sum_m \mathbf{R}_S^{(m)} \quad \text{with} \quad \mathbf{R}_S^{(m)} = \int_{S_f^{(m)}} \mathbf{H}_S^{(m)T} \mathbf{f}^{S(m)} dS^{(m)}$$

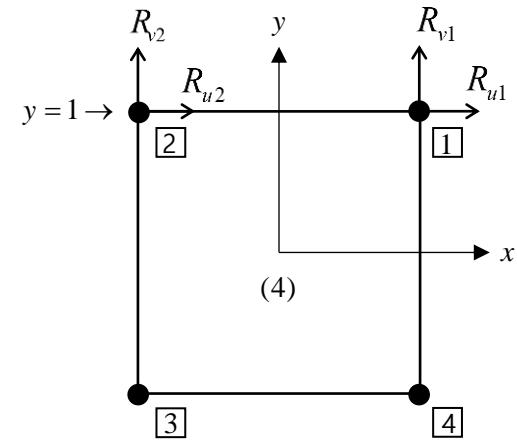
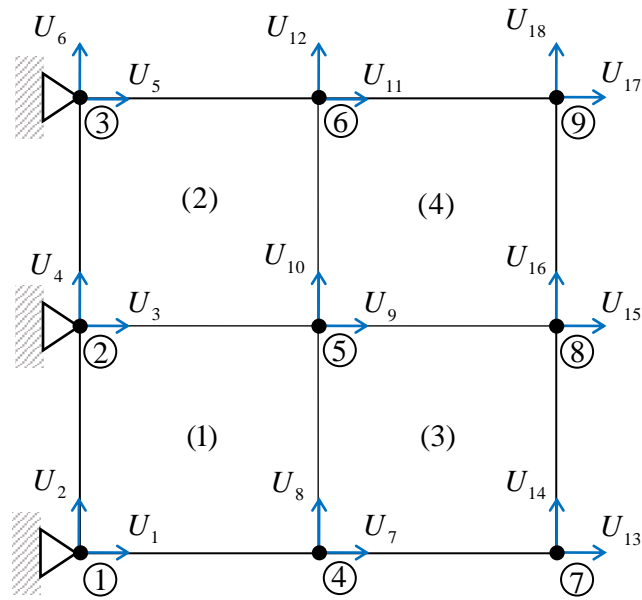
$$\begin{bmatrix} \mathbf{u}^{(4)} \\ \mathbf{v}^{(4)} \end{bmatrix} = \begin{bmatrix} h_1 & h_2 & h_3 & h_4 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & h_1 & h_2 & h_3 & h_4 \end{bmatrix} \mathbf{u}^{(4)} = \mathbf{H}^{(4)} \mathbf{u}^{(4)}$$

$$\mathbf{H}_S^{(4)} = \begin{bmatrix} h_1 & h_2 & h_3 & h_4 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & h_1 & h_2 & h_3 & h_4 \end{bmatrix} \text{ at } y=1$$

$$= \begin{bmatrix} (1+x)/2 & (1-x)/2 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & (1+x)/2 & (1-x)/2 & 0 & 0 \end{bmatrix}$$

$$\mathbf{f}^{S(4)} = \begin{bmatrix} 0 \\ -0.5 \end{bmatrix}$$

$$\mathbf{R}^{(4)} = \mathbf{R}_S^{(4)} = \int_{S_f^{(4)}} \mathbf{H}_S^{(4)T} \mathbf{f}^{S(4)} dS^{(4)} = 1 \times \int_{-1}^1 \mathbf{H}_S^{(4)T} \mathbf{f}^{S(4)} dx = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ -0.5 \\ -0.5 \\ 0 \\ 0 \end{bmatrix}$$



$$\mathbf{R} = \begin{bmatrix} 0 \\ \vdots \\ 0 \\ -0.5 \leftarrow R_{12} \\ 0.7 \leftarrow R_{13} \\ \vdots \\ 0 \\ -0.5 \leftarrow R_{18} \end{bmatrix}$$

We obtain the equilibrium equation.

$$\mathbf{KU} = \mathbf{R}$$

Imposition of displacement BC

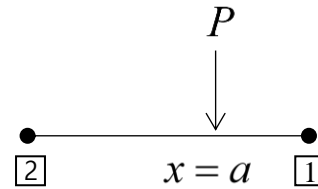
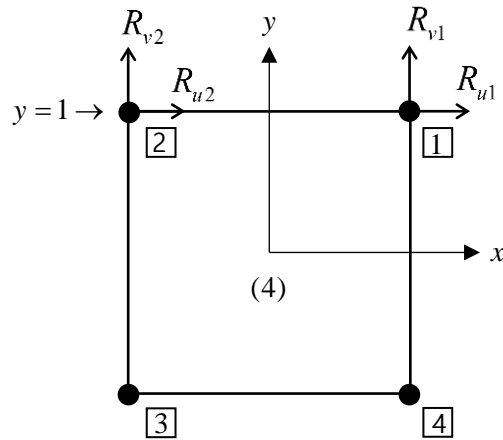
$$\text{Displacement BC : } U_1 = U_2 = U_3 = U_4 = U_5 = U_6 = 0$$

$$\underset{12 \times 12}{\tilde{\mathbf{K}}} \tilde{\mathbf{U}} = \tilde{\mathbf{R}}$$

Strain and stress

$$\begin{cases} \boldsymbol{\varepsilon}^{(m)} = \mathbf{B}^{(m)} \mathbf{u}^{(m)} \\ \boldsymbol{\tau}^{(m)} = \mathbf{C}^{(m)} \mathbf{B}^{(m)} \mathbf{u}^{(m)} \end{cases}$$

## Consideration of a point load



$$\mathbf{f}^{S(4)} = \begin{bmatrix} 0 \\ -P\delta(x-a) \end{bmatrix} \text{ with Dirac's delta function } \delta$$

$$\delta(x) = +\infty \text{ at } x=0 \text{ and } \delta(x)=0 \text{ at } x \neq 0$$

$$\int_{-\infty}^{\infty} \delta(x) dx = 1, \quad \int_{-\infty}^{\infty} f(x)\delta(x-a) dx = f(a)$$

$$\mathbf{R}^{(4)} = \mathbf{R}_S^{(4)} = \int_{S_f^{(4)}} \mathbf{H}_S^{(4)T} \mathbf{f}^{S(4)} dS^{(4)}$$

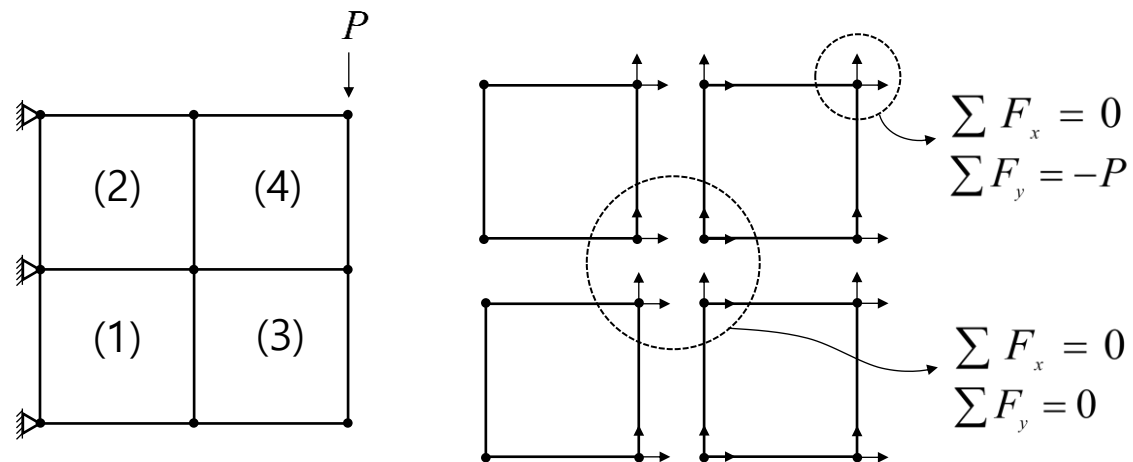
$$= 1 \times \int_{-1}^1 \mathbf{H}_S^{(4)T} \mathbf{f}^{S(4)} dx = 1 \times \begin{bmatrix} (1+a)/2 & 0 \\ (1-a)/2 & 0 \\ 0 & 0 \\ 0 & 0 \\ 0 & (1+a)/2 \\ 0 & (1-a)/2 \\ 0 & 0 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} 0 \\ -P \end{bmatrix} = -P \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ (1+a)/2 \\ (1-a)/2 \\ 0 \\ 0 \end{bmatrix}$$

## 5. FE solutions and Convergence

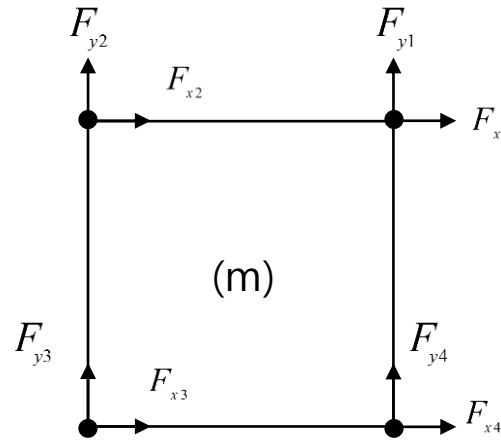
### Global equilibrium at nodes

The internal forces acting at nodes are in equilibrium with the external forces.

Ex)



Let us consider the internal forces of element m



$\mathbf{F}^{(m)} = [F_{x1} \quad F_{x2} \quad F_{x3} \quad F_{x4} \quad F_{y1} \quad F_{y2} \quad F_{y3} \quad F_{y4}]^T$ ; the vector of element nodal point forces

PVW is satisfied in element m

$$\int_{V^{(m)}} (\delta \boldsymbol{\varepsilon}^{(m)})^T \boldsymbol{\tau}^{(m)} dV = \delta \mathbf{u}^T \mathbf{F}^{(m)} \quad \rightarrow \quad \cancel{\delta \mathbf{u}^T} \int_{V^{(m)}} (\mathbf{B}^{(m)})^T \boldsymbol{\tau}^{(m)} dV = \cancel{\delta \mathbf{u}^T} \mathbf{F}^{(m)}$$

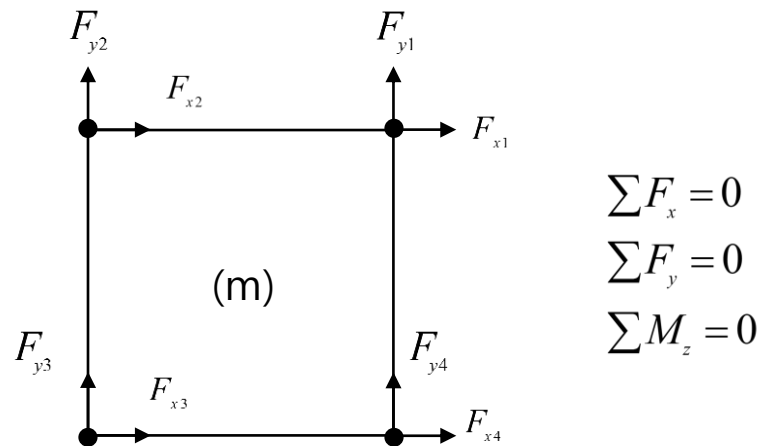
$$\mathbf{F}^{(m)} = \int_{V^{(m)}} (\mathbf{B}^{(m)})^T \boldsymbol{\tau}^{(m)} dV^{(m)}$$

$$\begin{aligned}\sum_m \mathbf{F}^{(m)} &= \sum_m \int_{V^{(m)}} (\mathbf{B}^{(m)})^T \boldsymbol{\tau}^{(m)} dV^{(m)} \quad \leftarrow \boldsymbol{\tau}^{(m)} = \mathbf{C}^{(m)} \mathbf{B}^{(m)} \mathbf{U} \\ &= \sum_m \left[ \int_{V^{(m)}} (\mathbf{B}^{(m)})^T \mathbf{C}^{(m)} \mathbf{B}^{(m)} dV^{(m)} \right] \mathbf{U} \\ &= \mathbf{K} \mathbf{U} = \mathbf{R}\end{aligned}$$

$$\rightarrow \sum_m \mathbf{F}^{(m)} = \mathbf{R}$$

## Global equilibrium in each element

Each element is in equilibrium under its element forces,  $\mathbf{F}^{(m)}$ .



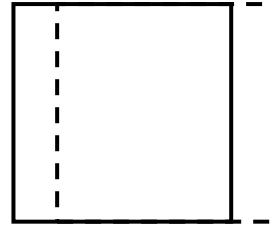
$$\mathbf{F}^{(m)} = \int_{V^{(m)}} (\mathbf{B}^{(m)})^T \boldsymbol{\tau}^{(m)} dV^{(m)}$$

$$\delta \mathbf{u}_R^T \mathbf{F}^{(m)} = \delta \mathbf{u}_R^T \int_{V^{(m)}} (\mathbf{B}^{(m)})^T \boldsymbol{\tau}^{(m)} dV^{(m)}$$

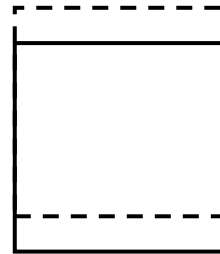
where  $\delta \mathbf{u}_R$  is a virtual nodal displacement vector corresponding to "rigid body motion."

Rigid body motions in 2D: two translations and one rotation

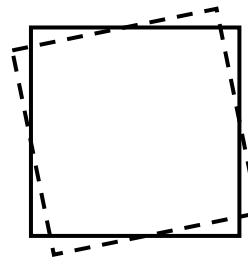
$$\delta \mathbf{u}_{R_x} = [1 \quad 1 \quad 1 \quad 1 \quad 0 \quad 0 \quad 0 \quad 0]^T$$



$$\delta \mathbf{u}_{R_y} = [0 \quad 0 \quad 0 \quad 0 \quad 1 \quad 1 \quad 1 \quad 1]^T$$



$$\delta \mathbf{u}_{R_\theta} = [-1 \quad -1 \quad 1 \quad 1 \quad 1 \quad -1 \quad -1 \quad 1]^T$$

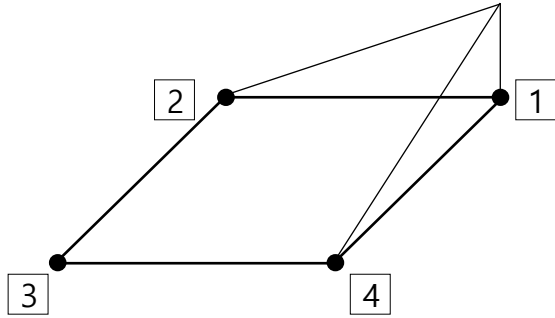


$$\begin{aligned}
\delta \mathbf{u}_R^T \mathbf{F}^{(m)} &= \delta \mathbf{u}_R^T \int_{V^{(m)}} (\mathbf{B}^{(m)})^T \boldsymbol{\tau}^{(m)} dV^{(m)} \\
&= \int_{V^{(m)}} (\mathbf{B}^{(m)} \delta \mathbf{u}_R)^T \boldsymbol{\tau}^{(m)} dV^{(m)} \\
&\quad \swarrow \quad \searrow \\
&\quad \delta \boldsymbol{\varepsilon}_R = 0 \quad : \text{Virtual strain in rigid body motions} \\
&= 0
\end{aligned}$$

$$\delta \mathbf{u}_R^T \mathbf{F}^{(m)} = 0 \quad \rightarrow \quad \delta \mathbf{u}_{Rx}^T \mathbf{F}^{(m)} = \sum \mathbf{F}_x = 0, \quad \delta \mathbf{u}_{Ry}^T \mathbf{F}^{(m)} = \sum \mathbf{F}_y = 0, \quad \delta \mathbf{u}_{R\theta}^T \mathbf{F}^{(m)} = \sum \mathbf{M}_z = 0$$

$$\text{Ex) } \delta \mathbf{u}_R = \delta \mathbf{u}_{Rx} \quad \rightarrow \quad \delta \mathbf{u}_{Rx}^T \mathbf{F}^{(m)} = F_{x1} + F_{x2} + F_{x3} + F_{x4} = 0$$

To satisfy this property, rigid body motions should be contained in the element displacement interpolation. That is, the displacement interpolation function should represent rigid body motions.



$$h_1 = \frac{1}{4}(1+x)(1+y)$$

$$h_2 = \frac{1}{4}(1-x)(1+y)$$

$$h_3 = \frac{1}{4}(1-x)(1-y)$$

$$h_4 = \frac{1}{4}(1+x)(1-y)$$

$$u = \sum_i h_i u_i$$

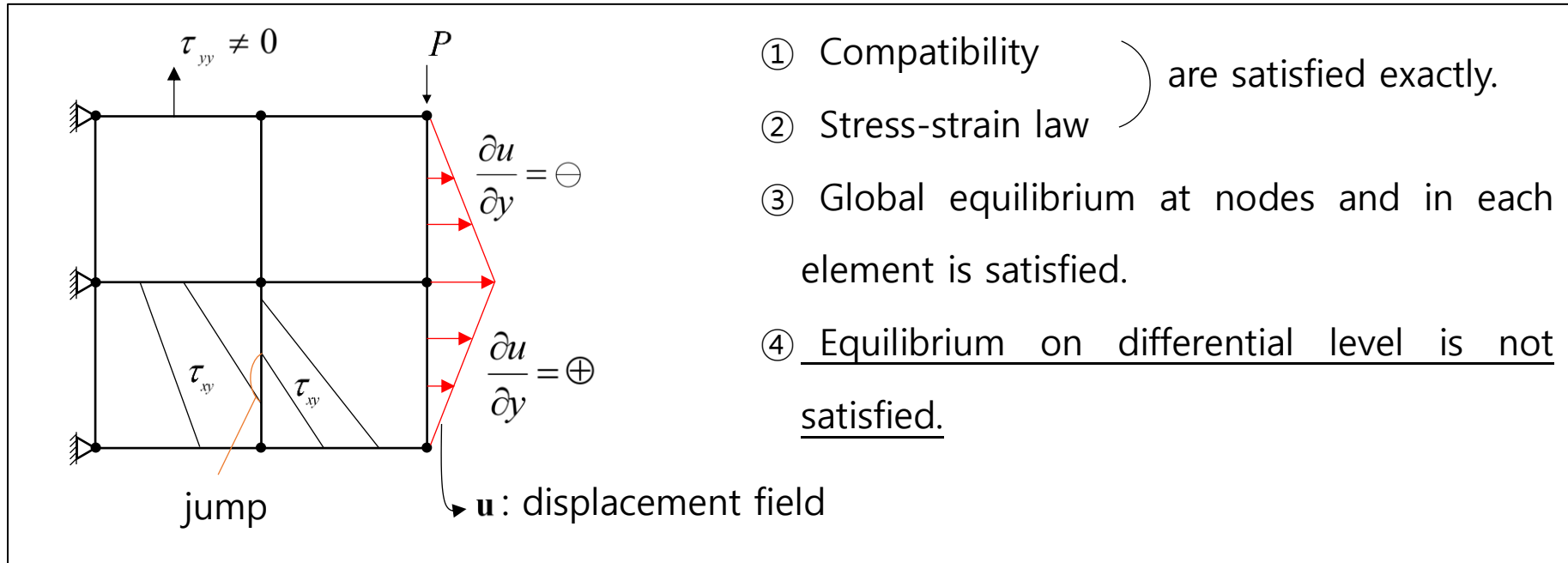
$$v = \sum_i h_i v_i$$

Let us assume  $u_i = \Delta$  (rigid body translation in the x-direction)

$$u = \sum_i h_i \Delta = \Delta \sum_i h_i = \Delta \quad \rightarrow \quad \sum_i h_i = 1$$

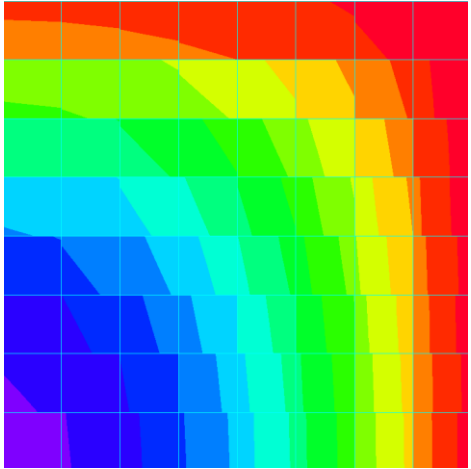
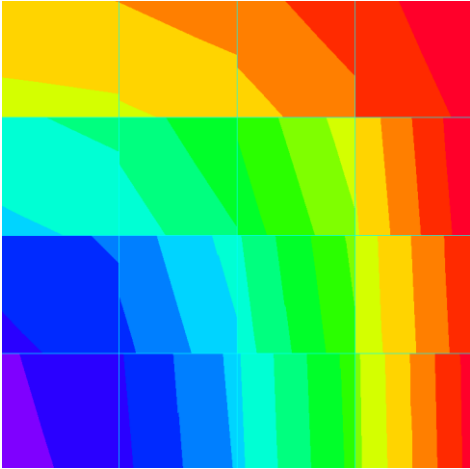
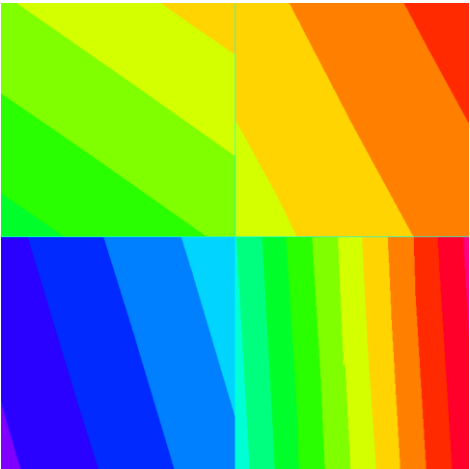
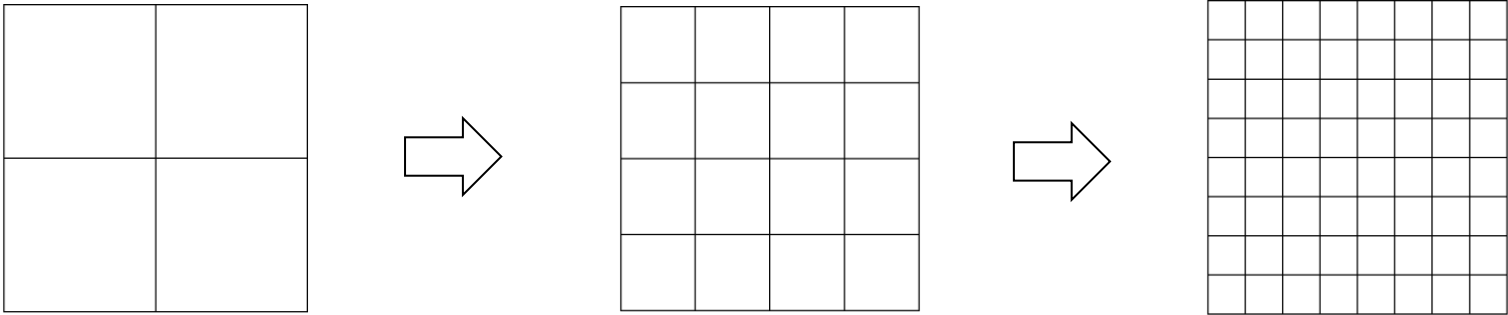
$$\varepsilon_{xx} = \frac{\partial u}{\partial x} = \sum_i \frac{\partial h_i}{\partial x} \Delta = \Delta \sum_i \frac{\partial h_i}{\partial x} = 0 \quad \rightarrow \quad \sum_i \frac{\partial h_i}{\partial x} = 0, \quad \sum_i \frac{\partial h_i}{\partial y} = 0$$

→ "Basic requirements for shape functions"



- ① Compatibility
  - ② Stress-strain law
  - ③ Global equilibrium at nodes and in each element is satisfied.
  - ④ Equilibrium on differential level is not satisfied.
- ) are satisfied exactly.

As we take a finer and finer mesh, the stress/strain discontinuity becomes smaller and smaller.



## Principle of Virtual Work

$$\text{PVW} : \int_V \delta \boldsymbol{\varepsilon}^T \boldsymbol{\tau} dV = \int_V \delta \mathbf{u}^T \mathbf{f}^B dV + \int_{S_f} \delta \mathbf{u}^T \mathbf{f}^S dS$$

$\delta \boldsymbol{\varepsilon}(\mathbf{u})$ : virtual strain,  $\boldsymbol{\tau}(\mathbf{u})$ : exact stress (solution)

$\delta \mathbf{u}$ : virtual displacement,  $\mathbf{u}$ : exact displacement (solution)

$$\Rightarrow \text{Find } \mathbf{u} \in \vec{V} \text{ such that } \int_V \delta \boldsymbol{\varepsilon}^T \boldsymbol{\tau} dV = \int_V \delta \mathbf{u}^T \mathbf{f}^B dV + \int_{S_f} \delta \mathbf{u}^T \mathbf{f}^S dS \text{ for } \forall \delta \mathbf{u} \in \vec{V}$$

$$\Rightarrow \text{Find } \mathbf{u} \in \vec{V} \text{ such that } a(\mathbf{u}, \mathbf{v}) = (\mathbf{f}, \mathbf{v}) \text{ for } \forall \mathbf{v} \in \vec{V}$$

① Linear form

② Bilinear form

③ Space of function

### ① Linear form $(\mathbf{f}, \cdot)$

- Linear form is linear in the second term.
- Linearity :  $(\mathbf{f}, \gamma_1 \mathbf{v}_1 + \gamma_2 \mathbf{v}_2) = \gamma_1 (\mathbf{f}, \mathbf{v}_1) + \gamma_2 (\mathbf{f}, \mathbf{v}_2)$

② Bilinear form  $a(\cdot, \cdot)$

- Bilinear form is linear in the first and second terms including all derivatives, material law and integration.

- Bilinearity :

$$a(\gamma_1 \mathbf{u}_1 + \gamma_2 \mathbf{u}_2, \mathbf{v}) = \gamma_1 a(\mathbf{u}_1, \mathbf{v}) + \gamma_2 a(\mathbf{u}_2, \mathbf{v})$$

$$a(\mathbf{u}, \gamma_1 \mathbf{v}_1 + \gamma_2 \mathbf{v}_2) = \gamma_1 a(\mathbf{u}, \mathbf{v}_1) + \gamma_2 a(\mathbf{u}, \mathbf{v}_2)$$

③ Solution space of function  $\vec{v}$

-  $\vec{V} = \left\{ \mathbf{v} \mid \mathbf{v} \in L^2(Vol); \frac{\partial v_i}{\partial x_j} \in L^2(Vol), i, j=1,2,3; v_i|_{S_u} = 0, i=1,2,3 \right\},$

where  $L^2(Vol) = \left\{ \mathbf{w} \mid \mathbf{w} \text{ is defined in } Vol \text{ and } \|\mathbf{w}\|_{L^2(Vol)}^2 = \int_{Vol} \left( \sum_{i=1}^3 (w_i)^2 \right) dVol < +\infty \right\}$

-  $L^2(Vol)$  is the space of square integrable functions in the volume.

## Ellipticity

If a body is well supported (no rigid body motion is allowed),

$$\exists \alpha > 0 \text{ such that } a(\mathbf{w}, \mathbf{w}) \geq \alpha \|\mathbf{w}\|_1^2, \quad \forall \mathbf{w} \in \vec{V},$$

$$\text{where } \|\mathbf{w}\|_1^2 = \int_{Vol} \left( \sum_{i=1}^3 (w_i)^2 \right) dVol + \int_{Vol} \left( \sum_{i=1, j=1}^3 \left( \frac{\partial w_i}{\partial x_j} \right)^2 \right) dVol .$$

- This is an important property of  $a(\cdot, \cdot)$  and  $\exists$  denotes "There exists".
- $a(\mathbf{w}, \mathbf{w})$  is twice the strain energy stored in the body when the body is subjected to the displacement field  $\mathbf{w}(\in \vec{V})$ .
- Strain energy is greater than zero for any  $\mathbf{w}$  different from zero displacement.

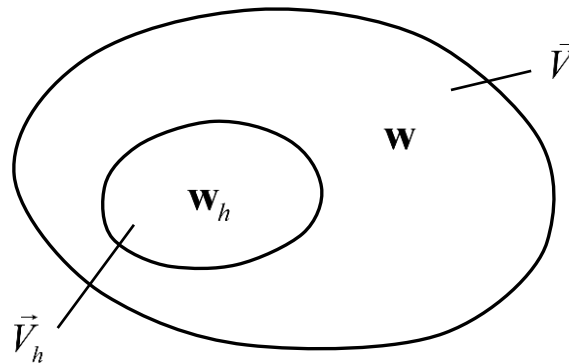
## Convergence of FE Solutions

Let  $\mathbf{u}_h$  be the FE (approximated) solution obtained from the following procedure.

Find  $\mathbf{u}_h \in \vec{V}_h$  such that  $a(\mathbf{u}_h, \mathbf{v}_h) = (\mathbf{f}, \mathbf{v}_h)$  for  $\forall \mathbf{v}_h \in \vec{V}_h$ ,

where  $\vec{V}_h = \left\{ \mathbf{v}_h \mid \mathbf{v}_h \in L^2(\text{Vol}); \frac{\partial (v_h)_i}{\partial x_j} \in L^2(\text{Vol}), i, j=1,2,3; (v_h)_i|_{S_u} = 0, i=1,2,3 \right\}$ .

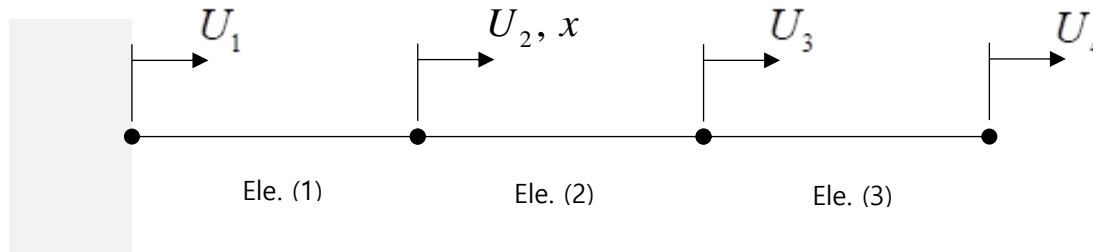
$\vec{V}_h$  is the function space of the FE solutions and  $\vec{V}_h \subset \vec{V}$ .



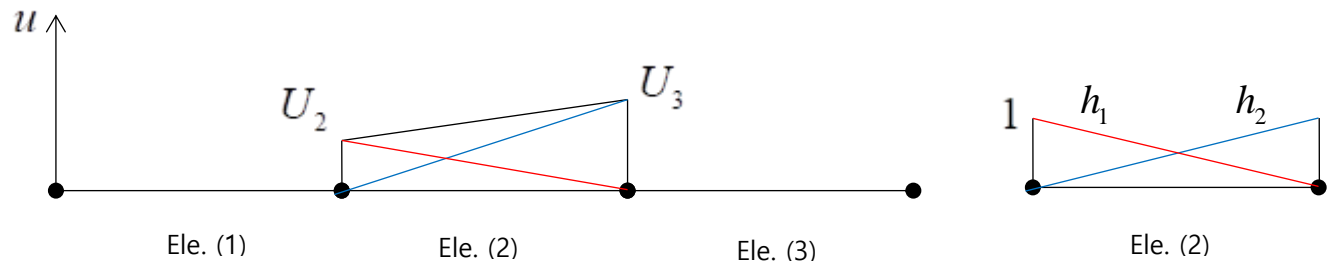
(Note) Function space for the FE solutions  $\vec{V}_h$

A set of functions that can be represented by FE basis functions.

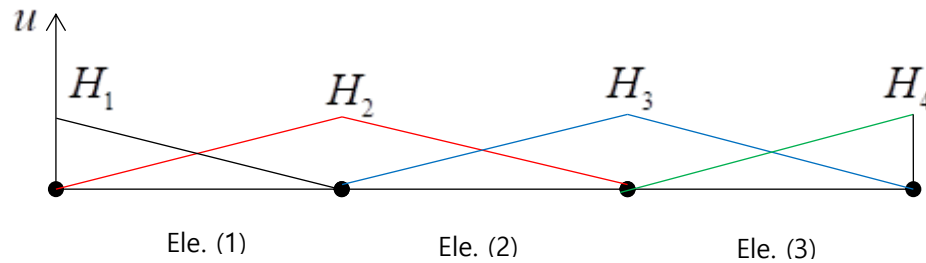
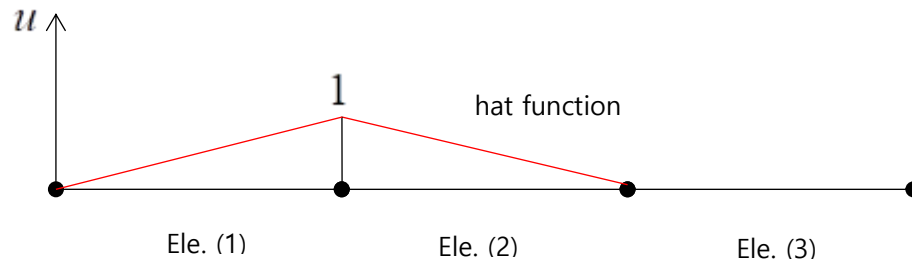
Ex) Bar problem modeled by 3 elements



$$u^{(2)}(x) = \left(1 - \frac{x}{L}\right)U_2 + \frac{x}{L}U_3 = h_1(x)U_2 + h_2(x)U_3$$



When  $U_2 = 1$  and other nodal displacements = 0, a FE basis function  $H_2$  is defined.



Here, the function space of the FE solutions contains all the functions represented by

$$u = H_1(x)U_1 + H_2(x)U_2 + H_3(x)U_3 + H_4(x)U_4.$$

Considering the FE solution  $\mathbf{u}_h$  and the exact solution  $\mathbf{u}$ , we have the following important properties.

### Property 1.

Let the error  $\mathbf{e}_h$  between the exact solution  $\mathbf{u}$  and the FE solution  $\mathbf{u}_h$  as  $\mathbf{e}_h = \mathbf{u} - \mathbf{u}_h$ . Then, the first property is  $a(\mathbf{e}_h, \mathbf{v}_h) = 0$  for  $\forall \mathbf{v}_h \in \vec{V}_h$ . (orthogonality of error)

(Proof)

$$\text{PVW: } a(\mathbf{u}, \mathbf{v}) = (\mathbf{f}, \mathbf{v})$$

$$a(\mathbf{u}, \mathbf{v}_h) = (\mathbf{f}, \mathbf{v}_h) \text{ because } \mathbf{v}_h \in \vec{V}_h \subset \vec{V} \quad (\text{eq. 5.1})$$

$$a(\mathbf{u}_h, \mathbf{v}_h) = (\mathbf{f}, \mathbf{v}_h) \quad (\text{eq. 5.2})$$

Subtracting (eq. 5.2) from (eq. 5.1),  $a(\mathbf{u}, \mathbf{v}_h) - a(\mathbf{u}_h, \mathbf{v}_h) = 0$ .

Due to bilinearity,  $a(\mathbf{u} - \mathbf{u}_h, \mathbf{v}_h) = 0$

Finally,  $a(\mathbf{e}_h, \mathbf{v}_h) = 0$  with  $\mathbf{e}_h = \mathbf{u} - \mathbf{u}_h$ .

$\therefore$  The error is "orthogonal in  $a(\cdot, \cdot)$ " to all  $\mathbf{v}_h$  in  $\vec{V}_h$ .

## Property 2.

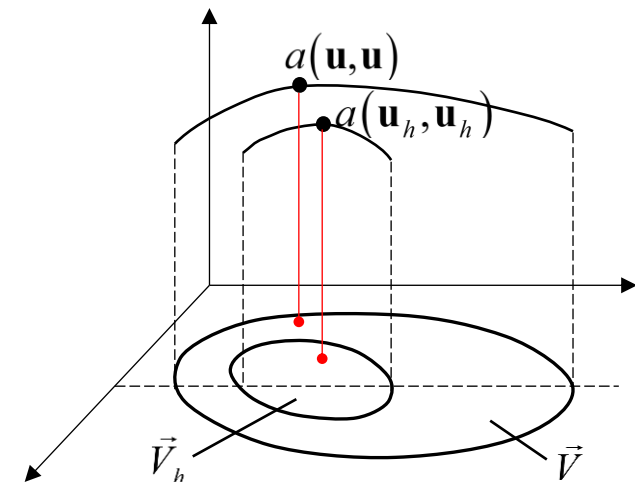
The strain energy corresponding to the FE solution is always smaller than or equal to the strain energy corresponding to the exact solution:  $a(\mathbf{u}_h, \mathbf{u}_h) \leq a(\mathbf{u}, \mathbf{u})$ .

(Proof)

$$\begin{aligned} a(\mathbf{u}, \mathbf{u}) &= a(\mathbf{u}_h + \mathbf{e}_h, \mathbf{u}_h + \mathbf{e}_h) \\ &= a(\mathbf{u}_h, \mathbf{u}_h) + 2a(\mathbf{u}_h, \mathbf{e}_h) + a(\mathbf{e}_h, \mathbf{e}_h) \\ &\quad \begin{array}{l} \nearrow 0 \\ \text{orthogonality} \end{array} \\ &= a(\mathbf{u}_h, \mathbf{u}_h) + a(\mathbf{e}_h, \mathbf{e}_h) \end{aligned}$$

$$a(\mathbf{e}_h, \mathbf{e}_h) \geq 0 \text{ from ellipticity}$$

$$\therefore a(\mathbf{u}_h, \mathbf{u}_h) \leq a(\mathbf{u}, \mathbf{u}).$$



From "Property 2", the following convergence directions are obtained.

	FE solutions	→	Exact solutions
Energy	$E_h$	$\leq$	$E$
Displacement	$\mathbf{u}_h$	$\leq$	$\mathbf{u}$
Stiffness	$\mathbf{K}_h$	$\geq$	$\mathbf{K}$
Strain & Stress	$\boldsymbol{\varepsilon}_h, \boldsymbol{\tau}_h$	$\leq$	$\boldsymbol{\varepsilon}, \boldsymbol{\tau}$

### Property 3.

The FE solution  $\mathbf{u}_h$  is chosen from all the possible displacement patterns  $\mathbf{v}_h$  in  $\vec{V}_h$  such that the strain energy corresponding to the error ( $\mathbf{e}_h = \mathbf{u} - \mathbf{u}_h$ ) is the minimum:

$$a(\mathbf{e}_h, \mathbf{e}_h) \leq a(\mathbf{u} - \mathbf{v}_h, \mathbf{u} - \mathbf{v}_h) \quad \text{for } \forall \mathbf{v}_h \in \vec{V}_h.$$

(Proof)

Let us consider  $\mathbf{w}_h \in \vec{V}_h$ .

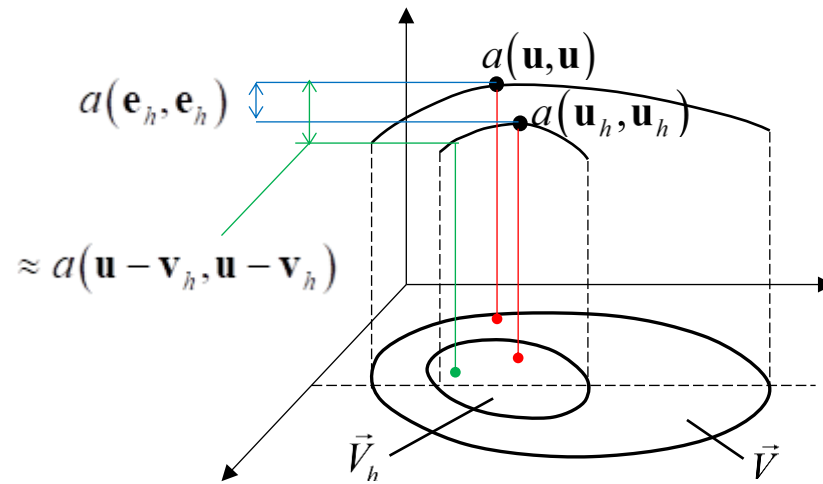
$$a(\mathbf{e}_h + \mathbf{w}_h, \mathbf{e}_h + \mathbf{w}_h) = a(\mathbf{e}_h, \mathbf{e}_h) + a(\mathbf{w}_h, \mathbf{w}_h) \quad \text{for } \forall \mathbf{w}_h \in \vec{V}_h.$$

Due to ellipticity  $a(\mathbf{w}_h, \mathbf{w}_h) \geq 0$ ,

$$a(\mathbf{e}_h, \mathbf{e}_h) \leq a(\mathbf{e}_h + \mathbf{w}_h, \mathbf{e}_h + \mathbf{w}_h)$$

Let  $\mathbf{w}_h = \mathbf{u}_h - \mathbf{v}_h$  and then  $\mathbf{e}_h + \mathbf{w}_h = \mathbf{u} - \mathbf{v}_h$ .

$$\therefore a(\mathbf{e}_h, \mathbf{e}_h) \leq a(\mathbf{u} - \mathbf{v}_h, \mathbf{u} - \mathbf{v}_h).$$



## Principle of Minimum Potential Energy

A structure or body shall deform or displace to a position that minimizes the total potential energy: (Total potential energy) = (Strain energy potential) – (Force potential).

$$\Pi(\mathbf{u}) = \frac{1}{2} \int_V \boldsymbol{\varepsilon}^T \mathbf{C} \boldsymbol{\varepsilon} dV - \left[ \int_V \mathbf{u}^T \mathbf{f}^B dV + \int_{S_f} \mathbf{u}^T \mathbf{f}^S dS \right] = \frac{1}{2} a(\mathbf{u}, \mathbf{u}) - (\mathbf{f}, \mathbf{u})$$

Then, the exact solution is given by

$$\mathbf{u} = \min \Pi(\mathbf{w}) \quad \text{for } \forall \mathbf{w} \in \vec{V}.$$

The solution procedure for linear elastic problems is represented by

$$\text{Find } \mathbf{u} \in \vec{V} \text{ such that } \Pi(\mathbf{u}) \leq \Pi(\mathbf{w}) \text{ for } \forall \mathbf{w} \in \vec{V}.$$

Let  $\mathbf{w} = \mathbf{u} + \mathbf{v}$ .

$$\Pi(\mathbf{u}) \leq \Pi(\mathbf{w})$$

$$\Pi(\mathbf{w}) - \Pi(\mathbf{u}) \geq 0$$

$$\frac{1}{2}a(\mathbf{u} + \mathbf{v}, \mathbf{u} + \mathbf{v}) - (\mathbf{f}, \mathbf{u} + \mathbf{v}) - \left[ \frac{1}{2}a(\mathbf{u}, \mathbf{u}) - (\mathbf{f}, \mathbf{u}) \right] \geq 0$$

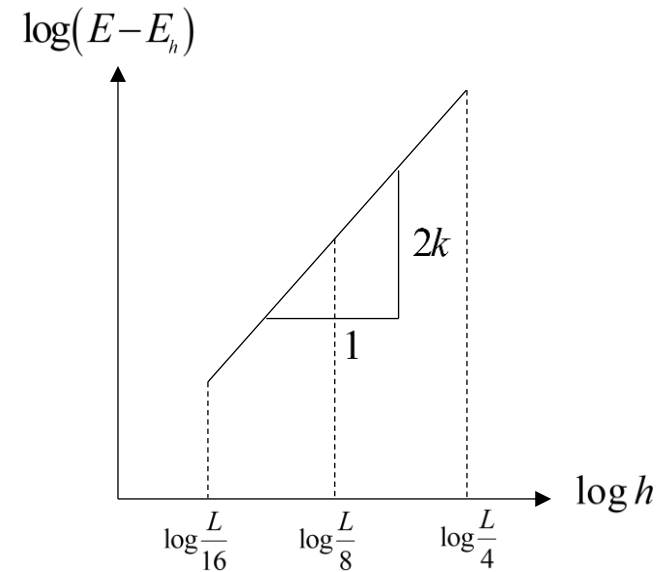
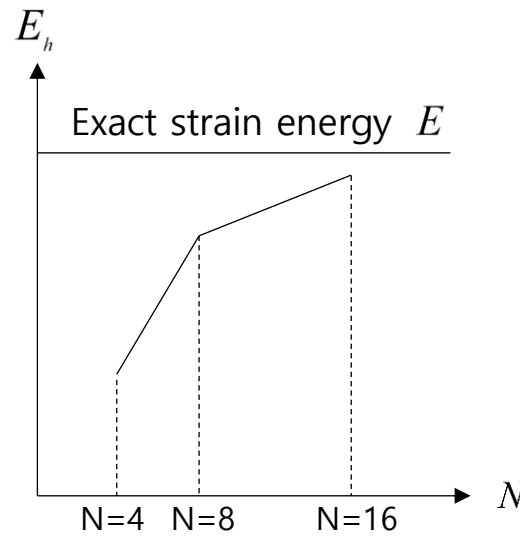
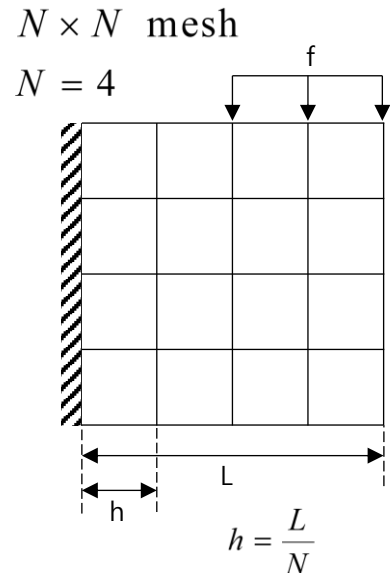
$$a(\mathbf{u}, \mathbf{v}) - (\mathbf{f}, \mathbf{v}) + \frac{1}{2}a(\mathbf{v}, \mathbf{v}) \geq 0$$

$$\therefore a(\mathbf{u}, \mathbf{v}) - (\mathbf{f}, \mathbf{v}) = 0: \text{PVW}$$

The principle can be used to find the finite element solution  $\mathbf{u}_h$ .

$$\text{Find } \mathbf{u}_h \in \vec{V}_h \text{ such that } \Pi(\mathbf{u}_h) \leq \Pi(\mathbf{w}_h) \quad \text{for } \forall \mathbf{w}_h \in \vec{V}_h.$$

## Rate of Convergence



$$\log |E - E_h| = \log C + 2k \log h$$

where  $E_h$ : FE strain energy,  $h$ : element size and  $k$ : order of convergence

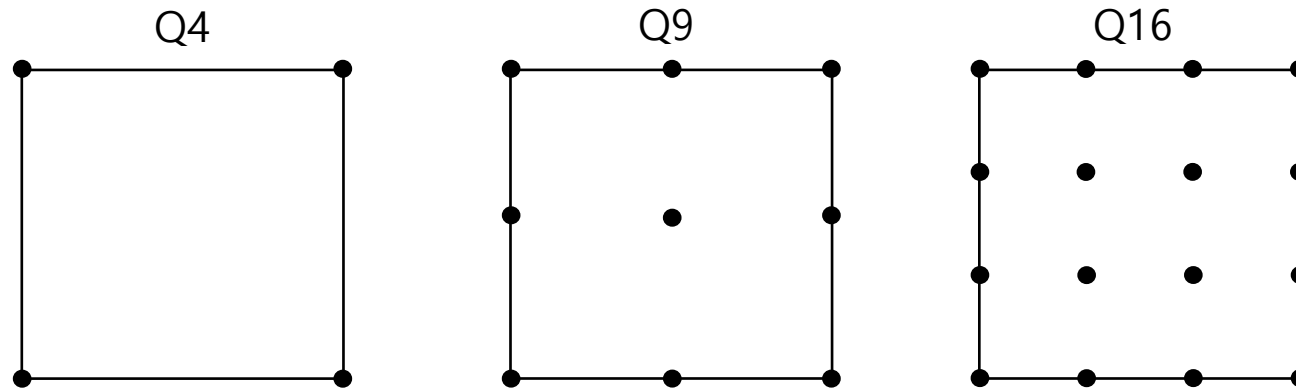
$$|E - E_h| = Ch^{2k}: \text{Rate of convergence}$$

└─ Constant depending on geometry, element, etc.

Convergence for displacement gradients, strain and stress:  $\|\mathbf{e}\|_{L^2(Vol)} = \sqrt{\int_{Vol} \left( \sum_{i=1}^3 (e_i)^2 \right) dVol} = Ch^k$

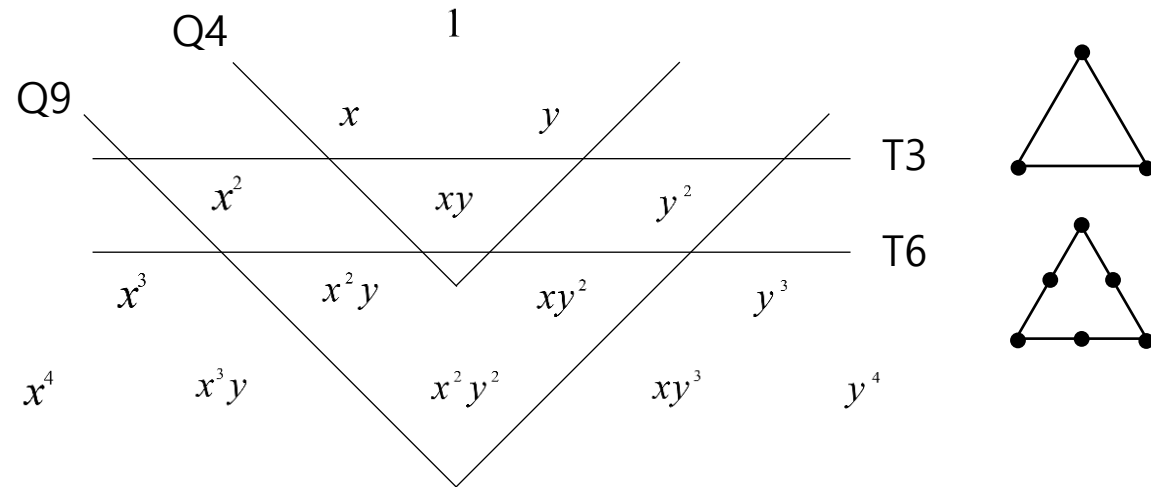
Convergence for displacements:  $\|\mathbf{e}\|_{L^2(Vol)} = \sqrt{\int_{Vol} \left( \sum_{i=1}^3 (e_i)^2 \right) dVol} = Ch^{k+1}$

In 2D quadrilateral elements,



4-node element:  $k = 1$ , 9-node element:  $k = 2$ , 16-node element:  $k = 3$

# Pascal Triangle

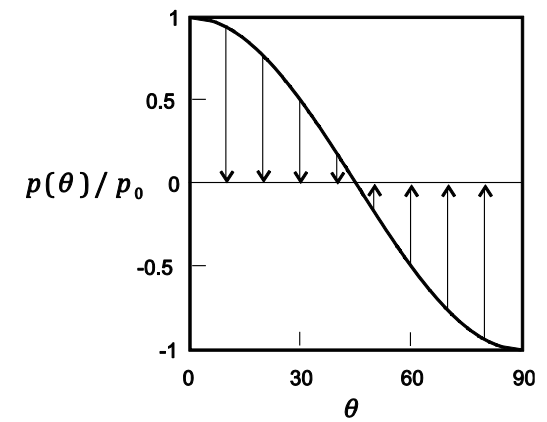
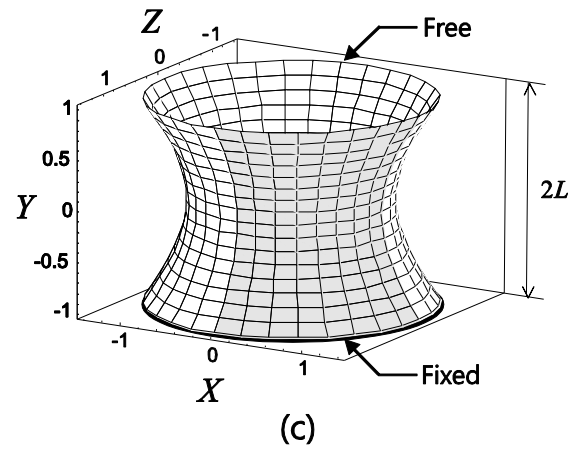
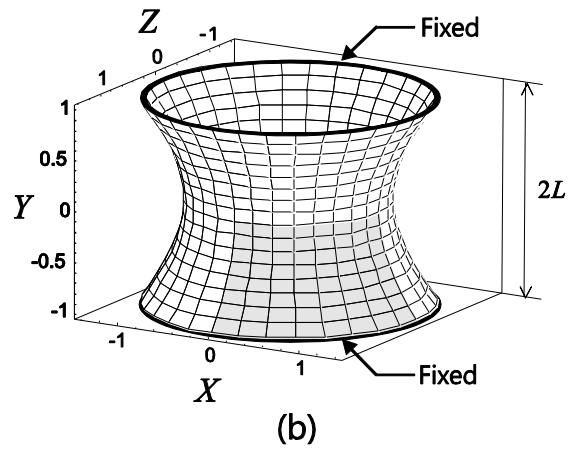
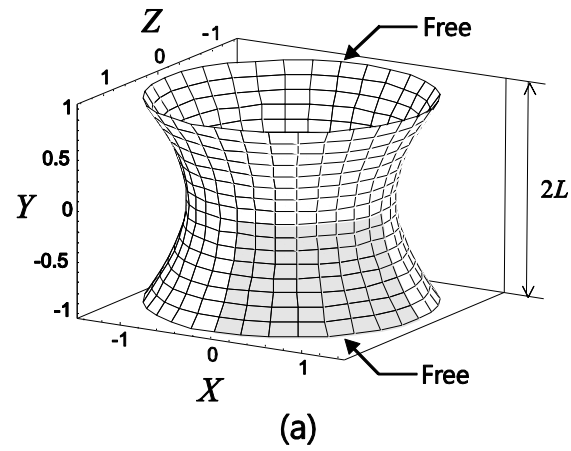
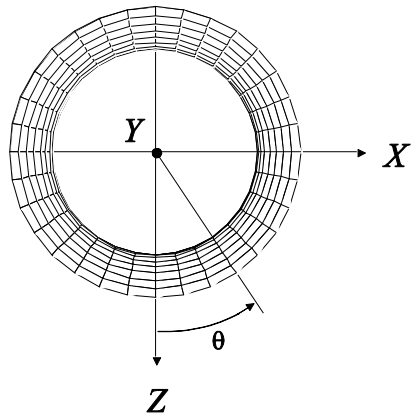


Q4, T3:  $k = 1$

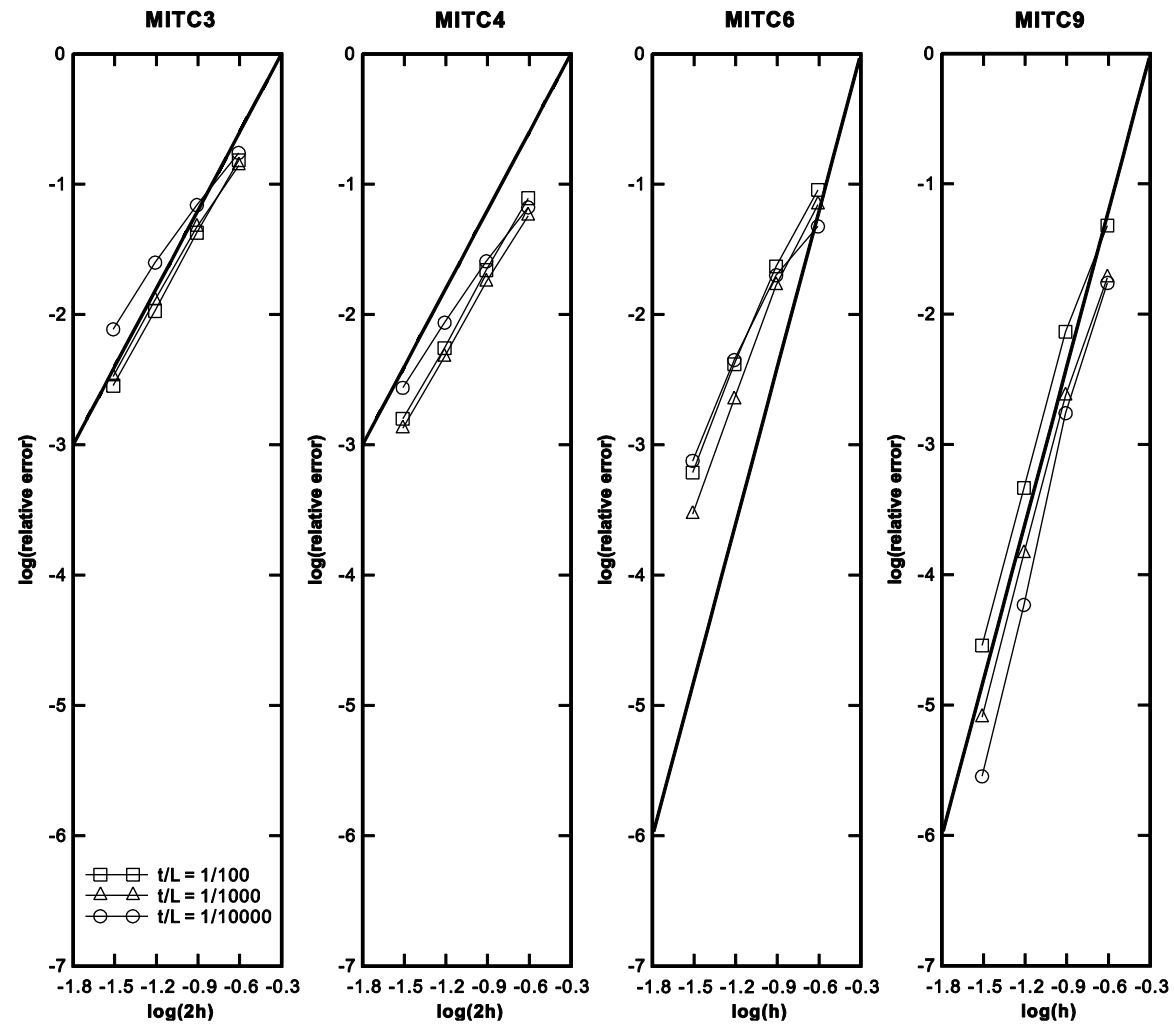
Q9, T6:  $k = 2$

Q16, T10:  $k = 3$  with  $k$ : degree of complete polynomial

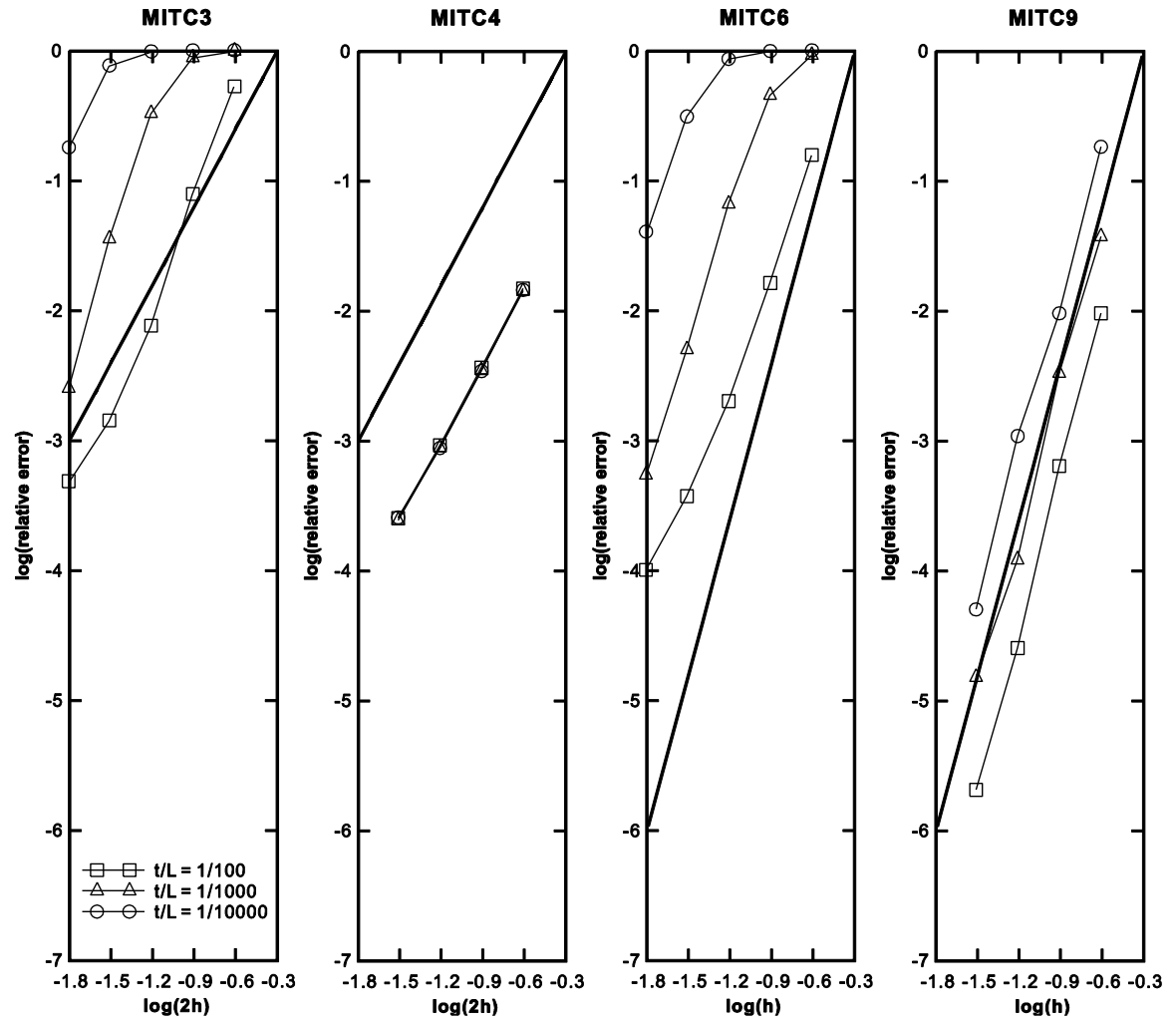
## Example - Hyperboloid shell problem



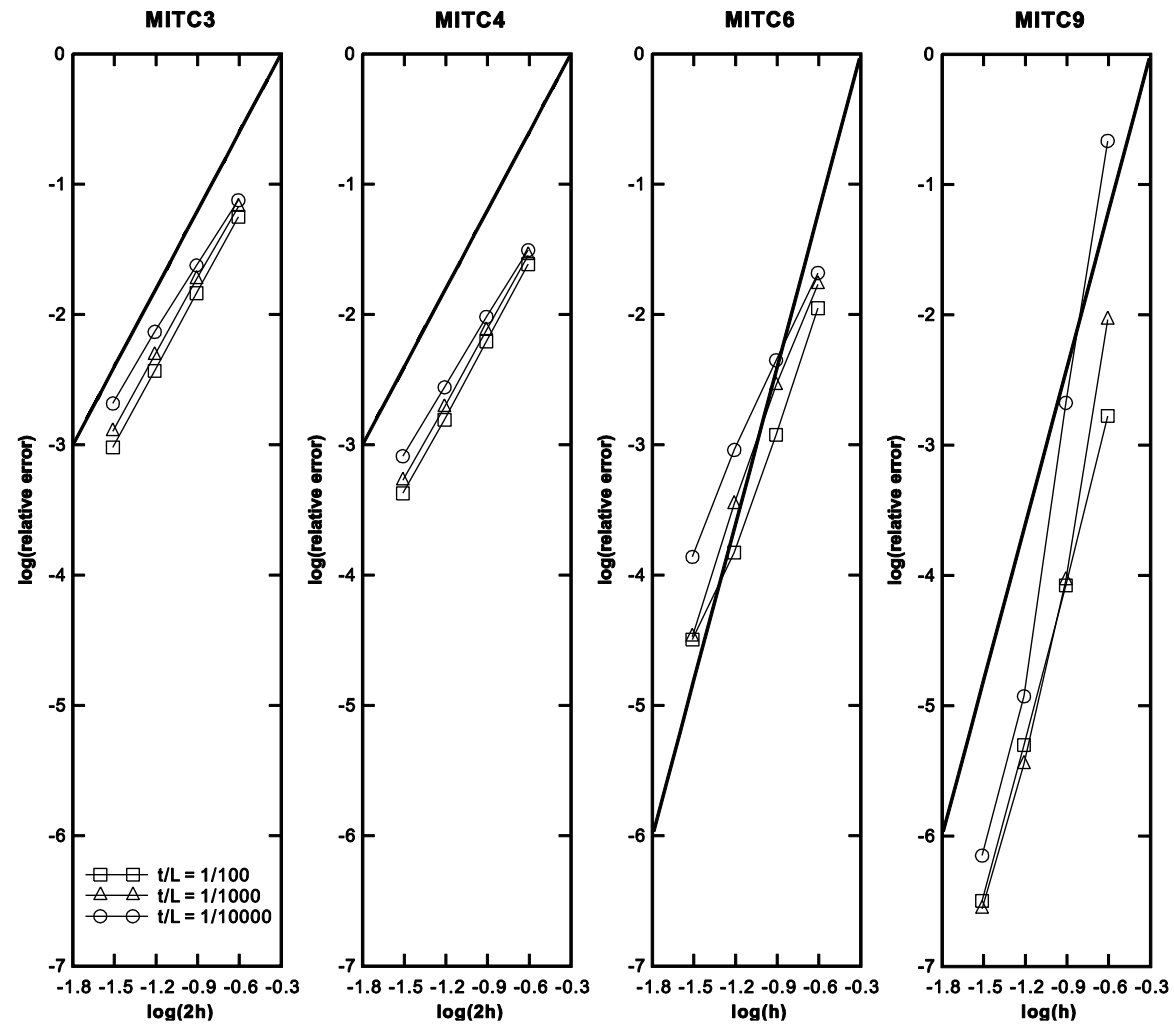
# Fixed-fixed boundary



# Free-free boundary



# Fixed-free boundary

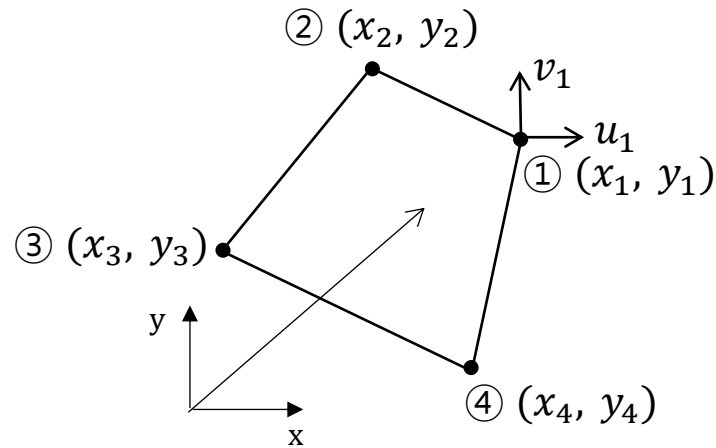


The convergence behavior depends on

- Problems: geometry, material properties, force and displacement BCs ...
- Finite elements used: element order (degree of complete polynomial), element geometry (triangular elements, quadrilateral elements...) and so on.
- Meshes: regular meshes, irregular (distorted) meshes ...

## 6. Isoparametric Finite Element Procedure

Let us consider a 2D 4-node element  $m$



Interpolation of geometry

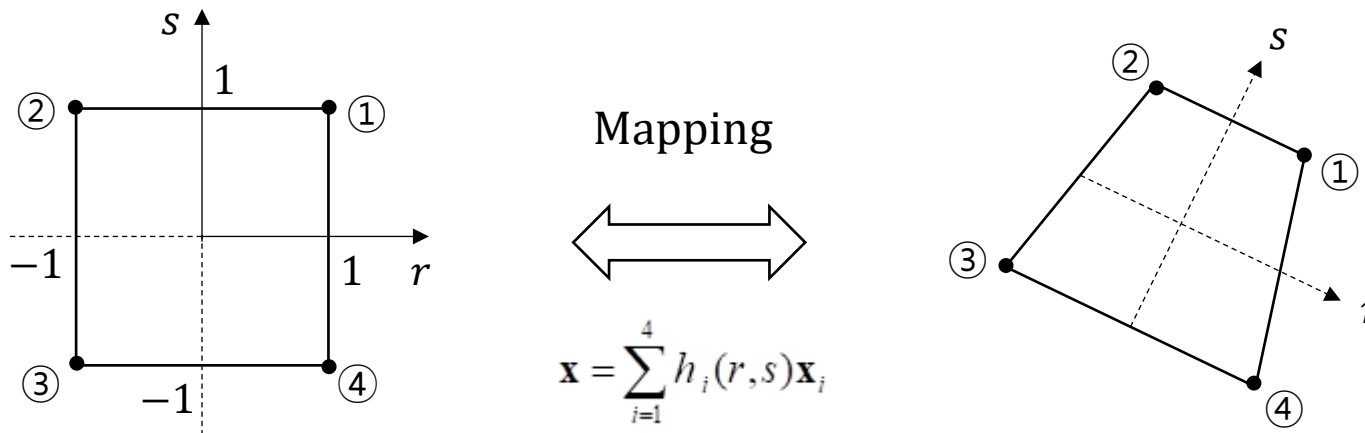
$$x^{(m)} = \sum_{i=1}^4 h_i x_i, \quad y^{(m)} = \sum_{i=1}^4 h_i y_i, \quad \text{where } x_i \text{ and } y_i \text{ are nodal coordinates.}$$

Interpolation of displacements

$$u^{(m)} = \sum_{i=1}^4 h_i u_i, \quad v^{(m)} = \sum_{i=1}^4 h_i v_i, \quad \text{where } u_i \text{ and } v_i \text{ are nodal displacements.}$$

The same interpolation functions ( $h_i$ ) are used for geometry and displacements.

Shape functions are defined in the natural coordinate system:  $h_i = h_i(r, s)$



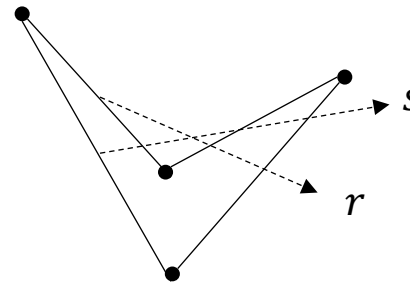
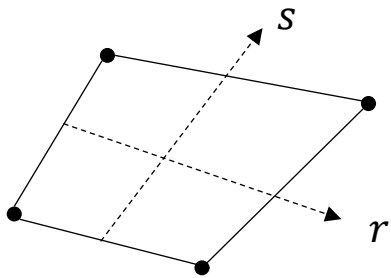
< Natural coordinate system >

< Global coordinate system >

$$h_1 = \frac{1}{4}(1+r)(1+s), \quad h_2 = \frac{1}{4}(1-r)(1+s), \quad h_3 = \frac{1}{4}(1-r)(1-s), \quad h_4 = \frac{1}{4}(1+r)(1-s)$$

Since the same shape functions are used for coordinates and displacements, we call the element an isoparametric element.

(Note) The element must give a unique correspondence between  $(r,s)$  and  $(x,y)$ .



$$\mathbf{u}(r, s) = \begin{bmatrix} u^{(m)} \\ v^{(m)} \end{bmatrix} = \begin{bmatrix} h_1 & h_2 & h_3 & h_4 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & h_1 & h_2 & h_3 & h_4 \end{bmatrix} \begin{bmatrix} u_1 \\ u_2 \\ u_3 \\ u_4 \\ v_1 \\ v_2 \\ v_3 \\ v_4 \end{bmatrix} = \mathbf{H}^{(m)} \mathbf{u}^{(m)}$$

In order to construct  $\mathbf{B}^{(m)}$  and  $\mathbf{K}^{(m)}$ , we need to calculate  $\frac{\partial u^{(m)}}{\partial x^{(m)}}$ ,  $\frac{\partial u^{(m)}}{\partial y^{(m)}}$ ,  $\frac{\partial v^{(m)}}{\partial x^{(m)}}$  and  $\frac{\partial v^{(m)}}{\partial y^{(m)}}$ .

(Note) If  $u^{(m)}$  is a function of  $x^{(m)}$  and  $y^{(m)}$ , we can directly calculate  $\frac{\partial u^{(m)}}{\partial x^{(m)}}$ . However,  $u^{(m)}$  is not a function of  $x^{(m)}$  and  $y^{(m)}$ , but a function of  $r$  and  $s$ .

## Jacobian matrix $\mathbf{J}$

$$u^{(m)} = u^{(m)}(r, s) \quad \text{and} \quad v^{(m)} = v^{(m)}(r, s)$$

$$x^{(m)} = x^{(m)}(r, s) \quad \text{and} \quad y^{(m)} = y^{(m)}(r, s)$$

"Chain rule"

$$\frac{\partial u^{(m)}}{\partial r} = \frac{\partial x^{(m)}}{\partial r} \frac{\partial u^{(m)}}{\partial x^{(m)}} + \frac{\partial y^{(m)}}{\partial r} \frac{\partial u^{(m)}}{\partial y^{(m)}}$$

$$\frac{\partial u^{(m)}}{\partial s} = \frac{\partial x^{(m)}}{\partial s} \frac{\partial u^{(m)}}{\partial x^{(m)}} + \frac{\partial y^{(m)}}{\partial s} \frac{\partial u^{(m)}}{\partial y^{(m)}}$$

$$\begin{bmatrix} \frac{\partial u^{(m)}}{\partial r} \\ \frac{\partial u^{(m)}}{\partial s} \end{bmatrix} = \begin{bmatrix} \frac{\partial x^{(m)}}{\partial r} & \frac{\partial y^{(m)}}{\partial r} \\ \frac{\partial x^{(m)}}{\partial s} & \frac{\partial y^{(m)}}{\partial s} \end{bmatrix} \begin{bmatrix} \frac{\partial u^{(m)}}{\partial x} \\ \frac{\partial u^{(m)}}{\partial y} \end{bmatrix} = \mathbf{J} \begin{bmatrix} \frac{\partial u^{(m)}}{\partial x} \\ \frac{\partial u^{(m)}}{\partial y} \end{bmatrix} \quad \text{with } \mathbf{J} = \begin{bmatrix} \frac{\partial x^{(m)}}{\partial r} & \frac{\partial y^{(m)}}{\partial r} \\ \frac{\partial x^{(m)}}{\partial s} & \frac{\partial y^{(m)}}{\partial s} \end{bmatrix}$$

Similarly,

$$\begin{bmatrix} \frac{\partial v^{(m)}}{\partial r} \\ \frac{\partial v^{(m)}}{\partial s} \end{bmatrix} = \mathbf{J} \begin{bmatrix} \frac{\partial v^{(m)}}{\partial x} \\ \frac{\partial v^{(m)}}{\partial y} \end{bmatrix}.$$

$$\begin{bmatrix} \frac{\partial u^{(m)}}{\partial x^{(m)}} \\ \frac{\partial u^{(m)}}{\partial y^{(m)}} \end{bmatrix} = \mathbf{J}^{-1} \begin{bmatrix} \frac{\partial u^{(m)}}{\partial r} \\ \frac{\partial u^{(m)}}{\partial s} \end{bmatrix} \quad \text{and} \quad \begin{bmatrix} \frac{\partial v^{(m)}}{\partial x} \\ \frac{\partial v^{(m)}}{\partial y} \end{bmatrix} = \mathbf{J}^{-1} \begin{bmatrix} \frac{\partial v^{(m)}}{\partial r} \\ \frac{\partial v^{(m)}}{\partial s} \end{bmatrix}$$

## Strain-displacement relation

$$\begin{bmatrix} \frac{\partial u^{(m)}}{\partial x^{(m)}} \\ \frac{\partial u^{(m)}}{\partial y^{(m)}} \end{bmatrix} = \mathbf{J}^{-1} \begin{bmatrix} \frac{\partial u^{(m)}}{\partial r} \\ \frac{\partial u^{(m)}}{\partial s} \end{bmatrix}$$

$$\begin{bmatrix} \frac{\partial u^{(m)}}{\partial r} \\ \frac{\partial u^{(m)}}{\partial s} \end{bmatrix} = \begin{bmatrix} \frac{\partial h_1}{\partial r} & \frac{\partial h_2}{\partial r} & \frac{\partial h_3}{\partial r} & \frac{\partial h_4}{\partial r} & 0 & 0 & 0 & 0 \\ \frac{\partial h_1}{\partial s} & \frac{\partial h_2}{\partial s} & \frac{\partial h_3}{\partial s} & \frac{\partial h_4}{\partial s} & 0 & 0 & 0 & 0 \end{bmatrix} \mathbf{u}^{(m)}$$

with  $\mathbf{u}^{(m)} = [u_1 \quad u_2 \quad u_3 \quad u_4 \quad v_1 \quad v_2 \quad v_3 \quad v_4]^T$

$$\begin{bmatrix} \frac{\partial u^{(m)}}{\partial x^{(m)}} \\ \frac{\partial u^{(m)}}{\partial y^{(m)}} \end{bmatrix} = \mathbf{J}^{-1} \begin{bmatrix} \frac{\partial h_1}{\partial r} & \frac{\partial h_2}{\partial r} & \frac{\partial h_3}{\partial r} & \frac{\partial h_4}{\partial r} & 0 & 0 & 0 & 0 \\ \frac{\partial h_1}{\partial s} & \frac{\partial h_2}{\partial s} & \frac{\partial h_3}{\partial s} & \frac{\partial h_4}{\partial s} & 0 & 0 & 0 & 0 \end{bmatrix} \mathbf{u}^{(m)} = \begin{bmatrix} a_1 & a_2 & a_3 & a_4 & 0 & 0 & 0 & 0 \\ b_1 & b_2 & b_3 & b_4 & 0 & 0 & 0 & 0 \end{bmatrix} \mathbf{u}^{(m)}$$

Similarly,

$$\begin{bmatrix} \frac{\partial v^{(m)}}{\partial x^{(m)}} \\ \frac{\partial v^{(m)}}{\partial y^{(m)}} \end{bmatrix} = \mathbf{J}^{-1} \begin{bmatrix} 0 & 0 & 0 & 0 & \frac{\partial h_1}{\partial r} & \frac{\partial h_2}{\partial r} & \frac{\partial h_3}{\partial r} & \frac{\partial h_4}{\partial r} \\ 0 & 0 & 0 & 0 & \frac{\partial h_1}{\partial s} & \frac{\partial h_2}{\partial s} & \frac{\partial h_3}{\partial s} & \frac{\partial h_4}{\partial s} \end{bmatrix} \mathbf{u}^{(m)} = \begin{bmatrix} 0 & 0 & 0 & 0 & a_1 & a_2 & a_3 & a_4 \\ 0 & 0 & 0 & 0 & b_1 & b_2 & b_3 & b_4 \end{bmatrix} \mathbf{u}^{(m)}$$

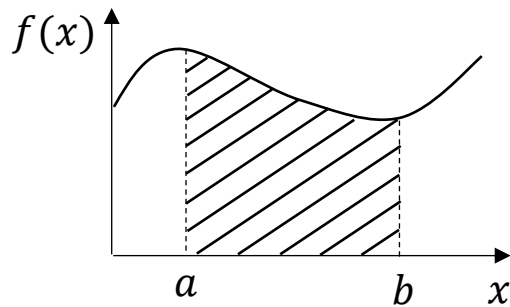
$$\begin{bmatrix} \varepsilon_{xx} \\ \varepsilon_{yy} \\ \gamma_{xy} \end{bmatrix} = \begin{bmatrix} \frac{\partial u^{(m)}}{\partial x^{(m)}} \\ \frac{\partial v^{(m)}}{\partial y^{(m)}} \\ \frac{\partial u^{(m)}}{\partial y^{(m)}} + \frac{\partial v^{(m)}}{\partial x^{(m)}} \end{bmatrix} = \begin{bmatrix} a_1 & a_2 & a_3 & a_4 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & b_1 & b_2 & b_3 & b_4 \\ b_1 & b_2 & b_3 & b_4 & a_1 & a_2 & a_3 & a_4 \end{bmatrix} \mathbf{u}^{(m)} = \mathbf{B}^{(m)}(r, s) \mathbf{u}^{(m)}$$

## Stiffness matrix

$$\mathbf{K}^{(m)} = \int_V \mathbf{B}^{(m)T} \mathbf{C}^{(m)} \mathbf{B}^{(m)} dV^{(m)} = t \int_{-1}^1 \int_{-1}^1 \mathbf{B}^{(m)T}(r,s) \mathbf{C}^{(m)} \mathbf{B}^{(m)}(r,s) \det \mathbf{J} dr ds$$

with  $dV^{(m)} = \det \mathbf{J} \times t dr ds$

## Gauss Integration (Gaussian Quadrature)



$$\int_a^b f(x) dx = \sum_{i=1}^n w_i f(x_i)$$

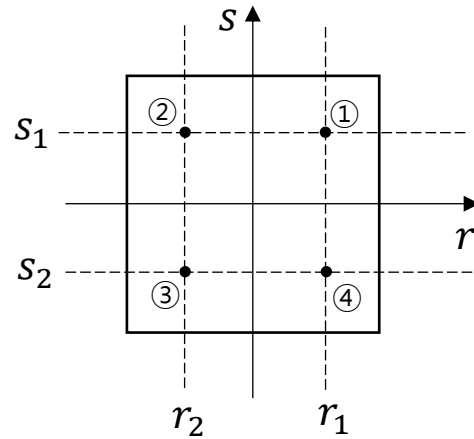
$x_i$ : Gauss points,  $w_i$ : Weight factors

## 2-point Gauss integration in 2D

$$\int_{-1}^1 f(x) dx = w_1 f(x_1) + w_2 f(x_2)$$

$$x_1 = -\frac{1}{\sqrt{3}}, \quad x_2 = \frac{1}{\sqrt{3}}, \quad w_1 = w_2 = 1$$

$$\int_{-1}^1 x^2 dx = 1 \times \left(-\frac{1}{\sqrt{3}}\right)^2 + 1 \times \left(\frac{1}{\sqrt{3}}\right)^2 = \frac{2}{3}$$



$$r_1 = s_1 = 1/\sqrt{3}$$

$$r_2 = s_2 = -1/\sqrt{3}$$

$$w_{ij} = 1$$

Using "2x2 Gauss integration" in 2D,

$$\mathbf{K}^{(m)} = t \sum_{i=1}^2 \sum_{j=1}^2 w_{ij} \mathbf{B}^{(m)\text{T}}(r_i, s_j) \mathbf{C}^{(m)} \mathbf{B}^{(m)}(r_i, s_j) \det \mathbf{J}(r_i, s_j)$$

$$= w_{11} \mathbf{K}'(r_1, s_1) + w_{12} \mathbf{K}'(r_1, s_2) + w_{21} \mathbf{K}'(r_2, s_1) + w_{22} \mathbf{K}'(r_2, s_2)$$

with  $\mathbf{K}'(r, s) = t \mathbf{B}^{(m)\text{T}}(r, s) \mathbf{C}^{(m)} \mathbf{B}^{(m)}(r, s) \det \mathbf{J}(r, s)$

## Load vector

$$\mathbf{R} = \mathbf{R}_B + \mathbf{R}_S$$

$$\mathbf{R}_B = \sum_m \mathbf{R}_B^{(m)} \quad \text{with} \quad \mathbf{R}_B^{(m)} = \int_{V^{(m)}} \mathbf{H}^{(m)T} \mathbf{f}^{(m)} dV^{(m)} = t \int_{-1}^1 \int_{-1}^1 \mathbf{H}^{(m)T}(r,s) \mathbf{f}^{(m)}(r,s) \det \mathbf{J} dr ds$$

$$\mathbf{R}_S = \sum_m \mathbf{R}_S^{(m)} \quad \text{with} \quad \mathbf{R}_S^{(m)} = \int_{S_f^{(m)}} \mathbf{H}_S^{(m)T} \mathbf{f}^{S(m)} dS^{(m)}$$

$$\mathbf{R}_S^{(m)} = \int_{-1}^1 \mathbf{H}_S^{(m)T}(r) \mathbf{f}^{S(m)}(r) \frac{\partial l}{\partial r} dr \quad \text{for surface load on } s = \pm 1$$

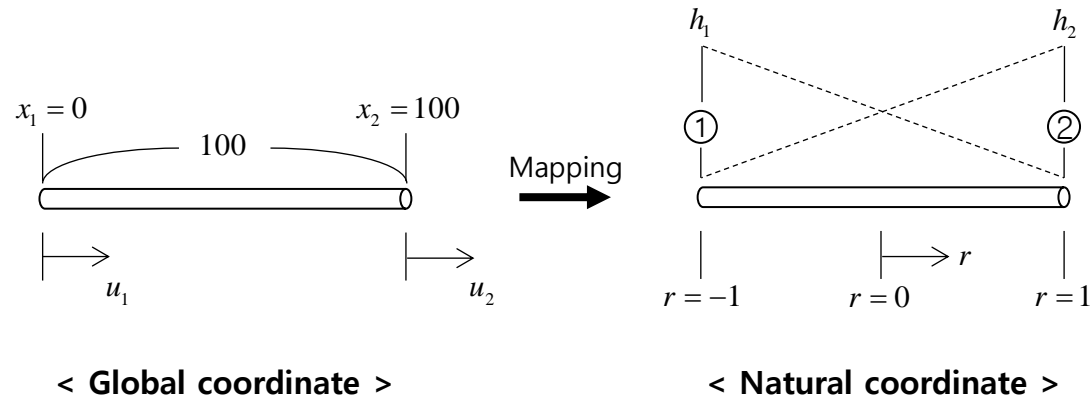
$$\mathbf{R}_S^{(m)} = \int_{-1}^1 \mathbf{H}_S^{(m)T}(s) \mathbf{f}^{S(m)}(s) \frac{\partial l}{\partial s} ds \quad \text{for surface load on } r = \pm 1$$

## Mass matrix

$$\mathbf{M} = \sum_m \mathbf{M}^{(m)}$$

$$\mathbf{M}^{(m)} = \int_{V^{(m)}} \mathbf{H}^{(m)T} \rho^{(m)} \mathbf{H}^{(m)} dV^{(m)} = t \int_{-1}^1 \int_{-1}^1 \mathbf{H}^{(m)T}(r,s) \rho^{(m)} \mathbf{H}^{(m)}(r,s) \det \mathbf{J} dr ds$$

## Example – Stiffness of a 2-node bar finite element



$$u^{(m)} = \left(1 - \frac{x}{100}\right)u_1 + \frac{x}{100}u_2$$

$$\varepsilon_{xx} = \frac{du^{(m)}}{dx} = -\frac{1}{100}u_1 + \frac{1}{100}u_2 = \begin{bmatrix} -\frac{1}{100} & \frac{1}{100} \end{bmatrix} \begin{bmatrix} u_1 \\ u_2 \end{bmatrix}$$

$$\mathbf{K}^{(m)} = \int_0^{100} \mathbf{B}^{(m)T} E \mathbf{B}^{(m)} dx = \frac{E}{100} \begin{bmatrix} 1 & -1 \\ -1 & 1 \end{bmatrix}$$

## Isoparametric procedure

$$x^{(m)} = \sum_{i=1}^2 h_i x_i = h_1 x_1 + h_2 x_2, \quad x^{(m)} = x^{(m)}(r)$$

$$u^{(m)} = \sum_{i=1}^2 h_i u_i = h_1 u_1 + h_2 u_2, \quad u^{(m)} = u^{(m)}(r) \quad \text{with } h_1 = \frac{1}{2}(1-r), \quad h_2 = \frac{1}{2}(1+r)$$

$$\varepsilon_{xx} = \frac{du^{(m)}}{dx^{(m)}}$$

$$\frac{dx^{(m)}}{dr} = \frac{d}{dr}(h_1 x_1 + h_2 x_2) = \frac{d}{dr}(h_1 \times 0 + h_2 \times 100) = \frac{d}{dr}\left(\frac{1}{2}(1+r) \times 100\right) = 50$$

$$\det \mathbf{J} = 50$$

$$\frac{du^{(m)}}{dr} = \frac{dx^{(m)}}{dr} \frac{du^{(m)}}{dx^{(m)}} = 50 \frac{du^{(m)}}{dx^{(m)}}$$

$$\frac{du^{(m)}}{dx^{(m)}} = \frac{1}{50} \frac{du^{(m)}}{dr}$$

$$\frac{du^{(m)}}{dr} = \begin{bmatrix} \frac{dh_1}{dr} & \frac{dh_2}{dr} \end{bmatrix} \begin{bmatrix} u_1 \\ u_2 \end{bmatrix} = \begin{bmatrix} -\frac{1}{2} & \frac{1}{2} \end{bmatrix} \begin{bmatrix} u_1 \\ u_2 \end{bmatrix}$$

$$\boldsymbol{\varepsilon}_{xx} = \frac{du^{(m)}}{dx^{(m)}} = \frac{1}{50} \begin{bmatrix} -\frac{1}{2} & \frac{1}{2} \end{bmatrix} \begin{bmatrix} u_1 \\ u_2 \end{bmatrix} = \mathbf{B}^{(m)} \begin{bmatrix} u_1 \\ u_2 \end{bmatrix}$$

$$\mathbf{B}^{(m)}(r) = \frac{1}{50} \begin{bmatrix} -\frac{1}{2} & \frac{1}{2} \end{bmatrix}$$

$$\begin{aligned}\mathbf{K}^{(m)} &= \int_{-1}^1 \mathbf{B}^{(m)T}(r) \mathbf{E} \mathbf{B}^{(m)}(r) \det \mathbf{J} dr \\ &= w_1 (\mathbf{B}^{(m)T} \mathbf{E} \mathbf{B}^{(m)}) \Big|_{r=-\frac{1}{\sqrt{3}}} \times 50 + w_2 (\mathbf{B}^{(m)T} \mathbf{E} \mathbf{B}^{(m)}) \Big|_{r=\frac{1}{\sqrt{3}}} \times 50\end{aligned}$$

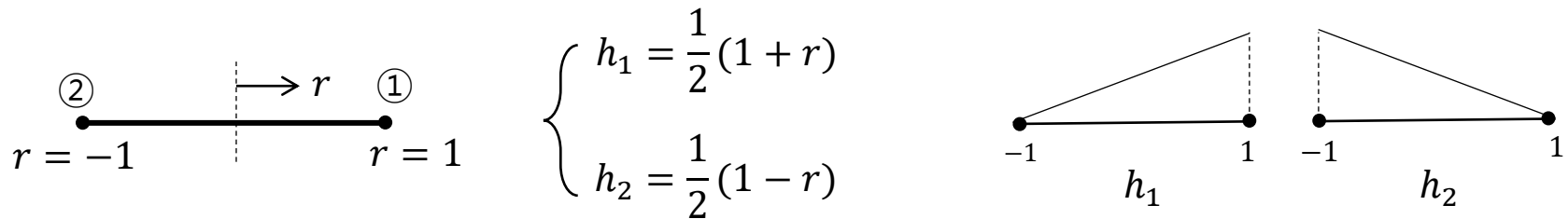
with  $w_1 = w_2 = 1$

$$\mathbf{K}^{(m)} = 2(\mathbf{B}^{(m)T} \mathbf{E} \mathbf{B}^{(m)}) \det \mathbf{J} = 2 \times \frac{1}{50} \begin{bmatrix} -\frac{1}{2} \\ 1 \\ 2 \end{bmatrix} \mathbf{E} \begin{bmatrix} -\frac{1}{2} & \frac{1}{2} \end{bmatrix} \frac{1}{50} \times 50 = \frac{E}{100} \begin{bmatrix} 1 & -1 \\ -1 & 1 \end{bmatrix}$$

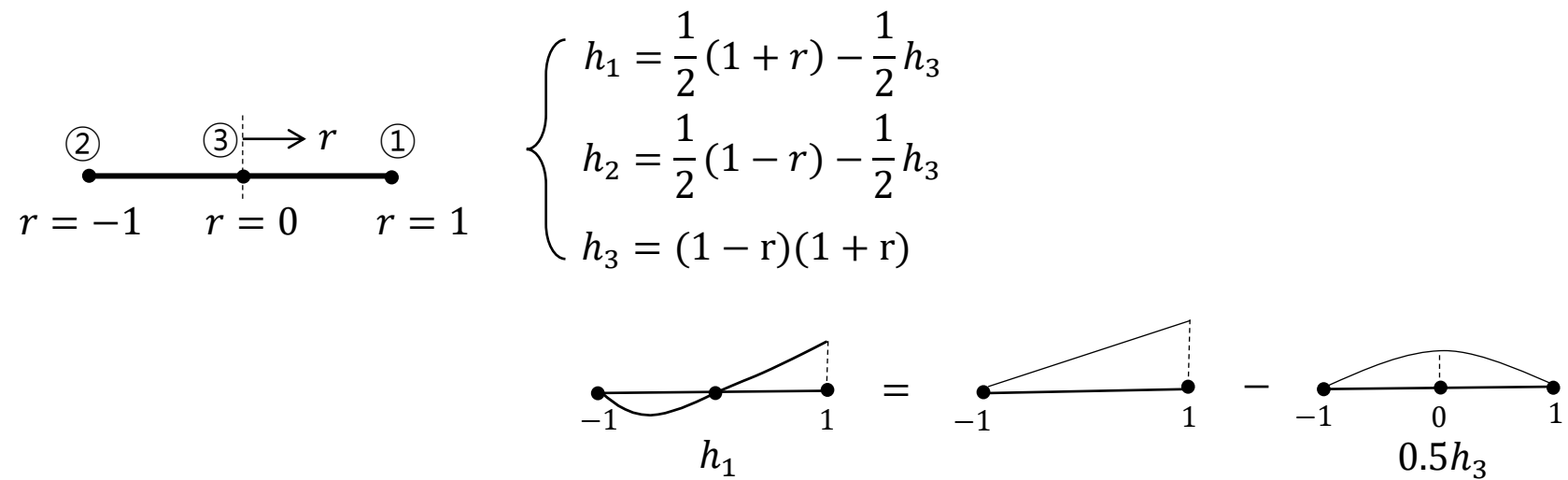
# Higher-order Finite Elements

## 1D elements

(1) 2-node bar element

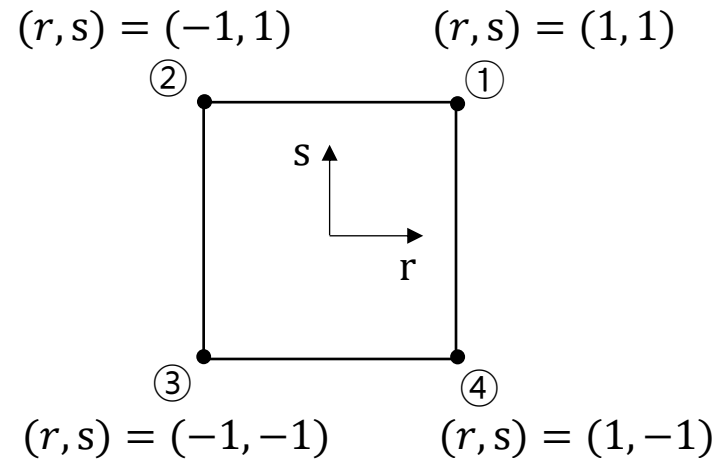


(2) 3-node bar element



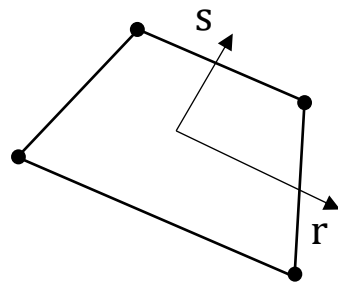
## 2D quadrilateral elements

(1) 4-node element



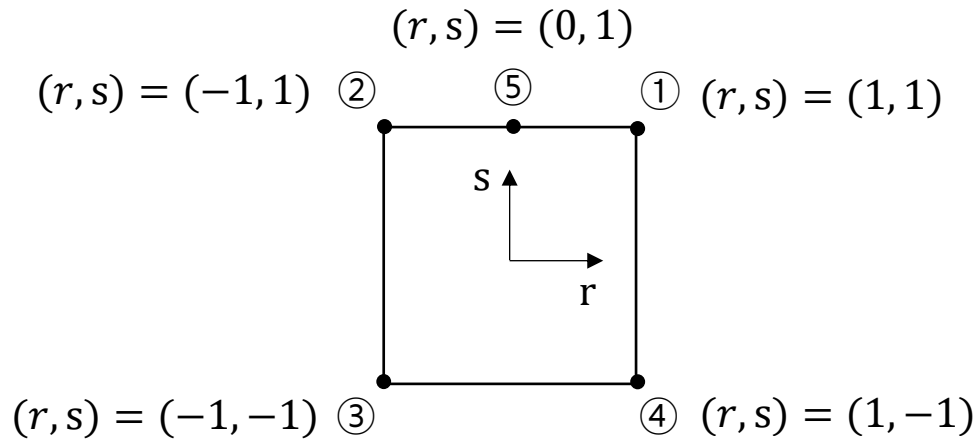
$$\left\{ \begin{array}{l} h_1 = \frac{1}{4}(1+r)(1+s) \\ h_2 = \frac{1}{4}(1-r)(1+s) \\ h_3 = \frac{1}{4}(1-r)(1-s) \\ h_4 = \frac{1}{4}(1+r)(1-s) \end{array} \right.$$

< In the natural coordinate system >



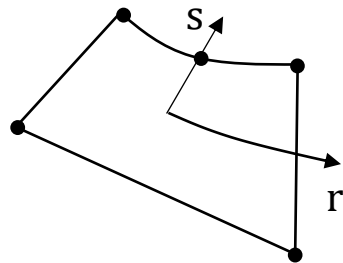
< In the global coordinate system >

(2) 5-node element

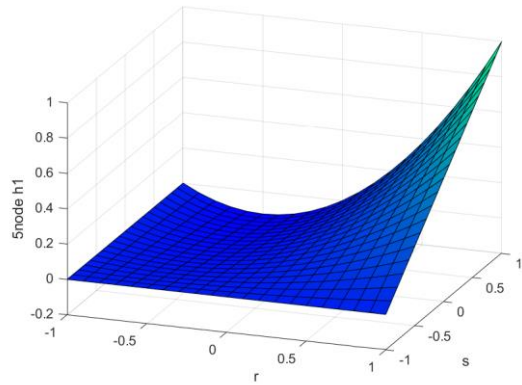


< In the natural coordinate system >

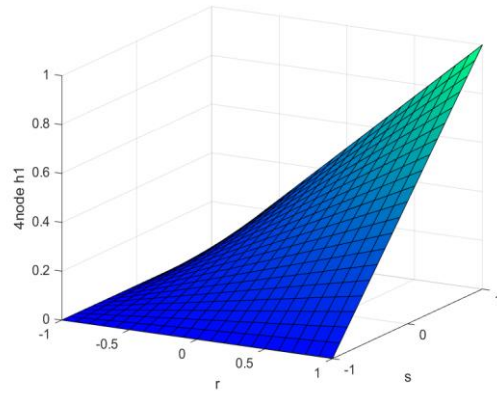
$$\left\{ \begin{aligned}
 h_1 &= \frac{1}{4}(1+r)(1+s) - \frac{1}{2}h_5 \\
 h_2 &= \frac{1}{4}(1-r)(1+s) - \frac{1}{2}h_5 \\
 h_3 &= \frac{1}{4}(1-r)(1-s) \\
 h_4 &= \frac{1}{4}(1+r)(1-s) \\
 h_5 &= \frac{1}{2}(1-r^2)(1+s)
 \end{aligned} \right.$$



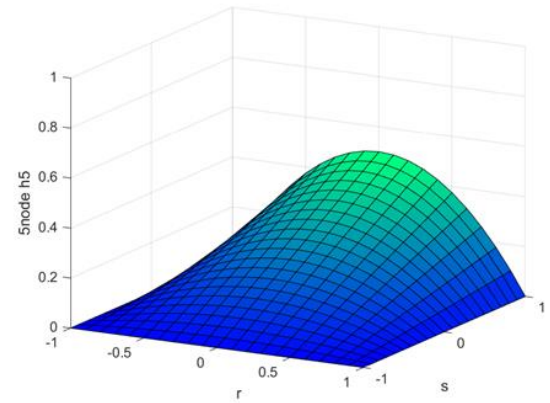
< In the global coordinate system >



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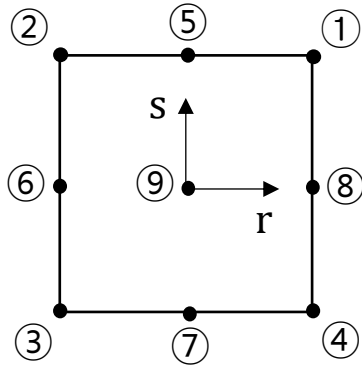


$$h_1 = \frac{1}{4}(1+r)(1+s) - \frac{1}{2}h_5$$

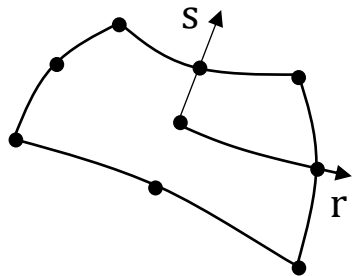
$$\frac{1}{4}(1+r)(1+s)$$

$$\frac{1}{2}h_5$$

(3) 9-node element



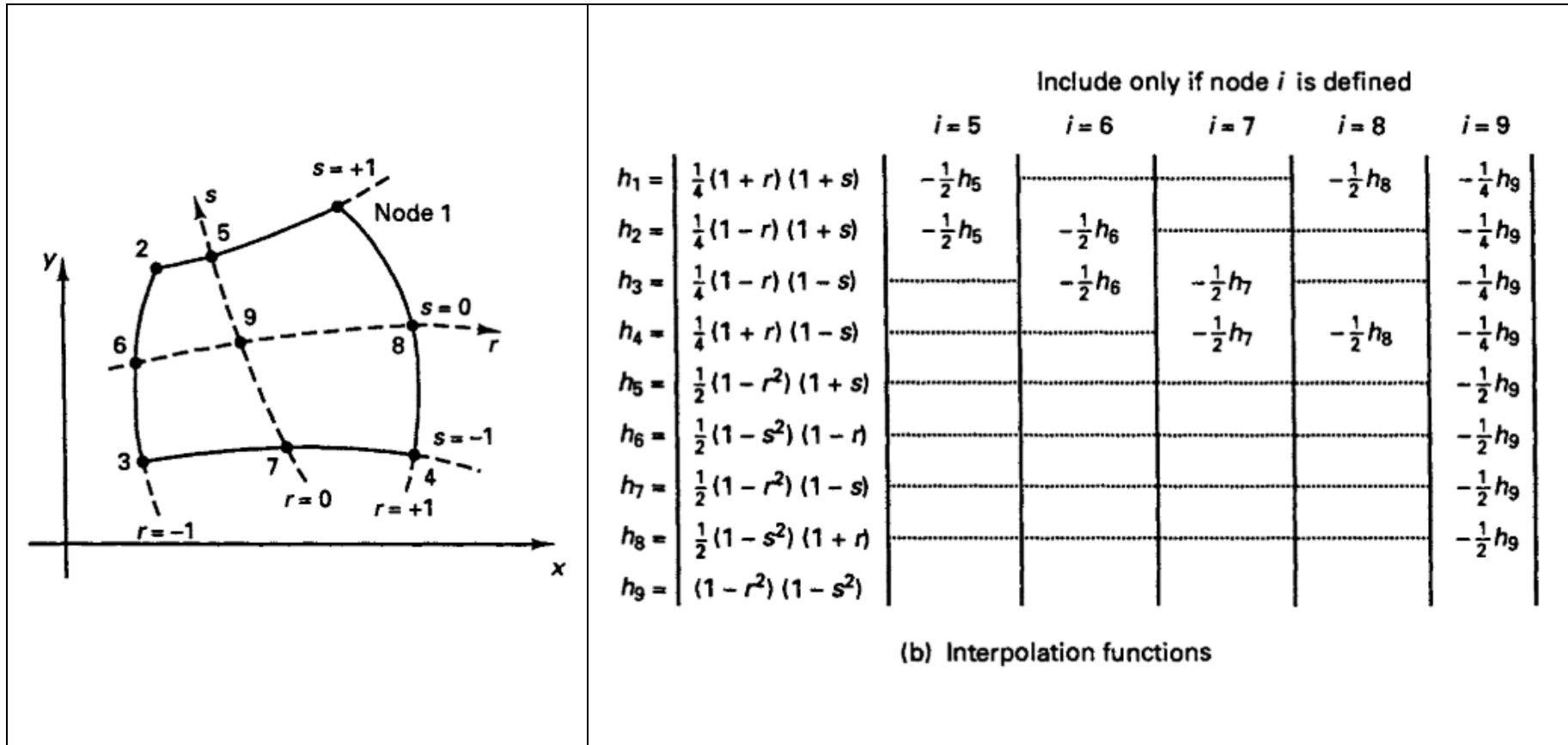
< In the natural coordinate system >



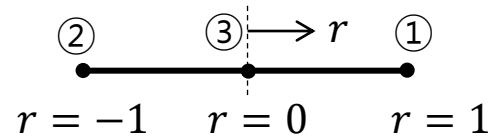
< In the global coordinate system >

$$\left\{ \begin{aligned}
 h_1 &= \frac{1}{4}(1+r)(1+s) - \frac{1}{2}h_5 - \frac{1}{2}h_8 - \frac{1}{4}h_9 \\
 h_2 &= \frac{1}{4}(1-r)(1+s) - \frac{1}{2}h_5 - \frac{1}{2}h_6 - \frac{1}{4}h_9 \\
 h_3 &= \frac{1}{4}(1-r)(1-s) - \frac{1}{2}h_6 - \frac{1}{2}h_7 - \frac{1}{4}h_9 \\
 h_4 &= \frac{1}{4}(1+r)(1-s) - \frac{1}{2}h_7 - \frac{1}{2}h_8 - \frac{1}{4}h_9 \\
 h_5 &= \frac{1}{2}(1-r^2)(1+s) - \frac{1}{2}h_9 \\
 h_6 &= \frac{1}{2}(1-s^2)(1-r) - \frac{1}{2}h_9 \\
 h_7 &= \frac{1}{2}(1-r^2)(1-s) - \frac{1}{2}h_9 \\
 h_8 &= \frac{1}{2}(1-s^2)(1+r) - \frac{1}{2}h_9 \\
 h_9 &= (1-r^2)(1-s^2)
 \end{aligned} \right.$$

4 to 9 variable-number-nodes two-dimensional elements



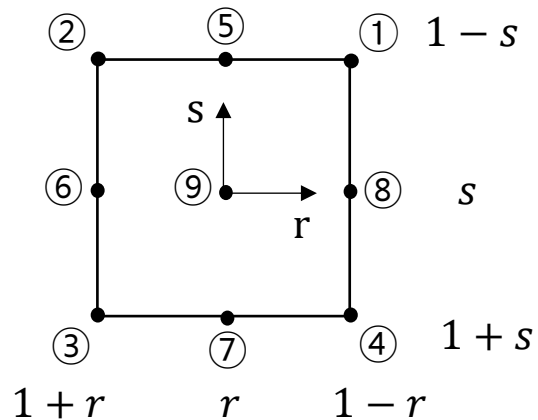
**Note: Alternative approach**



$$h_1 = C_1 r(1+r), \quad h_1 = 1 \text{ when } r = 1 \quad \rightarrow \quad C_1 = \frac{1}{2}$$

$$h_2 = C_2 r(1-r), \quad h_2 = 1 \text{ when } r = -1 \quad \rightarrow \quad C_2 = -\frac{1}{2}$$

$$h_3 = C_3(1+r)(1-r), \quad h_3 = 1 \text{ when } r = 0 \quad \rightarrow \quad C_3 = 1$$



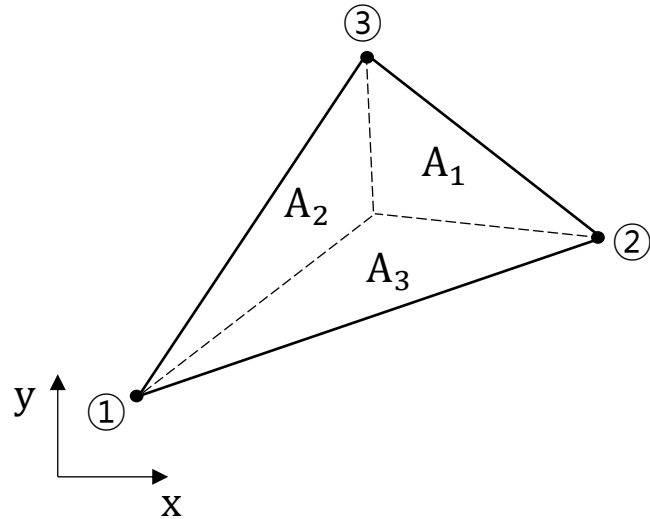
$$h_1 = C_1 r(1+r)s(1+s) \quad \rightarrow \quad C_1 = \frac{1}{4}$$

$$h_2 = C_2 r(1-r)s(1+s) \quad \rightarrow \quad C_2 = \frac{1}{4}$$

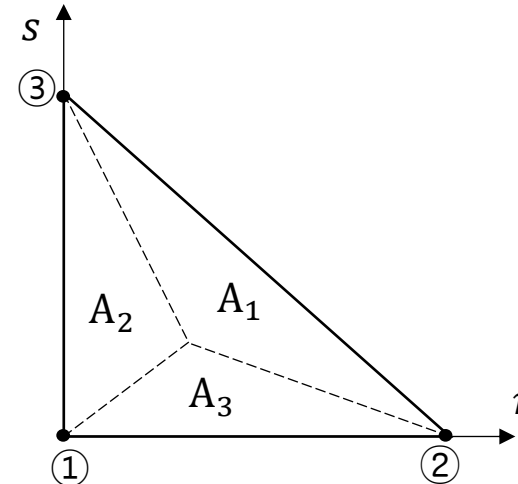
⋮

$$h_9 = C_9(1-r^2)(1-s^2) \rightarrow C_9 = 1$$

## 2D triangular elements



< In the global coordintae system >



< In the natural coordintae system >

Area coordinate system

$$A_1 + A_2 + A_3 = A \quad \rightarrow \quad \frac{A_1}{A} + \frac{A_2}{A} + \frac{A_3}{A} = 1$$

$$L_1 + L_2 + L_3 = 1 \quad \text{with} \quad L_i = \frac{A_i}{A}$$

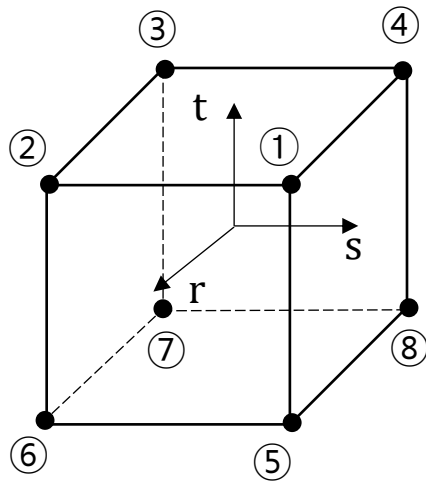


$$L_2 = h_2 = A_2 / A = r$$

$$L_3 = h_3 = A_3 / A = s$$

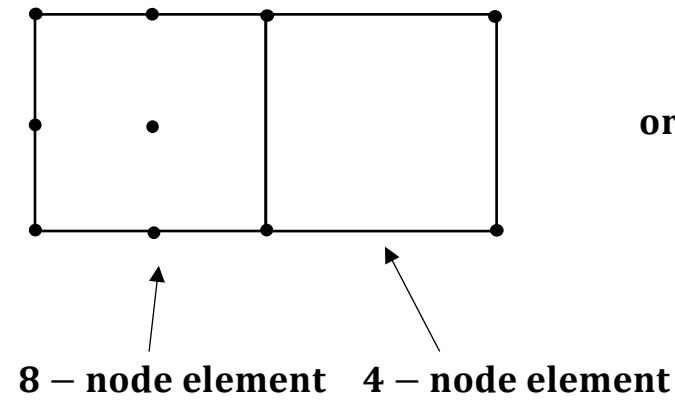
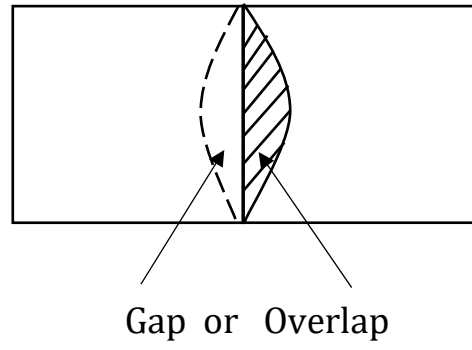
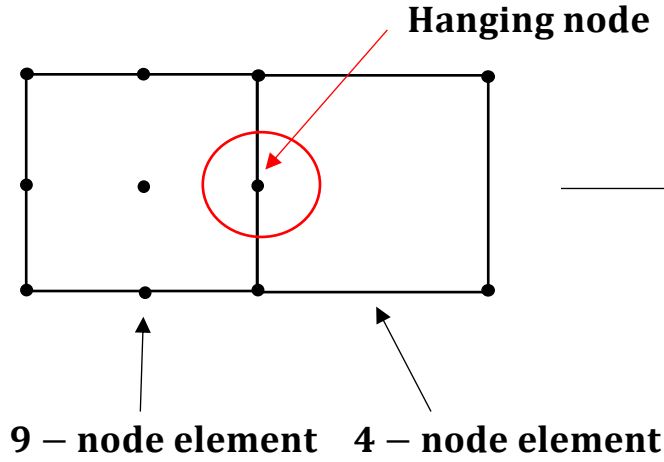
$$L_1 = h_1 = A_1 / A = 1 - r - s$$

## 3D elements

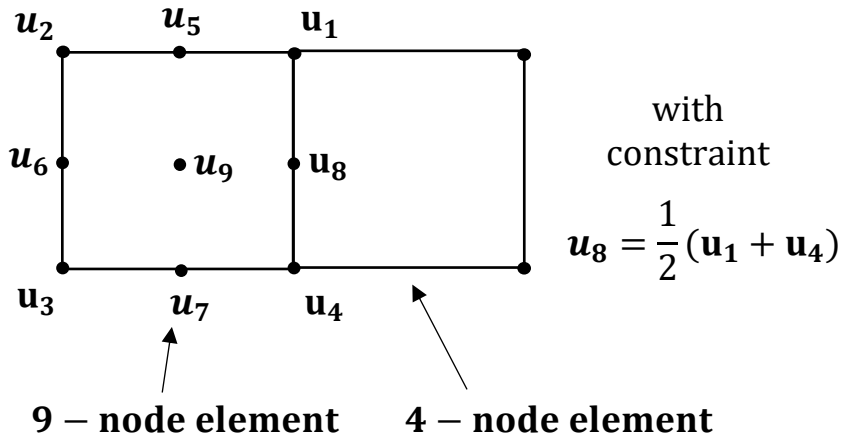


$$\left. \begin{aligned} h_1 &= \frac{1}{8}(1+r)(1+s)(1+t) \\ h_2 &= \frac{1}{8}(1-r)(1+s)(1+t) \\ h_3 &= \frac{1}{8}(1-r)(1-s)(1+t) \\ h_4 &= \frac{1}{8}(1+r)(1-s)(1+t) \\ h_5 &= \frac{1}{8}(1+r)(1+s)(1-t) \\ h_6 &= \frac{1}{8}(1-r)(1+s)(1-t) \\ h_7 &= \frac{1}{8}(1-r)(1-s)(1-t) \\ h_8 &= \frac{1}{8}(1+r)(1-s)(1-t) \end{aligned} \right\}$$

# Compatibility between finite elements



or



# Gauss integrations

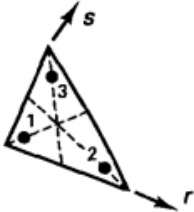

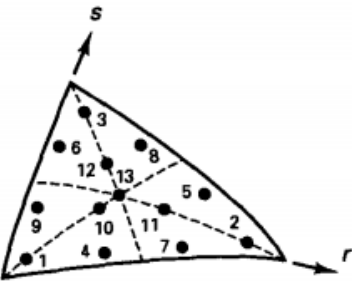
**TABLE 5.6** Sampling points and weights in Gauss-Legendre numerical integration (interval  $-1$  to  $+1$ )

$n$	$r_i$			$\alpha_i$		
1	0.	(15 zeros)		2.	(15 zeros)	
2	$\pm 0.57735$	02691	89626	1.00000	00000	00000
3	$\pm 0.77459$	66692	41483	0.55555	55555	55556
	0.00000	00000	00000	0.88888	88888	88889
4	$\pm 0.86113$	63115	94053	0.34785	48451	37454
	$\pm 0.33998$	10435	84856	0.65214	51548	62546
5	$\pm 0.90617$	98459	38664	0.23692	68850	56189
	$\pm 0.53846$	93101	05683	0.47862	86704	99366
	0.00000	00000	00000	0.56888	88888	88889
6	$\pm 0.93246$	95142	03152	0.17132	44923	79170
	$\pm 0.66120$	93864	66265	0.36076	15730	48139
	$\pm 0.23861$	91860	83197	0.46791	39345	72691

**TABLE 5.7** Gauss numerical integrations over quadrilateral domains

Integration order	Degree of precision	Location of integration points
$2 \times 2$	3	
$3 \times 3$	5	
$4 \times 4$	7	

**TABLE 5.8** Gauss numerical integrations over triangular domains [ $\iint F dr ds = \frac{1}{2} \sum w_i F(r_i, s_i)$ ]

Integration order	Degree of precision	Integration points	r-coordinates	s-coordinates	Weights
3-point	2		$r_1 = 0.16666\ 66666\ 667$ $r_2 = 0.66666\ 66666\ 667$ $r_3 = r_1$	$s_1 = r_1$ $s_2 = r_1$ $s_3 = r_2$	$w_1 = 0.33333\ 33333\ 333$ $w_2 = w_1$ $w_3 = w_1$
7-point	5		$r_1 = 0.10128\ 65073\ 235$ $r_2 = 0.79742\ 69853\ 531$ $r_3 = r_1$ $r_4 = 0.47014\ 20641\ 051$ $r_5 = r_4$ $r_6 = 0.05971\ 58717\ 898$ $r_7 = 0.33333\ 33333\ 333$	$s_1 = r_1$ $s_2 = r_1$ $s_3 = r_2$ $s_4 = r_6$ $s_5 = r_4$ $s_6 = r_4$ $s_7 = r_7$	$w_1 = 0.12593\ 91805\ 448$ $w_2 = w_1$ $w_3 = w_1$ $w_4 = 0.13239\ 41527\ 885$ $w_5 = w_4$ $w_6 = w_4$ $w_7 = 0.225$
13-point	7		$r_1 = 0.06513\ 01029\ 022$ $r_2 = 0.86973\ 97941\ 956$ $r_3 = r_1$ $r_4 = 0.31286\ 54960\ 049$ $r_5 = 0.63844\ 41885\ 698$ $r_6 = 0.04869\ 03154\ 253$ $r_7 = r_5$ $r_8 = r_4$ $r_9 = r_6$ $r_{10} = 0.26034\ 59660\ 790$ $r_{11} = 0.47930\ 80678\ 419$ $r_{12} = r_{10}$ $r_{13} = 0.33333\ 33333\ 333$	$s_1 = r_1$ $s_2 = r_1$ $s_3 = r_2$ $s_4 = r_6$ $s_5 = r_4$ $s_6 = r_5$ $s_7 = r_6$ $s_8 = r_5$ $s_9 = r_4$ $s_{10} = r_{10}$ $s_{11} = r_{10}$ $s_{12} = r_{11}$ $s_{13} = r_{13}$	$w_1 = 0.05334\ 72356\ 088$ $w_2 = w_1$ $w_3 = w_1$ $w_4 = 0.07711\ 37608\ 903$ $w_5 = w_4$ $w_6 = w_4$ $w_7 = w_4$ $w_8 = w_4$ $w_9 = w_4$ $w_{10} = 0.17561\ 52574\ 332$ $w_{11} = w_{10}$ $w_{12} = w_{10}$ $w_{13} = -0.14957\ 00444\ 677$

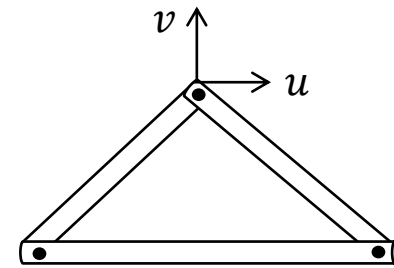
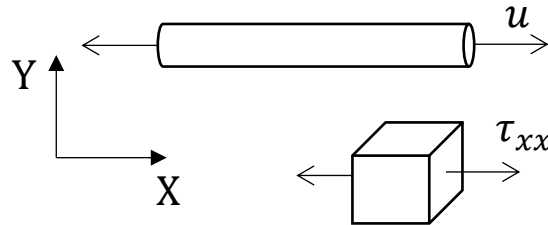
$$\mathbf{K}^{(m)} = \int_V \mathbf{B}^{(m)T} \mathbf{C}^{(m)} \mathbf{B}^{(m)} dV^{(m)} = t \int_0^1 \int_0^{1-s} \mathbf{B}^{(m)T}(r, s) \mathbf{C}^{(m)} \mathbf{B}^{(m)}(r, s) \det \mathbf{J} dr ds$$

# Finite Elements

## 1D elements

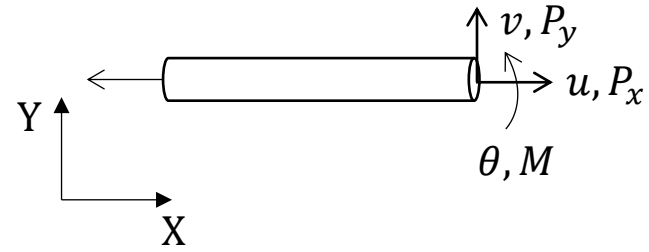
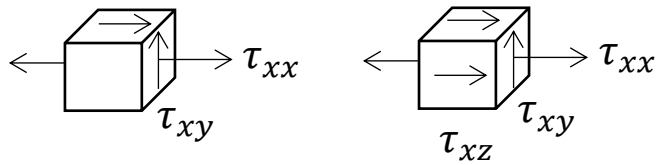
- Truss elements or Bar elements (stretching)

$\left\{ \begin{array}{l} \text{in 1D: } u \\ \text{in 2D: } u, v \\ \text{in 3D: } u, v, w \end{array} \right.$



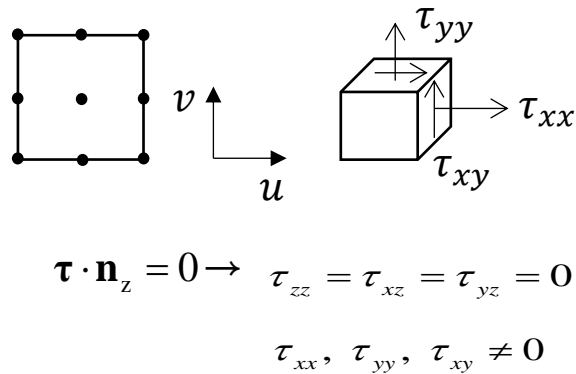
- Beam elements (stretching, bending, shearing, twisting)

$\left\{ \begin{array}{l} \text{in 2D: } u, v, \theta \\ \text{in 3D: } u, v, w, \theta_x, \theta_y, \theta_z \end{array} \right.$



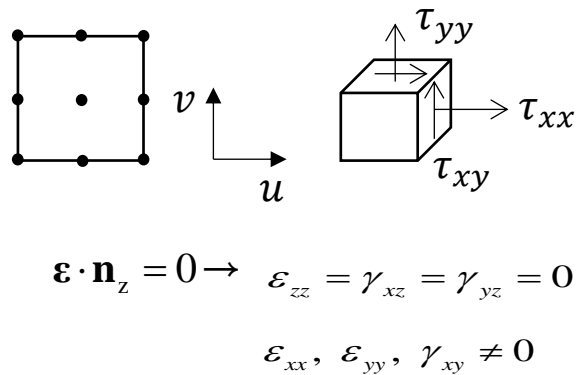
## 2D elements

- Plane stress element:  $u, v$

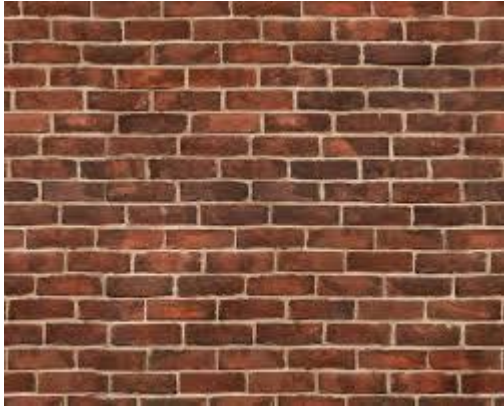


$$\Rightarrow \begin{bmatrix} \tau_{xx} \\ \tau_{yy} \\ \tau_{xy} \end{bmatrix} = \frac{E}{1-\nu^2} \begin{bmatrix} 1 & \nu & 0 \\ \nu & 1 & 0 \\ 0 & 0 & 1-\nu \end{bmatrix} \begin{bmatrix} \varepsilon_{xx} \\ \varepsilon_{yy} \\ \gamma_{xy} \end{bmatrix}$$

- Plane strain element:  $u, v$

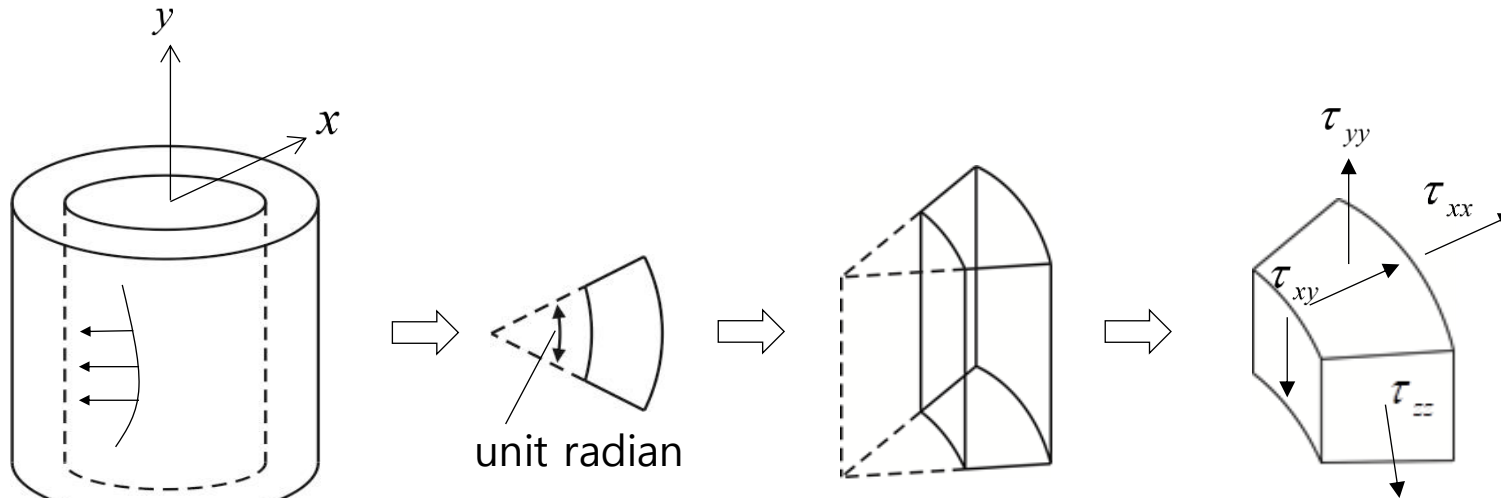


$$\Rightarrow \begin{bmatrix} \tau_{xx} \\ \tau_{yy} \\ \tau_{xy} \end{bmatrix} = \frac{E(1-\nu)}{(1+\nu)(1-2\nu)} \begin{bmatrix} 1 & \frac{\nu}{1-\nu} & 0 \\ \frac{\nu}{1-\nu} & 1 & 0 \\ 0 & 0 & \frac{1-2\nu}{1-\nu} \end{bmatrix} \begin{bmatrix} \varepsilon_{xx} \\ \varepsilon_{yy} \\ \gamma_{xy} \end{bmatrix}$$

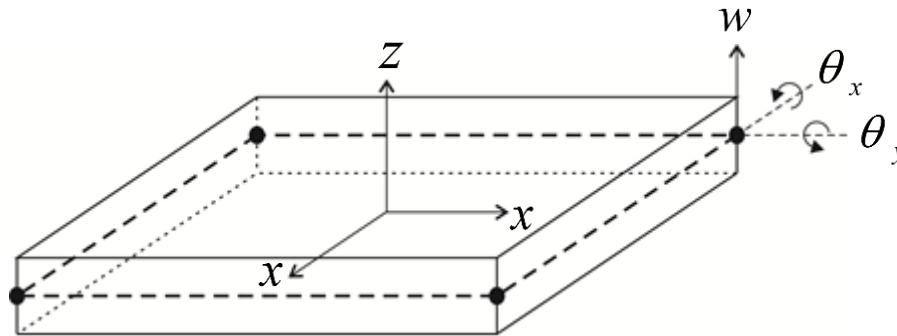


▫ Axisymmetric elements:  $u, v$

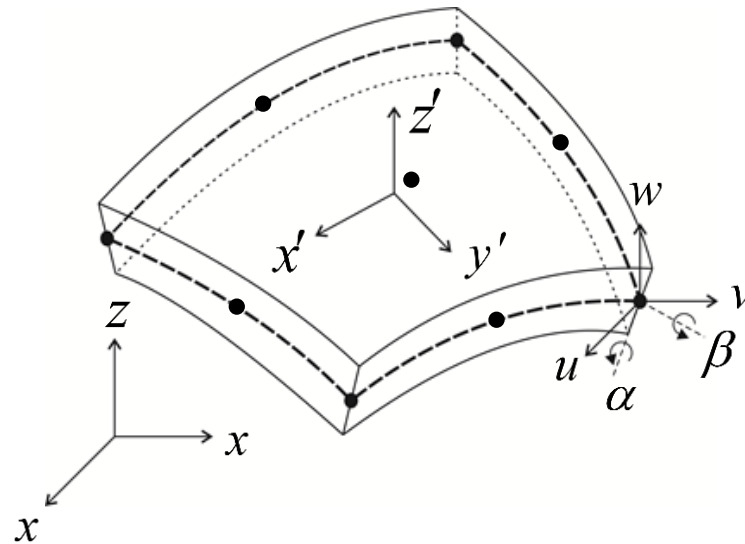
✓ Geometry, loading and boundary conditions are axisymmetric:  $\tau_{yz} = \tau_{zx} = 0$



- Plate elements (bending, shearing):  $v$ ,  $\theta_x$ ,  $\theta_y$ 
  - ✓ 2-dimensional extension of beams
  - ✓ used in analysis of slab or deck structures
  - ✓  $\tau_{zz} = 0$ , other stress components are not zero.



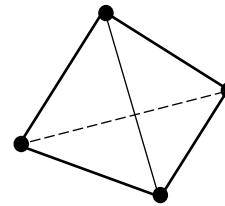
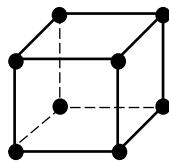
- Shell elements (stretching, bending, shearing):  $u, v, w, \alpha, \beta$ 
  - ✓ used in analysis of general curved thin wall structures like domes, hulls, etc.
  - ✓  $x, y, z$ : global Cartesian coordinates, and  $x', y', z'$ : locally defined coordinates
  - ✓ Shell elements have 5 degrees of freedom per node.
  - ✓  $\alpha, \beta$ : locally defined rotations at nodes
  - ✓  $\tau_{z'z'} = 0$ , other stress components are not zero.



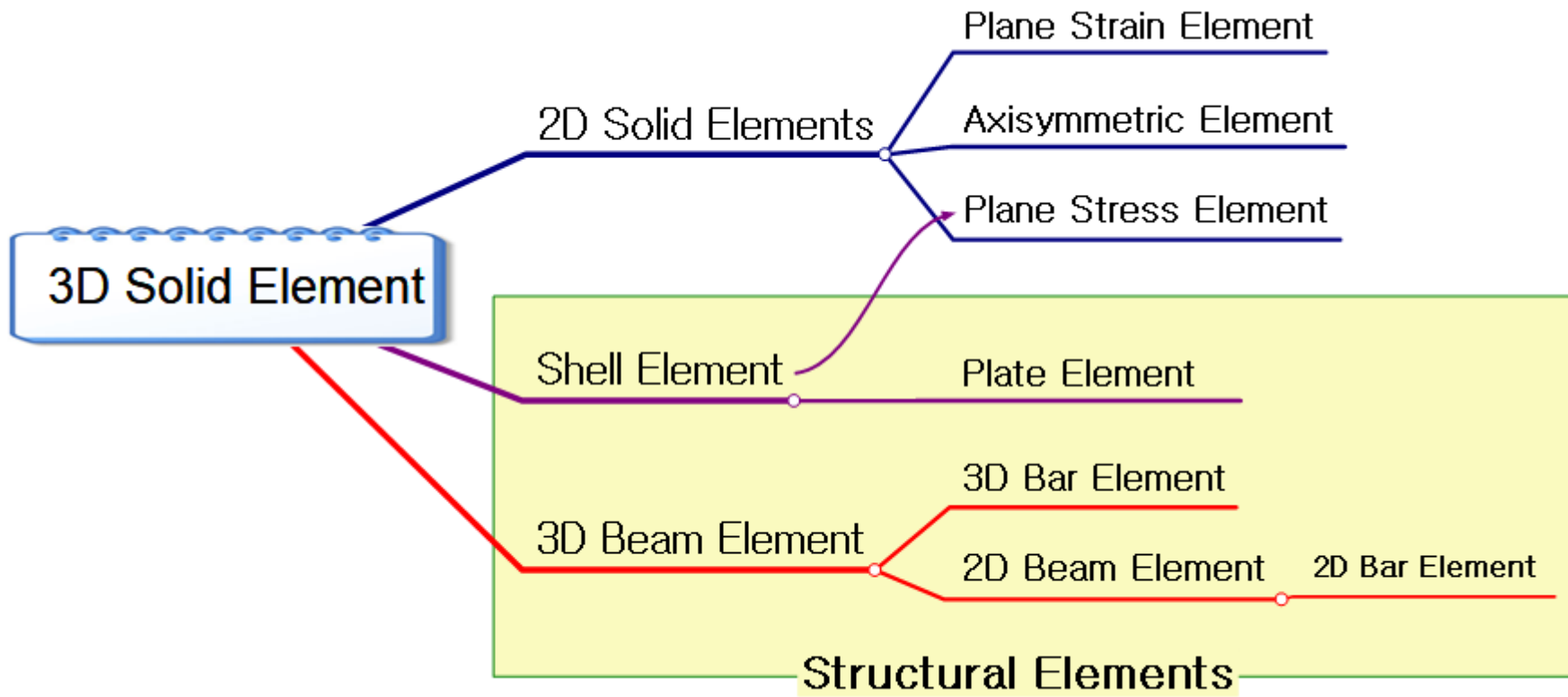


## 3D elements (Most general element)

- 3D solid elements: 8-node hexahedral element, 4-node tetrahedral element



# Modeling Hierarchy Map



## Selection of finite elements

- (1) Scale and complexity of the problem
- (2) Scale, interest and objective of behavior
- (3) Availability of computational resources and time

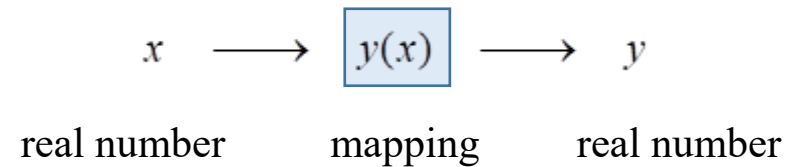


## 7. Introduction to Variational Analysis

### Functional

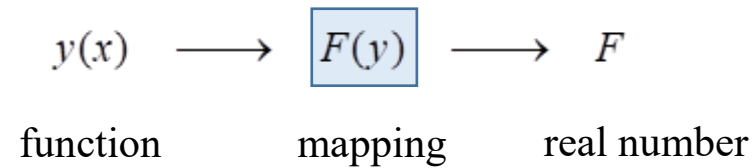
A real valued function  $F$  whose domain is a set of functions is called a functional

### Function $y(x)$



Ex)  $y = x + 1, y = \sin(x), \dots$

## Functional $F(y)$



Functional  $F$  is a mapping from function(s) to a real number.

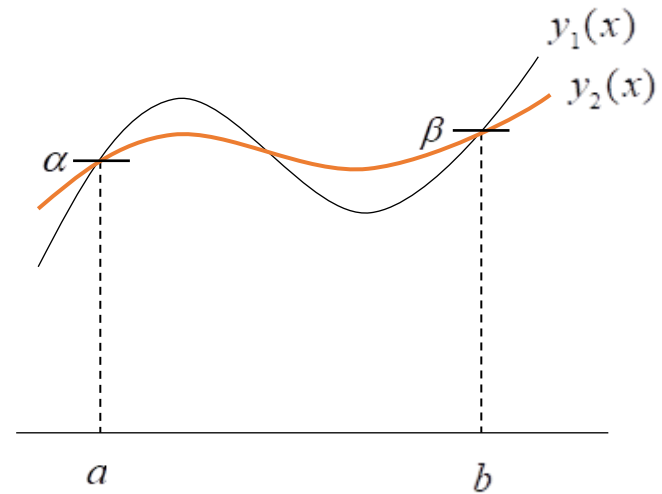
$$\text{Ex) } F = \int_a^b f(y) dx = \int_a^b y dx$$

$$F = \int_a^b f(y') dx = \int_a^b \sqrt{1 + y'^2} dx$$

$$F = \int_a^b f(y, y') dx = \int_a^b \frac{y'}{y} dx$$

Note: A bilinear operator  $a(\cdot, \cdot)$  and a linear operator  $(\mathbf{f}, \cdot)$  can produce functionals, and the vector functions are the input for them.

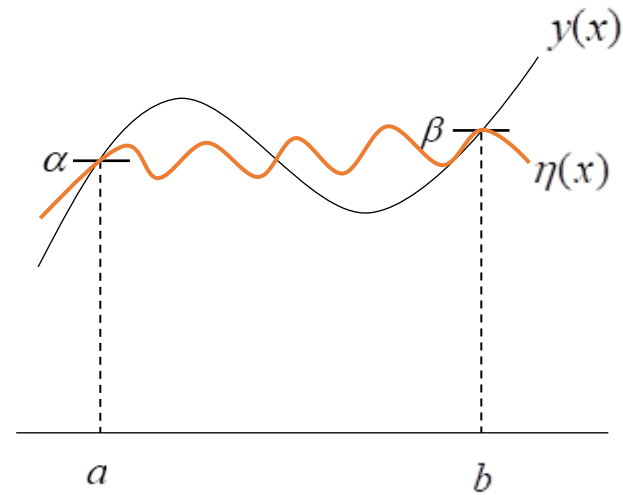
## Variation of Functional $F$



" $y_1$  and  $y_2$  can be the inputs"

Let  $f$  be a function of three variables,  $f = f(y, y', x)$ . The functional is  $F = \int_a^b f(y, y', x) dx$  and the BCs are given as  $y(a) = \alpha$  and  $y(b) = \beta$ .

In variational analysis, we want to find a function  $y$  which will make the functional  $F$  an extremum, or at least give a stationary value.



When the input function  $y(x)$  is changed into  $y(x) + \varepsilon\eta(x)$  with a small value  $\varepsilon$ , the term  $\varepsilon\eta(x)$  is called the variation of  $y(x)$ . The variation is denoted by  $\delta y$  (change of the input function).

The variation of functional  $F$  due to  $\delta y$  can be expanded through Taylor series

$$\begin{aligned}\Delta F &= F(y + \delta y, y' + \delta y', x) - F(y, y', x) \\ &= F(y, y', x) + \frac{\partial F}{\partial y} \delta y + \frac{\partial F}{\partial y'} \delta y' + \dots - F(y, y', x) \\ &= \frac{\partial F}{\partial y} \delta y + \frac{\partial F}{\partial y'} \delta y' + \dots\end{aligned}$$

in which

$$\delta F = \frac{\partial F}{\partial y} \delta y + \frac{\partial F}{\partial y'} \delta y' \quad \rightarrow \quad \text{The leading term is called the first order variation.}$$

The functional  $F = \int_a^b f(y, y', x) dx$  is stationary if and only if its (first) variation vanishes for every permissible variation  $\delta y$ .

$\delta F = 0$   $\rightarrow$  We can find a function that maximizes or minimizes the functional.

## Some Rules

$$\delta(F_1 + F_2) = \delta F_1 + \delta F_2, \quad \delta(F_1 F_2) = F_1 \delta F_2 + F_2 \delta F_1$$

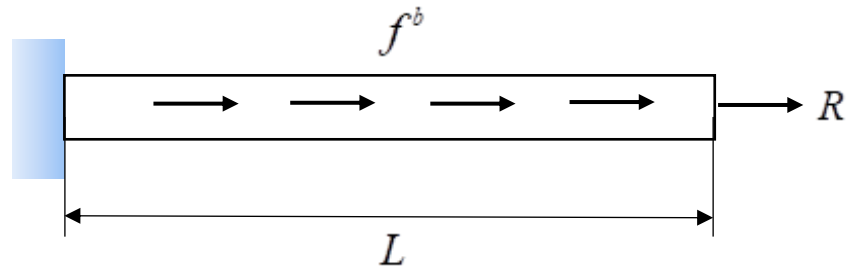
$$\delta\left(\frac{F_1}{F_2}\right) = \frac{F_2 \delta F_1 - F_1 \delta F_2}{F_2^2}, \quad \delta(F^n) = nF^{n-1} \delta F$$

Note: " $\delta$ " and " $\frac{d}{dx}$ " can be calculated with the same rules even though their meaning is completely different.

$$\frac{d}{dx} \delta y = \delta \left( \frac{dy}{dx} \right) : \text{"} \delta \text{" and "} \frac{d}{dx} \text{" commutes.}$$

$$\int_a^b \delta y dx = \delta \int_a^b y dx : \text{"} \delta \text{" and "} \int_a^b dx \text{" commutes.}$$

## 1D Bar Problem



Strong form of G.E.

$$EA \frac{d^2 u}{dx^2} + f^b = 0, \quad 0 \leq x \leq L \quad (\text{equilibrium equation})$$

$$u(0) = 0 \quad (\text{displacement B.C.})$$

$$EA \left. \frac{du}{dx} \right|_{x=L} = R \quad (\text{force B.C.})$$

Weak form (PVW) of G.E.

$$\int_0^L \frac{d\delta u}{dx} EA \frac{du}{dx} dx = \int_0^L f^b \delta u dx + R \delta u \Big|_{x=L}$$

The virtual displacement  $\delta u$  is the variation of  $u$ .

$$\int_0^L \frac{d\delta u}{dx} EA \frac{du}{dx} dx - \int_0^L f^b \delta u dx - R \delta u \Big|_{x=L} = 0$$

$$\delta \left[ \frac{1}{2} \int_0^L EA \left( \frac{du}{dx} \right)^2 dx - \int_0^L f^b u dx - R u \Big|_{x=L} \right] = 0$$

$$\text{Note: } \delta \left[ \frac{1}{2} \int_0^L EA \left( \frac{du}{dx} \right)^2 dx \right] = \frac{1}{2} \int_0^L EA \delta \left[ \left( \frac{du}{dx} \right)^2 \right] dx = \frac{1}{2} \int_0^L EA 2 \frac{du}{dx} \frac{d\delta u}{dx} dx = \int_0^L \frac{d\delta u}{dx} EA \frac{du}{dx} dx$$

$$\rightarrow \delta\Pi = 0 \quad \text{with a functional} \quad \Pi(u) = \frac{1}{2} \int_0^L EA \left( \frac{du}{dx} \right)^2 dx - \int_0^L f^b u dx - Ru|_{x=L}$$

(potential energy) = (strain energy potential) - (force potential)

From PVW, we can obtain the principle of minimum potential energy.

$\delta\Pi = 0$ : Principle of minimum potential energy

- ✓  $\mathbf{u} = \min \Pi(\mathbf{w}), \quad \mathbf{w} \in V$
- ✓ Find  $\mathbf{u} \in V$  such that  $\Pi(\mathbf{u}) < \Pi(\mathbf{w})$  for  $\forall \mathbf{w} \in V$

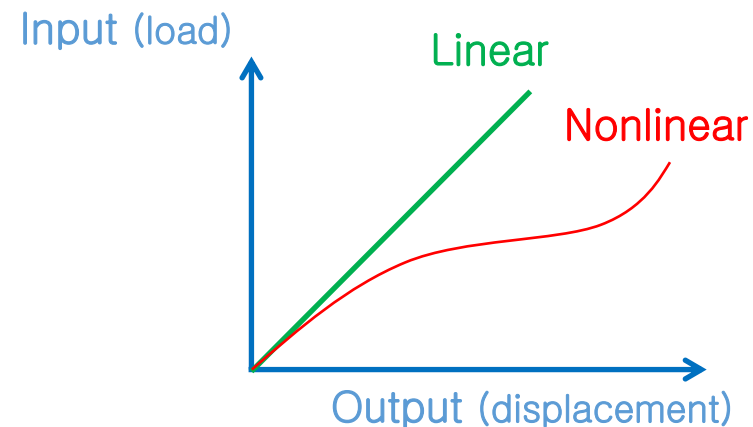
## 8. Introduction to Nonlinear Analysis

Almost all real phenomena are basically nonlinear. In other words, the relationship between inputs and output is not directly proportional.

### Linear Analysis

Assumptions for linear analysis

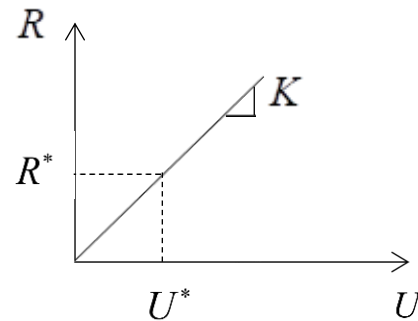
- Small displacements
- Small strain:  $\varepsilon_{ij} = \frac{1}{2} \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right)$
- Linear elastic material



Load-displacement relation is directly proportional.

$$KU^* = R^* \rightarrow U^* = K^{-1}R^* \quad \text{in a single DOF system,}$$

$$\mathbf{K}\mathbf{U}^* = \mathbf{R}^* \rightarrow \mathbf{U}^* = \mathbf{K}^{-1}\mathbf{R}^* \quad \text{in a multi DOFs system.}$$



The principle of superposition is applicable.

$$\mathbf{K}\mathbf{U}^a = \mathbf{R}^a \quad \text{and} \quad \mathbf{K}\mathbf{U}^b = \mathbf{R}^b \quad \rightarrow \quad \mathbf{K}(\mathbf{U}^a + \mathbf{U}^b) = (\mathbf{R}^a + \mathbf{R}^b)$$

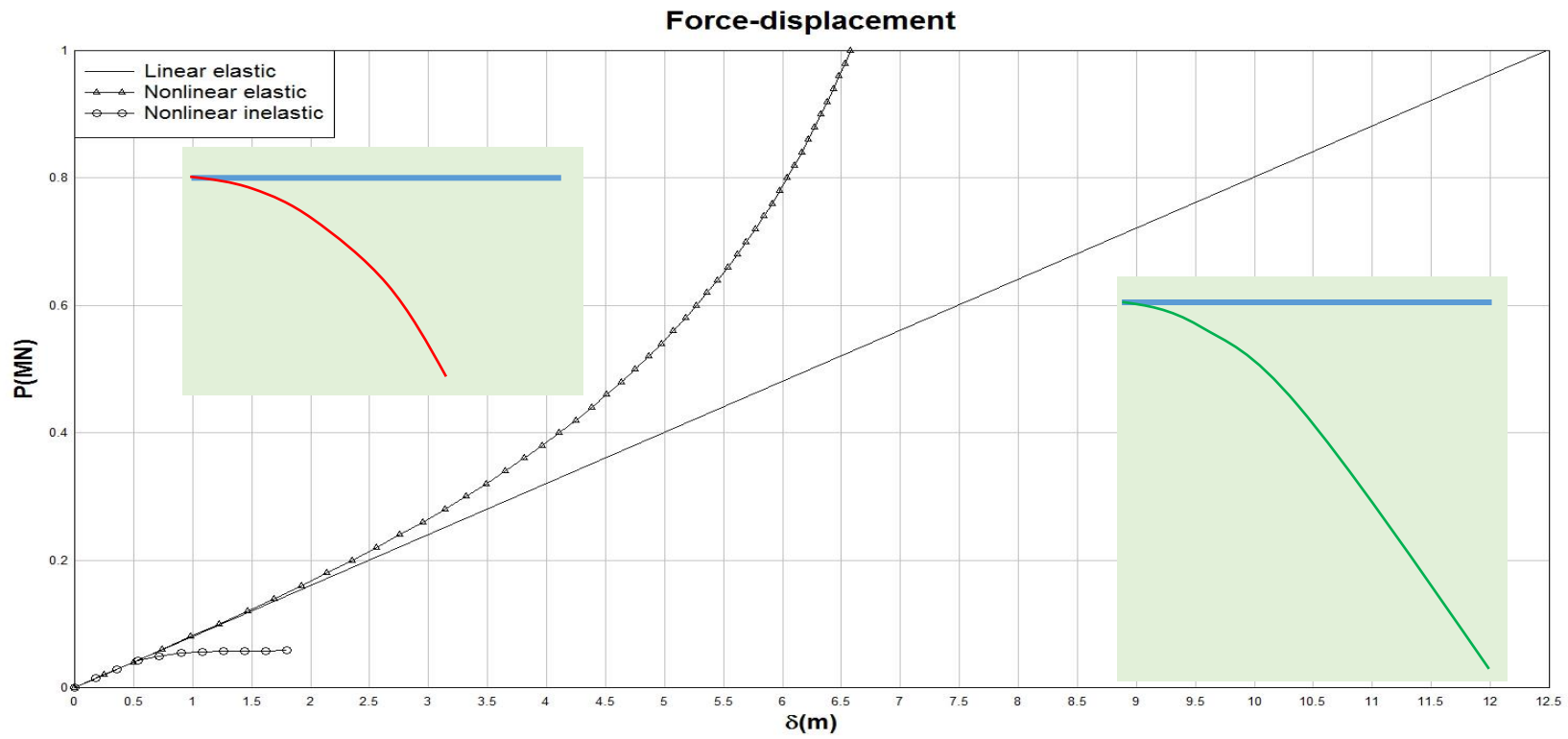
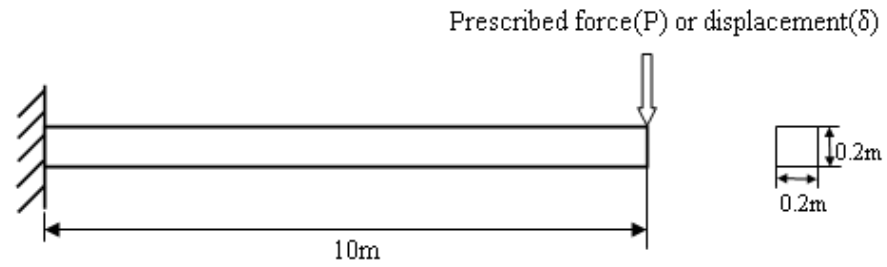
## **Nonlinear Analysis**

If any of the assumptions about "Linear Analysis" do not hold, we are faced with a situation where we have to analyze nonlinear phenomena.

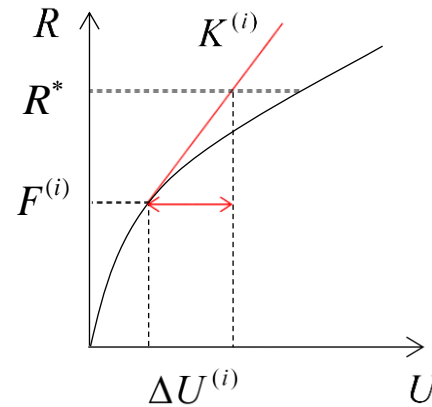
### **Classification**

- Kinematic nonlinear analysis: Large displacements & small strain, large displacements & large strain)
- Material nonlinear analysis: Inelastic material (plastic material, nonlinear elastic material, .....)
- Kinematic + material nonlinear analysis
- Contact analysis

# Example – Cantilever Beam Problem



## Load-displacement relation



Incremental equilibrium equation (at  $U^{(i)}$ )

$$K^{(i)} \Delta U^{(i)} = R^* - F^{(i)}$$

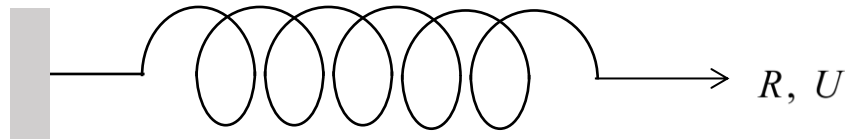
with  $K^{(i)}$ : tangential stiffness (known)

$R^*$ : external force (known)

$F^{(i)}$ : internal force (known)

$\Delta U^{(i)}$ : incremental displacement (unknown)

## Example – Nonlinear Spring



Stiffness:  $K = \frac{1}{2\sqrt{U+1}}$ , Internal force:  $F = \sqrt{U+1} - 1$  (We do not know its inverse relation.)

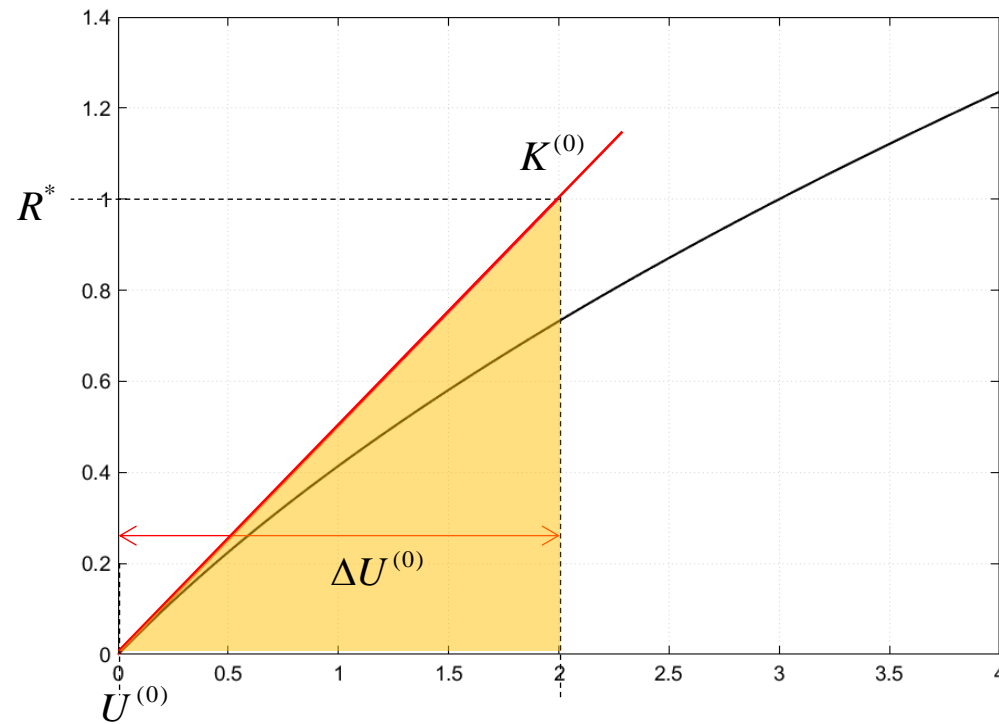
When  $R^* = 1$ , find  $U^*$ . (Exact solution:  $U^* = 3$ )

**Newton-Raphson method:** A root-finding algorithm which produces successively better approximations to the roots of a real-valued function.

**Step 0**  $i = 0: K^{(0)}\Delta U^{(0)} = R^* - F^{(0)}$  with  $K = \frac{1}{2\sqrt{U+1}}$ ,  $F = \sqrt{U+1} - 1$

$$U^{(0)} = 0$$

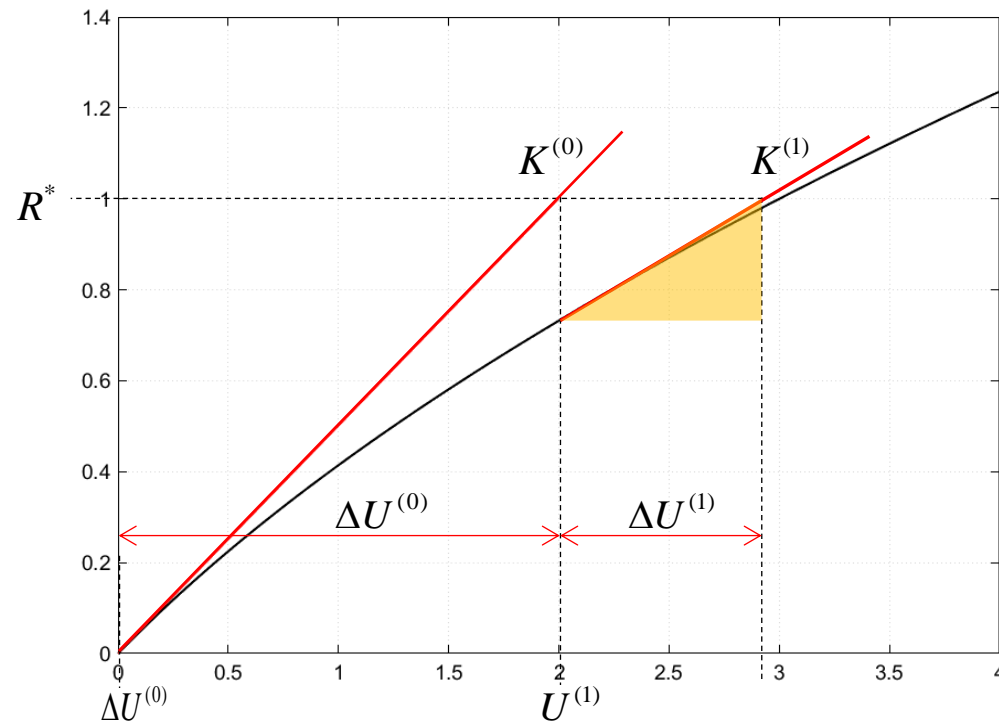
$$K^{(0)} = \frac{1}{2}, R^* = 1, F^{(0)} = 0 \rightarrow \frac{1}{2}\Delta U^{(0)} = 1, \Delta U^{(0)} = 2$$



**Step 1**  $i = 1: K^{(1)} \Delta U^{(1)} = R^* - F^{(1)}$  with  $K = \frac{1}{2\sqrt{U+1}}$ ,  $F = \sqrt{U+1} - 1$

$$U^{(1)} = U^{(0)} + \Delta U^{(0)} = 2 \text{ (error = 33.3 \%)}$$

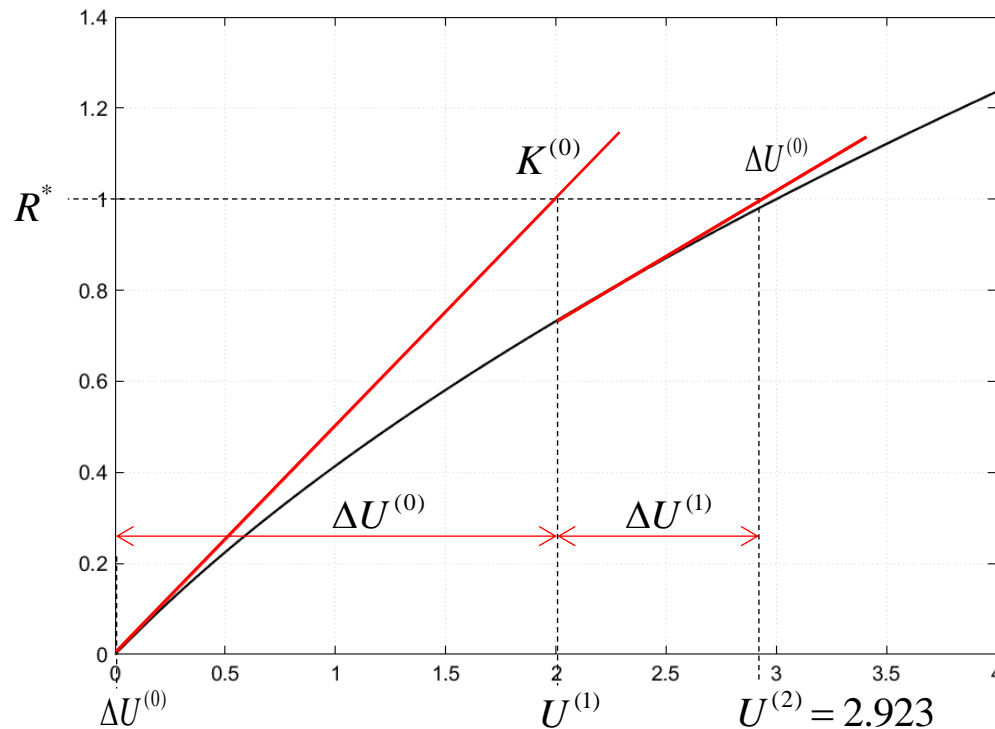
$$K^{(1)} = \frac{1}{2\sqrt{3}}, R^* = 1, F^{(1)} = \sqrt{3} - 1 \rightarrow \frac{1}{2\sqrt{3}} \Delta U^{(1)} = 1 - (\sqrt{3} - 1), \Delta U^{(1)} = 4\sqrt{3} - 6 \text{ } (\approx 0.9282)$$



**Step 2**  $i = 2: K^{(2)}\Delta U^{(2)} = R^* - F^{(2)}$  with  $K = \frac{1}{2\sqrt{U+1}}$ ,  $F = \sqrt{U+1} - 1$

$$U^{(2)} = U^{(1)} + \Delta U^{(1)} = 4\sqrt{3} - 4 \approx 2.9282 \text{ (error} = 2.4 \text{ \%)}$$

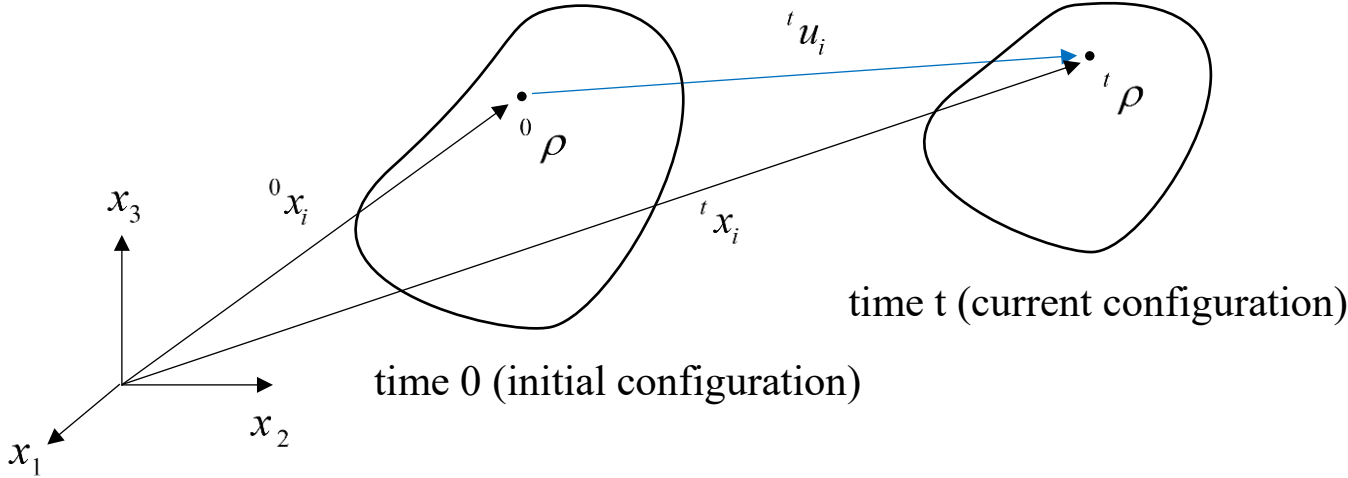
$$K^{(2)} \approx 0.2523, R^* = 1, F^{(2)} = 0.9820 \rightarrow 0.2523 \times \Delta U^{(2)} = 1 - 0.9820, \Delta U^{(2)} \approx 0.0713$$



$$U^{(3)} = U^{(2)} + \Delta U^{(2)} = 2.9282 + 0.0713 = 2.995$$

# 9. Large Displacement Kinematics

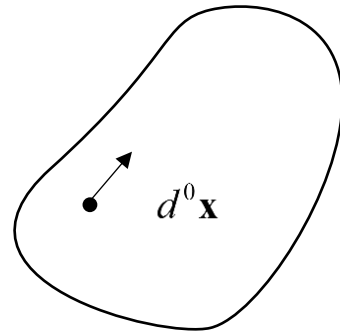
## Deformation Tensor



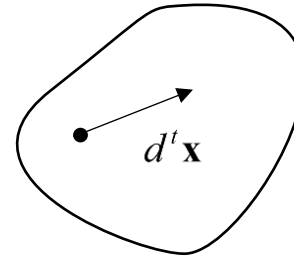
$${}^t x_i = {}^0 x_i + {}^t u_i$$

$${}^t x_i = {}^t x_i({}^0 x_i) \rightarrow \begin{cases} {}^t x_1 = {}^t x_1({}^0 x_1, {}^0 x_2, {}^0 x_3) \\ {}^t x_2 = {}^t x_2({}^0 x_1, {}^0 x_2, {}^0 x_3) \\ {}^t x_3 = {}^t x_3({}^0 x_1, {}^0 x_2, {}^0 x_3) \end{cases}$$

$${}^t u_i = {}^t u_i({}^0 x_i)$$



(initial configuration)



(current configuration)

$$\text{Material vectors: } d^0 \mathbf{x} = \begin{bmatrix} d^0 x_1 \\ d^0 x_2 \\ d^0 x_3 \end{bmatrix}, \quad d^t \mathbf{x} = \begin{bmatrix} d^t x_1 \\ d^t x_2 \\ d^t x_3 \end{bmatrix}$$

### Chain Rule

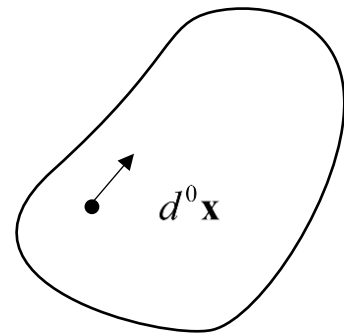
$$d^t x_1 = \frac{\partial^t x_1}{\partial^0 x_1} d^0 x_1 + \frac{\partial^t x_1}{\partial^0 x_2} d^0 x_2 + \frac{\partial^t x_1}{\partial^0 x_3} d^0 x_3, \quad d^t x_2 = \frac{\partial^t x_2}{\partial^0 x_1} d^0 x_1 + \frac{\partial^t x_2}{\partial^0 x_2} d^0 x_2 + \frac{\partial^t x_2}{\partial^0 x_3} d^0 x_3$$

$$d^t x_3 = \frac{\partial^t x_3}{\partial^0 x_1} d^0 x_1 + \frac{\partial^t x_3}{\partial^0 x_2} d^0 x_2 + \frac{\partial^t x_3}{\partial^0 x_3} d^0 x_3$$

Matrix form	Tensor form
$d^t \mathbf{x} = {}^t_0 \mathbf{X} d^0 \mathbf{x}$ <p>with</p> ${}^t_0 \mathbf{X} = \frac{\partial^t \mathbf{x}}{\partial^0 \mathbf{x}}$ $= \begin{bmatrix} \frac{\partial^t x_1}{\partial^0 x_1} & \frac{\partial^t x_1}{\partial^0 x_2} & \frac{\partial^t x_1}{\partial^0 x_3} \\ \frac{\partial^t x_2}{\partial^0 x_1} & \frac{\partial^t x_2}{\partial^0 x_2} & \frac{\partial^t x_2}{\partial^0 x_3} \\ \frac{\partial^t x_3}{\partial^0 x_1} & \frac{\partial^t x_3}{\partial^0 x_2} & \frac{\partial^t x_3}{\partial^0 x_3} \end{bmatrix}$ <p>(deformation matrix from time 0 to time <math>t</math>)</p>	$d^t x_i = {}^t_0 x_{ij} d^0 x_j$ <p>with</p> ${}^t_0 x_{ij} = \frac{\partial^t x_i}{\partial^0 x_j}$ <p>(deformation tensor)</p>

“Length, angle and volume changes can be calculated using deformation gradient tensor.”

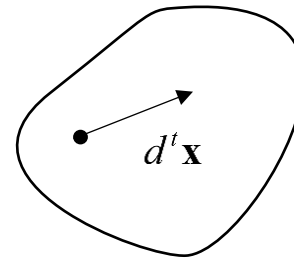
(i) Length change



time 0

(initial configuration)

$$d^0 \mathbf{x} = d^0 s^0 \mathbf{n}$$



time  $t$

(current configuration)

$$d^t \mathbf{x} = d^t s^t \mathbf{n}$$

$d^0 s, d^t s$  : lengths at time 0 and  $t$ , respectively

${}^0 \mathbf{n}, {}^t \mathbf{n}$  : direction vectors at time 0 and  $t$ , respectively

The stretch is defined by  ${}^t\lambda = \frac{d^t s}{d^0 s}$

$$(d^t s)^2 = d^t \mathbf{x}^T d^t \mathbf{x} \quad \leftarrow \quad d^t \mathbf{x} = {}^t \mathbf{X} d^0 \mathbf{x}$$

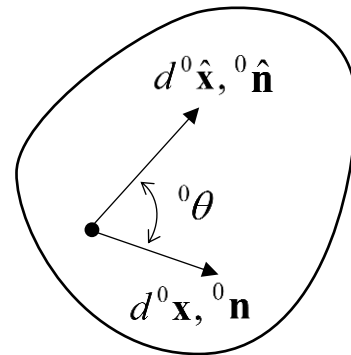
$$(d^t s)^2 = d^0 \mathbf{x}^T {}^t \mathbf{X}^T {}^t \mathbf{X} d^0 \mathbf{x} = d^0 \mathbf{x}^T {}^t \mathbf{C} d^0 \mathbf{x},$$

with  ${}^t \mathbf{C} = {}^t \mathbf{X}^T {}^t \mathbf{X}$ : Right Cauchy-Green deformation tensor

$${}^t\lambda^2 = \frac{(d^t s)^2}{(d^0 s)^2} = \frac{d^0 \mathbf{x}^T {}^t \mathbf{C} d^0 \mathbf{x}}{(d^0 s)^2} = \frac{d^0 \mathbf{x}^T}{d^0 s} {}^t \mathbf{C} \frac{d^0 \mathbf{x}}{d^0 s} \quad \leftarrow \quad \frac{d^0 \mathbf{x}}{d^0 s} = {}^0 \mathbf{n}$$

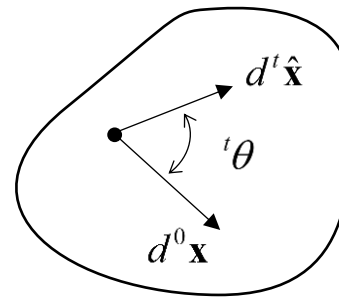
$${}^t\lambda = \left( {}^0 \mathbf{n}^T {}^t \mathbf{C} {}^0 \mathbf{n} \right)^{1/2}$$

(ii) Angle change



time 0

(initial configuration)



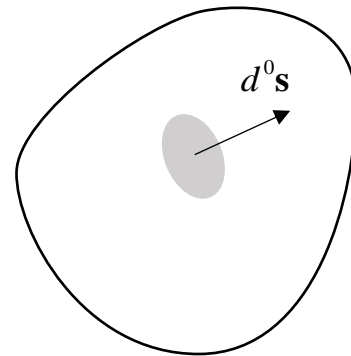
time  $t$

(current configuration)

The angle  ${}^t\theta$  between  $d^0\mathbf{x}$  and  $d^t\hat{\mathbf{x}}$  at time  $t$  is calculated by

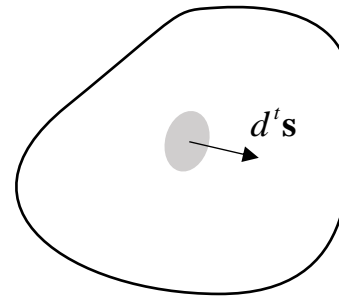
$$\cos {}^t\theta = \frac{{}^0\mathbf{n}^T {}^t\mathbf{C} {}^0\hat{\mathbf{n}}}{{}^t\lambda {}^t\hat{\lambda}}.$$

(iii) Surface change



time 0

(initial configuration)



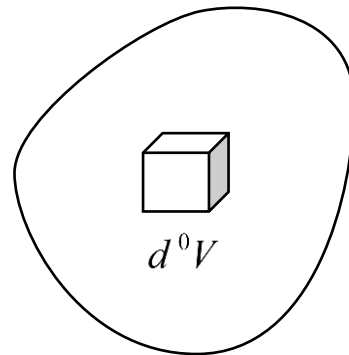
time  $t$

(current configuration)

$$d^0 \mathbf{s} = d^0 s^0 \mathbf{n}, \quad d^t \mathbf{s} = d^t s^t \mathbf{n}$$

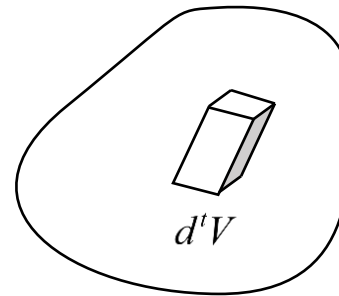
$$d^t \mathbf{s} = \frac{\rho^0}{\rho^t} {}^0 \mathbf{X}^T d^0 \mathbf{s} \quad \text{or} \quad {}^t \mathbf{n} d^t s = \frac{\rho^0}{\rho^t} {}^0 \mathbf{X}^T {}^0 \mathbf{n} d^0 s$$

(iv) Volume change



time 0

(initial configuration)



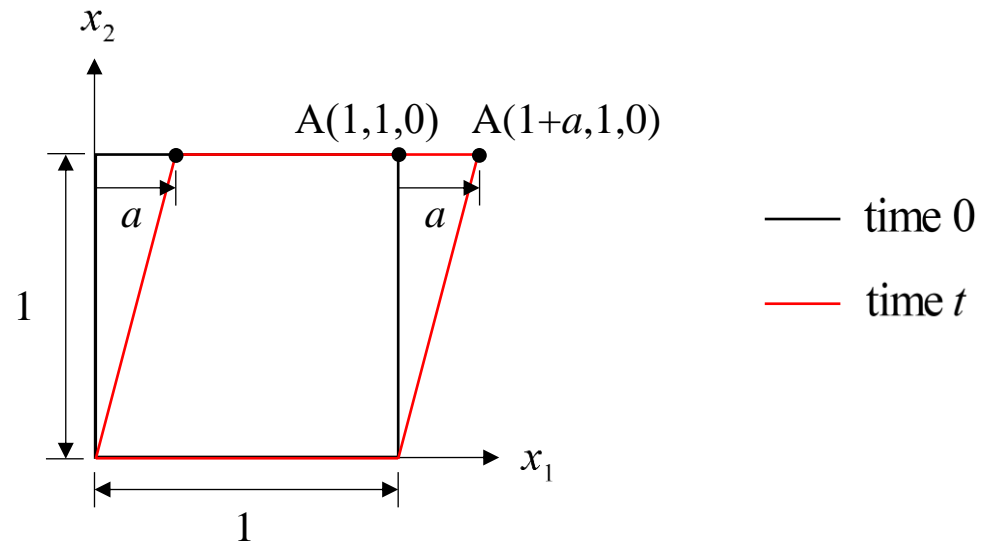
time  $t$

(current configuration)

$$\det({}_0^t \mathbf{X}) = \frac{d^tV}{d^0V} = \frac{{}^0\rho}{{}^t\rho} \quad \leftarrow \quad {}^0\rho d^0V = {}^t\rho d^tV : \text{mass conservation}$$

$${}^t\rho = \frac{{}^0\rho}{\det({}_0^t \mathbf{X})}$$

## Example - Simple Shear



$${}^t x_1 = {}^0 x_1 + a {}^0 x_2$$

$${}^t x_2 = {}^0 x_2$$

$${}^t x_3 = {}^0 x_3$$

$${}^t_0 \mathbf{X} = \begin{bmatrix} 1 & a & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Length change in the direction of  ${}^0\mathbf{n} = [1 \ 0 \ 0]^T$  (at  ${}^0x_1 = {}^0x_2 = 0$ )

$${}^t\mathbf{C} = {}^t\mathbf{X}^T {}^t\mathbf{X} = \begin{bmatrix} 1 & 0 & 0 \\ a & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & a & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 1 & a & 0 \\ a & a^2 + 1 & 0 \\ 0 & 0 & 1 \end{bmatrix},$$

$${}^t\lambda = \left( {}^0\mathbf{n}^T {}^t\mathbf{C} {}^0\mathbf{n} \right)^{1/2} = \left( [1 \ 0 \ 0] \begin{bmatrix} 1 & a & 0 \\ a & a^2 + 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} \right)^{1/2} = 1$$

Length change in the direction of  ${}^0\hat{\mathbf{n}} = [0 \ 1 \ 0]^T$  (at  ${}^0x_1 = {}^0x_2 = 0$ )

$${}^t\hat{\lambda} = \left( {}^0\hat{\mathbf{n}}^T {}^t\mathbf{C} {}^0\hat{\mathbf{n}} \right)^{1/2} = \left( [0 \ 1 \ 0] \begin{bmatrix} 1 & a & 0 \\ a & a^2 + 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} \right)^{1/2} = \sqrt{a^2 + 1}$$

Angle change between  ${}^0\mathbf{n} = [1 \ 0 \ 0]^T$  and  ${}^0\hat{\mathbf{n}} = [0 \ 1 \ 0]^T$  (at  ${}^0x_1 = {}^0x_2 = 0$ ).

$${}^t\lambda = 1$$

$${}^t\hat{\lambda} = \sqrt{a^2 + 1}$$

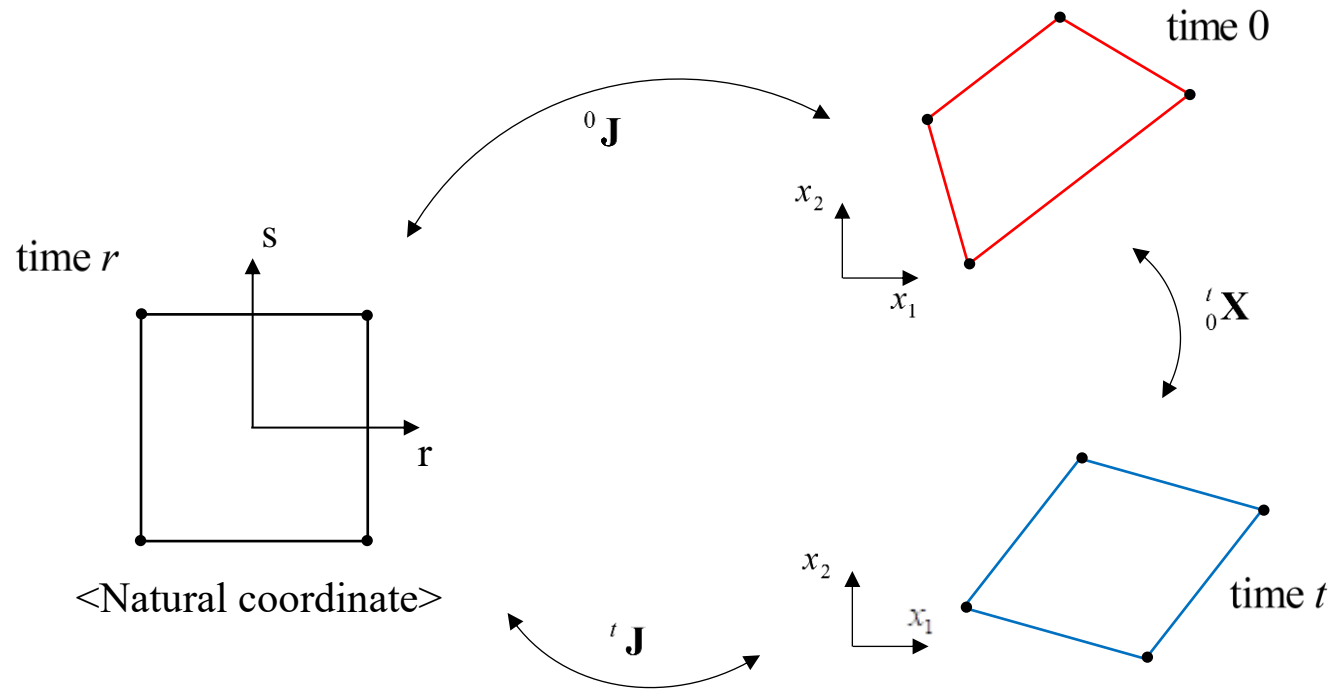
$${}^t_0\mathbf{C} = \begin{bmatrix} 1 & a & 0 \\ a & a^2 + 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$\cos {}^t\theta = \frac{{}^0\mathbf{n}^T {}^t_0\mathbf{C} {}^0\hat{\mathbf{n}}}{{}^t\lambda {}^t\hat{\lambda}} = \frac{a}{\sqrt{a^2 + 1}}$$

Volume change

$$\det({}^t_0\mathbf{X}) = 1: \text{no change of volume}$$

# Deformation Tensor in Isoparametric Procedure



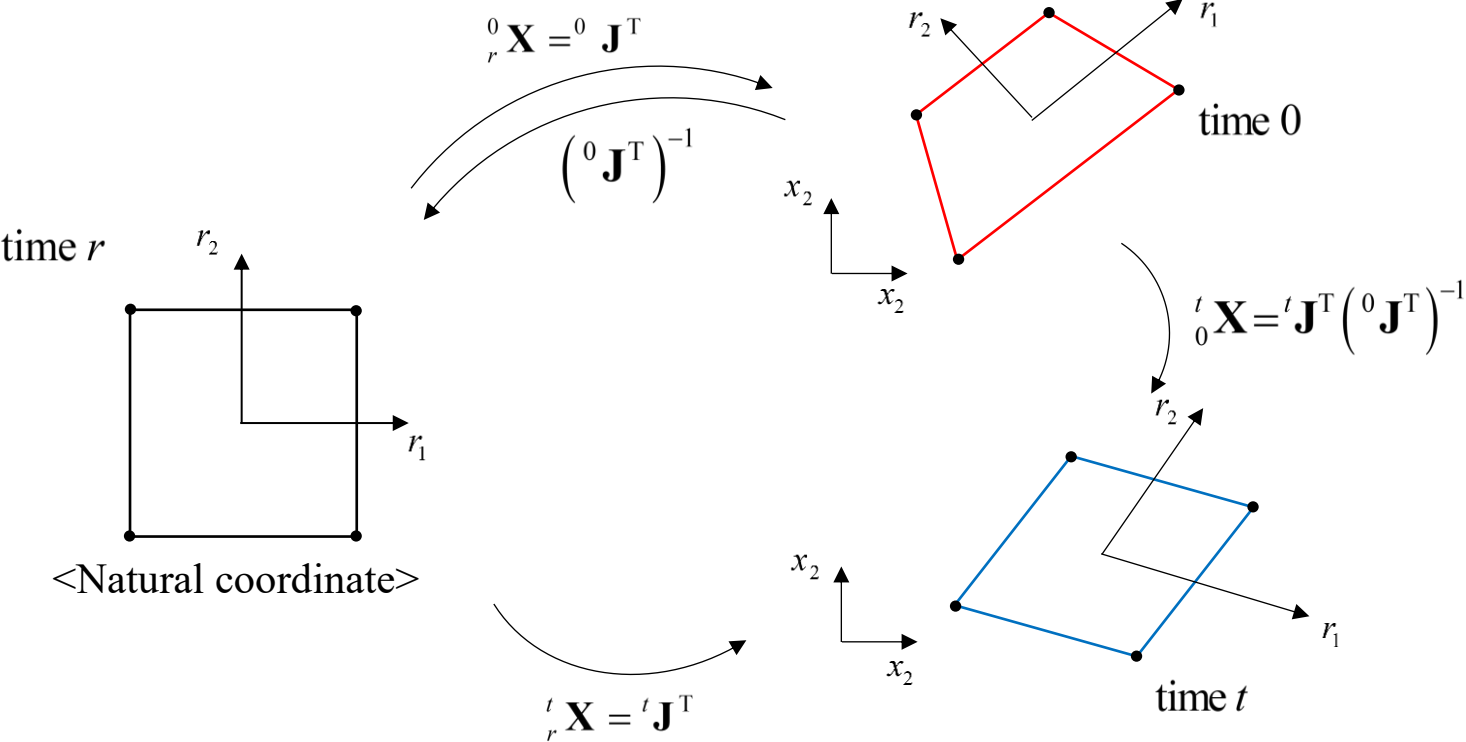
$$\begin{cases} \mathbf{x} = h_i(r, s)\mathbf{x}_i \\ \mathbf{u} = h_i(r, s)\mathbf{u}_i \\ h_i = h_i(r, s) \end{cases} \rightarrow \begin{cases} {}^0\mathbf{x} = h_i(r, s) {}^0\mathbf{x}_i \\ {}^t\mathbf{x} = h_i(r, s) {}^t\mathbf{x}_i \\ {}^t\mathbf{u} = {}^t\mathbf{x} - {}^0\mathbf{x} = h_i(r, s)({}^t\mathbf{x}_i - {}^0\mathbf{x}_i) = h_i(r, s) {}^t\mathbf{u}_i \end{cases}$$

$$\boxed{d^t\mathbf{x} = {}^t\mathbf{X}d^0\mathbf{x}} \quad \text{with } {}^t\mathbf{X} = \frac{\partial^t x_i}{\partial^0 x_j} (\mathbf{e}_i \otimes \mathbf{e}_j) : \text{deformation matrix}$$

$$\begin{cases} r, s \\ x, y \end{cases} \rightarrow \begin{cases} r_1, r_2 \\ x_1, x_2 \end{cases}$$

$$\mathbf{J} = \begin{bmatrix} \frac{\partial x}{\partial r} & \frac{\partial y}{\partial r} \\ \frac{\partial x}{\partial s} & \frac{\partial y}{\partial s} \end{bmatrix} \rightarrow \mathbf{J} = \begin{bmatrix} \frac{\partial x_1}{\partial r_1} & \frac{\partial x_2}{\partial r_1} \\ \frac{\partial x_1}{\partial r_2} & \frac{\partial x_2}{\partial r_2} \end{bmatrix} \rightarrow \mathbf{J} = \frac{\partial x_j}{\partial r_i} (\mathbf{e}_i \otimes \mathbf{e}_j), \quad \mathbf{J}^T = \frac{\partial x_i}{\partial r_j} (\mathbf{e}_i \otimes \mathbf{e}_j)$$

We can identify  ${}^0_r\mathbf{X} = {}^0\mathbf{J}^T = \frac{\partial^0 x_i}{\partial r_j}(\mathbf{e}_i \otimes \mathbf{e}_j)$  and  ${}^t_r\mathbf{X} = {}^t\mathbf{J}^T = \frac{\partial^t x_i}{\partial r_j}(\mathbf{e}_i \otimes \mathbf{e}_j)$ .



$${}^t_0\mathbf{X} = {}^t\mathbf{J}^T ({}^0\mathbf{J}^T)^{-1}$$

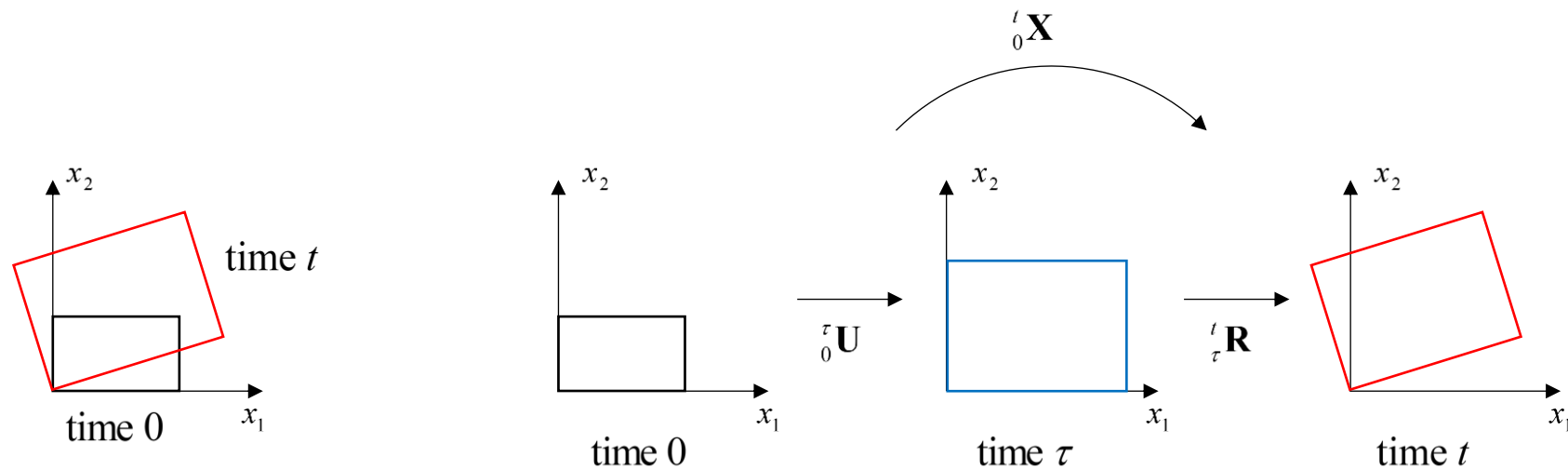
## Green-Lagrange Strain Tensor

Polar decomposition

$${}^t_0\mathbf{X} = {}^t_\tau\mathbf{R} {}^\tau_0\mathbf{U} = {}^t_0\mathbf{R} {}^t_0\mathbf{U}$$

${}^t_0\mathbf{R}$  : Rotation matrix (orthogonal matrix:  ${}^t_0\mathbf{R}^T {}^t_0\mathbf{R} = \mathbf{I}$ ,  ${}^t_0\mathbf{R}^T = {}^t_0\mathbf{R}^{-1} = {}^t_0\mathbf{R}$ )

${}^t_0\mathbf{U}$  : Stretch matrix (symmetric matrix)



## Green-Lagrange strain

$$\begin{aligned} {}^t_0\boldsymbol{\varepsilon} &= \frac{1}{2}({}^t_0\mathbf{X}^T {}^t_0\mathbf{X} - \mathbf{I}) && \text{(eq. 9.1)} \\ &= \frac{1}{2}\left(\left({}^t_0\mathbf{R} {}^t_0\mathbf{U}\right)^T \left({}^t_0\mathbf{R} {}^t_0\mathbf{U}\right) - \mathbf{I}\right) \\ &= \frac{1}{2}\left({}^t_0\mathbf{U}^T {}^t_0\mathbf{U} - \mathbf{I}\right) \end{aligned}$$

- under rigid body rotations:  ${}^t_0\mathbf{X} = {}^t_0\mathbf{R} \rightarrow {}^t_0\boldsymbol{\varepsilon} = \mathbf{0}$

- under rigid body translations

$${}^t\mathbf{x} = {}^0\mathbf{x} + \mathbf{c} \quad \text{with } \mathbf{c}: \text{ constant vector}$$

$${}^t_0\mathbf{X} = \frac{\partial {}^t\mathbf{x}}{\partial {}^0\mathbf{x}} = \mathbf{I} \rightarrow {}^t_0\boldsymbol{\varepsilon} = \mathbf{0}$$

- under no displacement

$${}^t\mathbf{x} = {}^0\mathbf{x}$$

$${}^t_0\mathbf{X} = \mathbf{I} \rightarrow {}^t_0\boldsymbol{\varepsilon} = \mathbf{0}$$

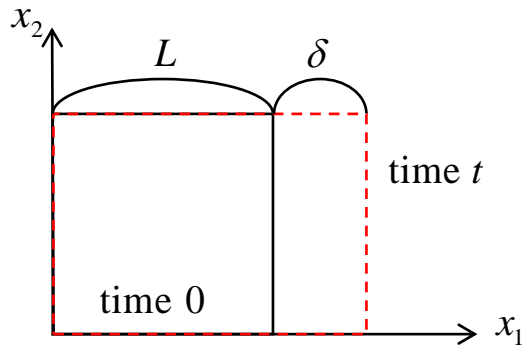
$${}^t_0\mathbf{X} = \frac{\partial {}^t\mathbf{x}}{\partial {}^0\mathbf{x}} = \frac{\partial}{\partial {}^0\mathbf{x}} ({}^0\mathbf{x} + {}^t\mathbf{u}) = \frac{\partial {}^t\mathbf{u}}{\partial {}^0\mathbf{x}} + \mathbf{I} \quad (\text{eq. 9.2})$$

Using (eq. 9.2) in (eq. 9.1), Green-Lagrange strain w.r.t displacements can be derived as follows

$$\begin{aligned} {}^t_0\boldsymbol{\varepsilon} &= \frac{1}{2} \left[ \left( \frac{\partial {}^t\mathbf{u}}{\partial {}^0\mathbf{x}} + \mathbf{I} \right)^T \left( \frac{\partial {}^t\mathbf{u}}{\partial {}^0\mathbf{x}} + \mathbf{I} \right) - \mathbf{I} \right] \\ &= \frac{1}{2} \left[ \left( \frac{\partial {}^t\mathbf{u}}{\partial {}^0\mathbf{x}} \right)^T + \frac{\partial {}^t\mathbf{u}}{\partial {}^0\mathbf{x}} + \left( \frac{\partial {}^t\mathbf{u}}{\partial {}^0\mathbf{x}} \right)^T \left( \frac{\partial {}^t\mathbf{u}}{\partial {}^0\mathbf{x}} \right) \right] \end{aligned}$$

Tensor form	Matrix form
${}^t_0\varepsilon_{ij} = \frac{1}{2} ({}^t_0u_{i,j} + {}^t_0u_{j,i} + {}^t_0u_{k,i} {}^t_0u_{k,j})$	${}^t_0\boldsymbol{\varepsilon} = \frac{1}{2} \left[ \left( \frac{\partial {}^t\mathbf{u}}{\partial {}^0\mathbf{x}} \right)^T + \frac{\partial {}^t\mathbf{u}}{\partial {}^0\mathbf{x}} + \left( \frac{\partial {}^t\mathbf{u}}{\partial {}^0\mathbf{x}} \right)^T \left( \frac{\partial {}^t\mathbf{u}}{\partial {}^0\mathbf{x}} \right) \right]$

## Example – One directional stretch



$$\begin{aligned} {}^t x_1 &= \frac{\delta}{L} {}^0 x_1 + {}^0 x_1 \\ {}^t x_2 &= {}^0 x_2 \\ {}^t x_3 &= {}^0 x_3 \end{aligned}$$

$${}^t u_1 = {}^t x_1 - {}^0 x_1 = \frac{\delta}{L} {}^0 x_1, \quad {}^t u_2 = {}^t u_3 = 0$$

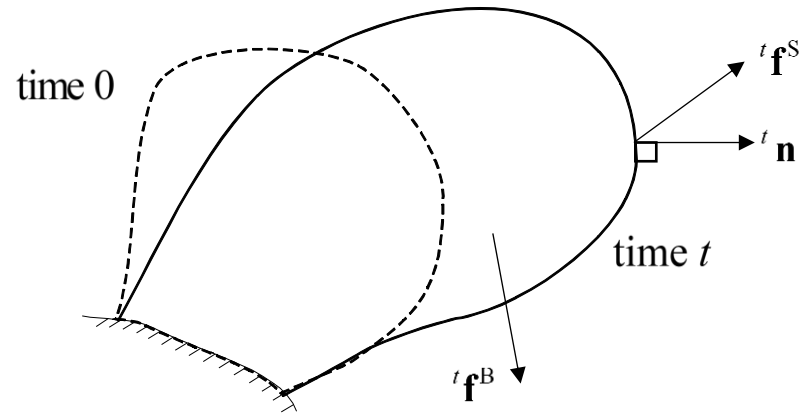
$${}^t \varepsilon_{ij} = \frac{1}{2} ({}^t u_{i,j} + {}^t u_{j,i} + {}^t u_{k,i} {}^t u_{k,j}) \quad : \text{ GL-strain}$$

$${}^t \varepsilon_{11} = \frac{1}{2} ({}^t u_{1,1} + {}^t u_{1,1} + {}^t u_{1,1} {}^t u_{1,1} + \cancel{{}^t u_{2,1} {}^t u_{2,1}} + \cancel{{}^t u_{3,1} {}^t u_{3,1}}) \quad \leftarrow \quad {}^t u_{1,1} = \frac{d {}^t u_1}{d {}^0 x_1} = \frac{\delta}{L}$$

$$= \frac{1}{2} \left( \frac{\delta}{L} + \frac{\delta}{L} + \left( \frac{\delta}{L} \right)^2 \right) = \frac{\delta}{L} + \frac{1}{2} \left( \frac{\delta}{L} \right)^2$$

## 10. Total Lagrangian Formulation (TL-formulation)

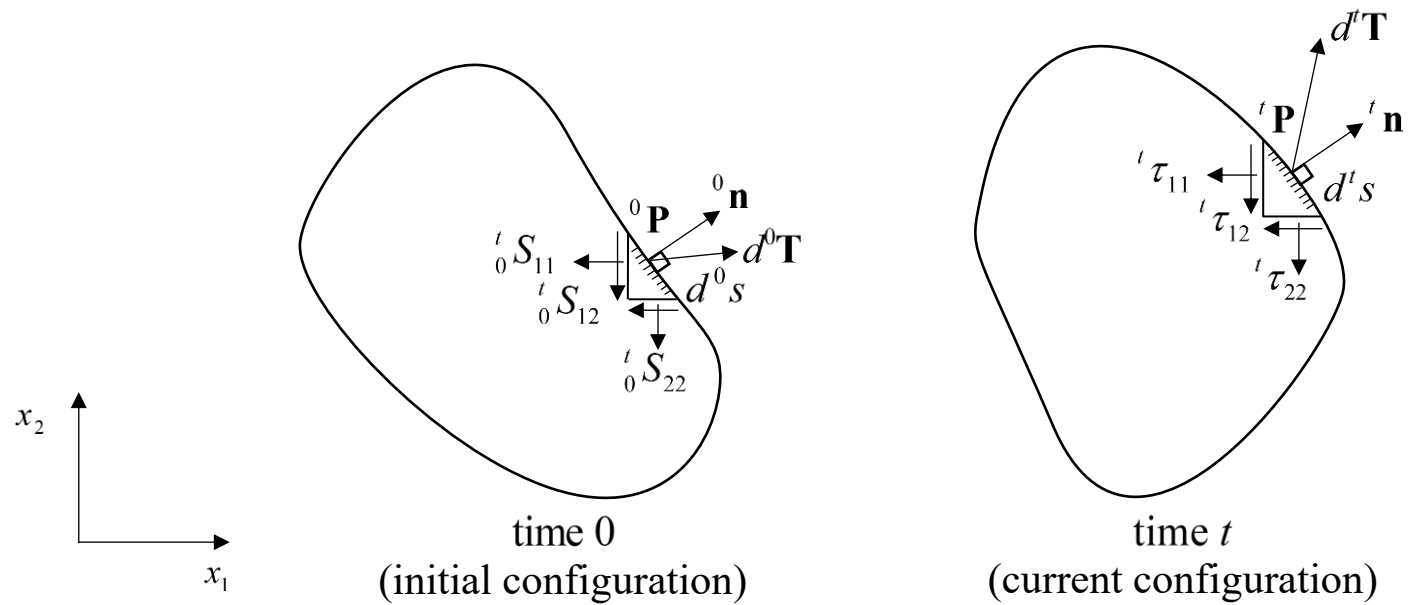
### Equilibrium equations at time $t$



$$\left\{ \begin{array}{l} \frac{\partial \tau_{ij}}{\partial x_j} + f_i^B = 0 \quad \text{in } V \\ \tau_{ij} n_j = f_i^S \quad \text{on } S_f \end{array} \right. \rightarrow \left\{ \begin{array}{l} \frac{\partial {}^t \tau_{ij}}{\partial {}^t x_j} + {}^t f_i^B = 0 \quad \text{in } {}^t V \\ {}^t \tau_{ij} {}^t n_j = {}^t f_i^S \quad \text{on } {}^t S_f \end{array} \right.$$

(Note) The configuration of the body at time  $t$  is unknown!

## Second Piola-Kirchhoff Stress & Cauchy Stress



Let us define a fictitious force  $d^0 \mathbf{T}$

$$d^t \mathbf{T} = {}^t_0 \mathbf{X} d^0 \mathbf{T} \quad \text{or} \quad d^0 \mathbf{T} = {}^0_t \mathbf{X} d^t \mathbf{T}, \quad (\text{eq. 10.1})$$

$$d^0 \mathbf{T} = {}^t_0 \mathbf{S} {}^0 \mathbf{n} d^0 s, \quad (\text{eq. 10.2})$$

where  $d^t \mathbf{T}$  is a force applied in a small area in the current configuration.

${}^t_0\mathbf{S}$  is the stress tensor, which is in equilibrium with  $d^0\mathbf{T}$ .

${}^t_0\mathbf{S} \rightarrow$  "Second Piola-Kirchhoff stress (2-PK stress)"

$$d^t\mathbf{T} = {}^t\boldsymbol{\tau} {}^t\mathbf{n} d^t s \quad (\text{Cauchy stress is in equilibrium with } d^t\mathbf{T}) \quad (\text{eq. 10.3})$$

$${}^t\mathbf{n} d^t s = \frac{{}^0\rho}{{}^t\rho} {}^0\mathbf{X}^T {}^0\mathbf{n} d^0 s \quad (\text{Transport of an oriented surface}) \quad (\text{eq. 10.4})$$

Using (eq. 10.4) in (eq. 10.3),  $d^t\mathbf{T} = {}^t\boldsymbol{\tau} \frac{{}^0\rho}{{}^t\rho} {}^0\mathbf{X}^T {}^0\mathbf{n} d^0 s.$  (eq. 10.5)

Substituting (eq. 10.2) and (eq. 10.5) into (eq. 10.1), the relation between the 2PK stress and Cauchy stress is obtained

$${}^t_0\mathbf{S} \cancel{{}^0\mathbf{n} d^0 s} = {}^0\mathbf{X} {}^t\boldsymbol{\tau} \frac{{}^0\rho}{{}^t\rho} {}^0\mathbf{X}^T \cancel{{}^0\mathbf{n} d^0 s} \quad \rightarrow \quad \boxed{{}^t_0\mathbf{S} = \frac{{}^0\rho}{{}^t\rho} {}^0\mathbf{X} {}^t\boldsymbol{\tau} {}^0\mathbf{X}^T}$$

(Note)

The components of the Second Piola-Kirchhoff stress do not change under rigid body motions.

<b>Tensor form</b>	<b>Matrix form</b>
${}^t_0S_{ij} = \frac{{}^0\rho}{{}^t\rho} {}^0x_{im} {}^0x_{jn} {}^t\tau_{mn}$ ${}^t\tau_{mn} = \frac{{}^t\rho}{{}^0\rho} {}^tx_{mi} {}^tx_{nj} {}^0S_{ij}$	${}^t_0\mathbf{S} = \frac{{}^0\rho}{{}^t\rho} {}^0\mathbf{X} {}^t\boldsymbol{\tau} {}^0\mathbf{X}^T$ ${}^t\boldsymbol{\tau} = \frac{{}^t\rho}{{}^0\rho} {}^t\mathbf{X} {}^0\mathbf{S} {}^t\mathbf{X}^T$

## Variation of Green-Lagrange strain (Virtual Green-Lagrange strain)

$${}^t_0 \varepsilon_{ij} = \frac{1}{2} ({}^t_0 x_{ki} {}^t_0 x_{kj} - \delta_{ij})$$

Variation of GL strain

$$\delta_0^t \varepsilon_{ij} = \frac{1}{2} (\delta_0^t x_{ki} {}^t_0 x_{kj} + {}^t_0 x_{ki} \delta_0^t x_{kj})$$

$$\delta_0^t x_{ij} = \delta \left( \frac{\partial^t x_i}{\partial^0 x_j} \right) = \delta \left( \frac{\partial^t u_i}{\partial^0 x_j} + \delta_{ij} \right) = \frac{\partial \delta^t u_i}{\partial^0 x_j} = \frac{\partial \delta u_i}{\partial^t x_m} \cdot \frac{\partial^t x_m}{\partial^0 x_j} = \delta_{}^t u_{i,m} {}^t_0 x_{mj} \quad \leftarrow \delta^t u_i \rightarrow \delta u_i, \quad \delta_{}^t u_{i,m} = \frac{\partial \delta u_i}{\partial^t x_m}$$

$$\delta_0^t \varepsilon_{ij} = \frac{1}{2} (\delta_{}^t u_{k,m} {}^t_0 x_{mi} {}^t_0 x_{kj} + {}^t_0 x_{ki} \delta_{}^t u_{k,m} {}^t_0 x_{mj})$$

$$= \frac{1}{2} ({}^t_0 x_{mi} \delta_{}^t u_{k,m} {}^t_0 x_{kj} + {}^t_0 x_{ki} \delta_{}^t u_{k,m} {}^t_0 x_{mj}) \quad \leftarrow m \text{ and } k \text{ can be switched.}$$

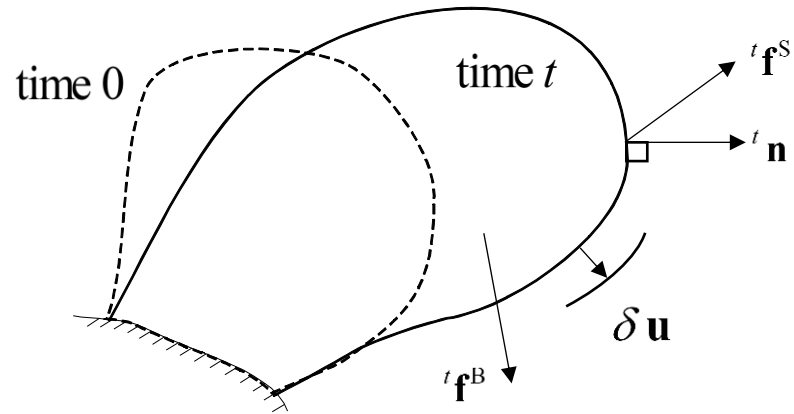
$$= \frac{1}{2} ({}^t_0 x_{ki} \delta_{}^t u_{m,k} {}^t_0 x_{mj} + {}^t_0 x_{ki} \delta_{}^t u_{k,m} {}^t_0 x_{mj})$$

$$= {}^t_0x_{ki} \left[ \frac{1}{2} (\delta_t u_{m,k} + \delta_t u_{k,m}) \right] {}^t_0x_{mj} \quad \leftarrow \quad \delta_t e_{km} = \frac{1}{2} (\delta_t u_{m,k} + \delta_t u_{k,m}) : \text{virtual strain at time } t$$

$$= {}^t_0x_{ki} \delta_t e_{km} {}^t_0x_{mj}$$

Tensor form	Matrix form
$\delta_0^t \varepsilon_{ij} = {}^t_0x_{mi} {}^t_0x_{nj} \delta_t e_{mn}$ $\delta_t e_{mn} = {}^0_tx_{im} {}^0_tx_{jn} \delta_0^t \varepsilon_{ij}$	$\delta_0^t \boldsymbol{\varepsilon} = {}^t_0\mathbf{X}^T \delta_t \mathbf{e} {}^t_0\mathbf{X}$ $\delta_t \mathbf{e} = {}^0_t\mathbf{X}^T \delta_0^t \boldsymbol{\varepsilon} {}^0_t\mathbf{X}$

## Principle of Virtual Work at time $t$



$$\begin{cases} \frac{\partial^t \tau_{ij}}{\partial^t x_j} + {}^t f_i^B = 0 & \text{in } {}^t V \\ {}^t \tau_{ij} {}^t n_j = {}^t f_i^S & \text{on } {}^t S_f \end{cases}$$

$\delta \mathbf{u}$  : Virtual displacement imposed on the current configuration

Applying  $\delta u_i$  to the body in the configuration at time  $t$ , PVW at time  $t$  is obtained.

$$\boxed{\int_{tV} {}^t\tau_{ij} \delta_t e_{ij} d^tV = {}^tR} \quad (\text{in tensor form})$$

where

$\int_{tV} {}^t\tau_{ij} \delta_t e_{ij} d^tV$  : internal virtual work at time  $t$

${}^tR$  : external virtual work at time  $t$

${}^t\tau_{ij}$  : Cauchy stress at time  $t$

$\delta_t e_{ij}$  : virtual strain at time  $t$ ,  $\delta_t e_{ij} = \frac{1}{2}(\delta_t u_{i,j} + \delta_t u_{j,i}) = \frac{1}{2} \left( \frac{\partial \delta u_i}{\partial x_j} + \frac{\partial \delta u_j}{\partial x_i} \right)$

$\delta u_i$  : virtual displacement

$$\boxed{\int_{tV} {}^t\boldsymbol{\tau} \cdot \delta_t \mathbf{e} d^tV = {}^tR} \quad (\text{in matrix form with } {}^t\boldsymbol{\tau} \cdot \delta_t \mathbf{e} = {}^t\tau_{ij} \delta_t e_{ij})$$

$$\int_{{}^tV} {}^t\tau_{kl} \delta_t e_{kl} d{}^tV = \int_{{}^tV} \left( \frac{{}^t\rho}{{}_0\rho} {}^t\mathbf{x}_{ki} {}^t\mathbf{x}_{lj} {}^tS_{ij} \right) \left( {}_0\mathbf{x}_{pk} {}_0\mathbf{x}_{ql} \delta_0^t \boldsymbol{\varepsilon}_{pq} \right) d{}^tV$$

$$\Leftarrow {}^t\tau_{mn} = \frac{{}^t\rho}{{}_0\rho} {}^t\mathbf{x}_{mi} {}^t\mathbf{x}_{nj} {}^tS_{ij}$$

$$\delta_t e_{mn} = {}^t\mathbf{x}_{pm} {}^t\mathbf{x}_{qn} \delta_0^t \boldsymbol{\varepsilon}_{pq}$$

$${}^t\mathbf{x}_{ki} {}_0\mathbf{x}_{pk} = \delta_{pi}, \quad {}^t\mathbf{x}_{lj} {}_0\mathbf{x}_{ql} = \delta_{qj}$$

$$= \int_{{}^tV} \frac{{}^t\rho}{{}_0\rho} {}^tS_{ij} \delta_0^t \boldsymbol{\varepsilon}_{ij} d{}^tV \quad \Leftarrow {}^t\rho d{}^tV = {}_0\rho d{}^0V$$

$$= \int_{{}_0V} {}^tS_{ij} \delta_0^t \boldsymbol{\varepsilon}_{ij} d{}^0V$$

$$\boxed{\int_{{}_0V} {}^tS_{ij} \delta_0^t \boldsymbol{\varepsilon}_{ij} d{}^0V = {}^tR} \quad \text{or} \quad \boxed{\int_{{}_0V} {}^t\mathbf{S} \cdot \delta_0^t \boldsymbol{\varepsilon} d{}^0V = {}^tR}$$

(Note)

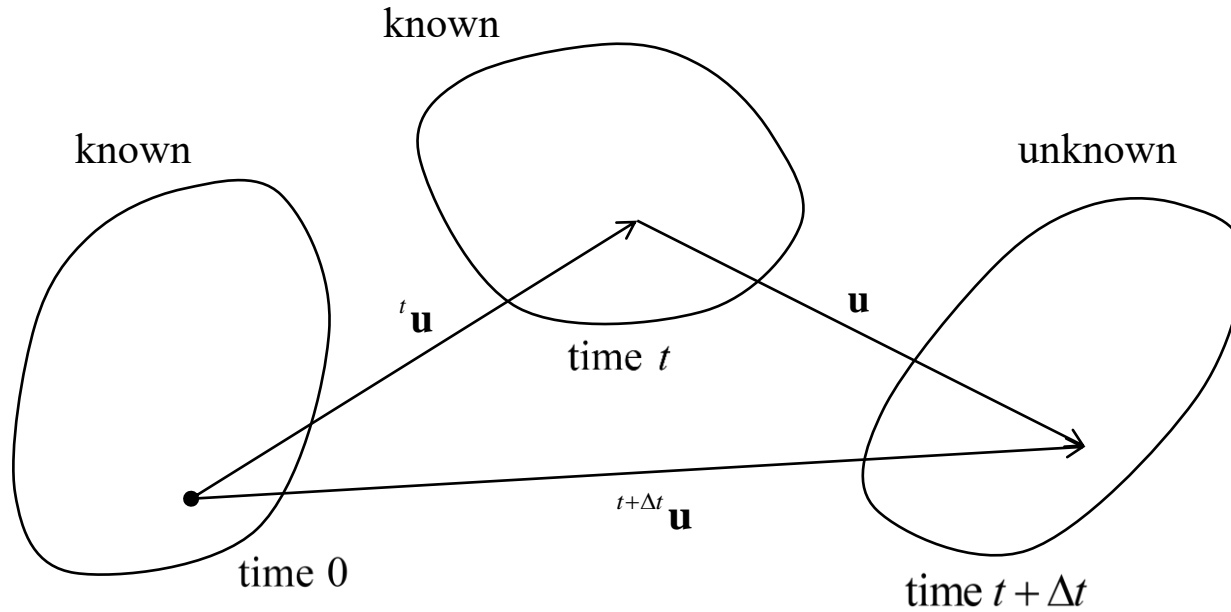
${}^t \boldsymbol{\tau} \longleftrightarrow \delta_t \mathbf{e} : \text{energy conjugate}$

${}^t_0 \mathbf{S} \longleftrightarrow \delta_0^t \boldsymbol{\varepsilon} : \text{energy conjugate}$

${}^t \boldsymbol{\tau} : \text{Stress measured from the current configuration (time = } t \text{)}$

${}^t_0 \mathbf{S} : \text{Stress measured from the initial configuration (time = 0)}$

## Principle of Virtual Work at time $t+\Delta t$



$${}^{t+\Delta t}\mathbf{u} = {}^t\mathbf{u} + \mathbf{u}$$

PVW at time  $t + \Delta t$  (Configurations at time 0 and  $t$  are known)

$$\int_{0V} {}^{t+\Delta t} S_{ij} \delta_0^{t+\Delta t} \varepsilon_{ij} d^0V = {}^{t+\Delta t} R$$

$${}_{0}^{t+\Delta t} S_{ij} = {}_{0}^t S_{ij} + {}_{0} S_{ij} \quad \leftarrow {}_{0} S_{ij} : \text{incremental 2-PK stress } ({}_{0}^t S_{ij} \text{ is known})$$

$${}_{0}^{t+\Delta t} \varepsilon_{ij} = {}_{0}^t \varepsilon_{ij} + {}_{0} \varepsilon_{ij} \quad \leftarrow {}_{0} \varepsilon_{ij} : \text{incremental GL strain } ({}_{0}^t \varepsilon_{ij} \text{ is known})$$

### Separation of GL strain

$${}_{0}^{t+\Delta t} \varepsilon_{ij} = \frac{1}{2} \left( {}_{0}^{t+\Delta t} u_{i,j} + {}_{0}^{t+\Delta t} u_{j,i} + {}_{0}^{t+\Delta t} u_{k,i} {}_{0}^{t+\Delta t} u_{k,j} \right) \quad \leftarrow {}_{0}^{t+\Delta t} u_i = {}_{0}^t u_i + u_i, \quad {}_{0}^{t+\Delta t} u_{i,j} = {}_{0}^t u_{i,j} + u_{i,j}$$

$$= {}_{0}^t \varepsilon_{ij} + \frac{1}{2} \left( {}_{0} u_{i,j} + {}_{0} u_{j,i} + {}_{0}^t u_{k,i} {}_{0} u_{k,j} + {}_{0} u_{k,i} {}_{0}^t u_{k,j} \right) + \frac{1}{2} \left( {}_{0} u_{k,i} {}_{0} u_{k,j} \right)$$

$$= {}_{0}^t \varepsilon_{ij} + {}_{0} \varepsilon_{ij} \quad \leftarrow {}_{0} \varepsilon_{ij} = {}_{0} e_{ij} + {}_{0} \eta_{ij} : \text{incremental GL strain}$$

$$= {}_{0}^t \varepsilon_{ij} + {}_{0} e_{ij} + {}_{0} \eta_{ij}$$

where

$${}_{0}^t u_{k,i} {}_{0} u_{k,j} + {}_{0} u_{k,i} {}_{0}^t u_{k,j} : \text{initial displacement effect } ({}_{0}^t u_{k,i} \text{ is known})$$

$${}_{0} e_{ij} : \text{linear part of the incremental GL strain}$$

$${}_{0} \eta_{ij} : \text{nonlinear part of the incremental GL strain}$$

Then, PVW becomes

$$\int_{0V} {}^{t+\Delta t} S_{ij} \delta_0^{t+\Delta t} \varepsilon_{ij} d^0V = {}^{t+\Delta t} R \quad \leftarrow \quad {}^{t+\Delta t} S_{ij} = {}^t S_{ij} + {}_0 S_{ij}, \quad \delta_0^{t+\Delta t} \varepsilon_{ij} = \cancel{\delta_0^t \varepsilon_{ij}} + \delta_0 \varepsilon_{ij} = \delta_0 e_{ij} + \delta_0 \eta_{ij}$$

$$\int_{0V} ({}^t S_{ij} + {}_0 S_{ij})(\delta_0 e_{ij} + \delta_0 \eta_{ij}) d^0V = {}^{t+\Delta t} R$$

$$\int_{0V} ({}^t S_{ij} \delta_0 e_{ij} + {}^t S_{ij} \delta_0 \eta_{ij} + {}_0 S_{ij} \delta_0 e_{ij} + {}_0 S_{ij} \delta_0 \eta_{ij}) d^0V = {}^{t+\Delta t} R$$

$$\begin{array}{l}
 {}^t_0 S_{ij} \delta_0 e_{ij} \\
 \text{known}
 \end{array}
 \left[ \begin{array}{l}
 {}^t_0 S_{ij} : \text{known} \\
 \delta_0 e_{ij} = \frac{1}{2} \left( \delta_0 u_{i,j} + \delta_0 u_{j,i} + \underbrace{{}^t_0 u_{k,i} \delta_0 u_{k,j} + \delta u_{k,i} \underbrace{{}^t_0 u_{k,j}}}_{\text{known}} \right) : \text{known}
 \end{array} \right.$$

- Virtual terms are given.
- Principle unknown is  ${}_0 u_i$ .

$$\begin{array}{l}
 {}^t_0 S_{ij} \delta_0 \eta_{ij} \\
 \text{Linear}
 \end{array}
 \left[ \begin{array}{l}
 {}^t_0 S_{ij} : \text{known} \\
 \delta_0 \eta_{ij} = \frac{1}{2} \left( \delta_0 u_{k,i} \underbrace{{}_0 u_{k,j} + {}_0 u_{k,i} \delta_0 u_{k,j}}_{\text{unknown}} \right) : \text{linear}
 \end{array} \right.$$

$$\begin{array}{l}
 {}_0 S_{ij} \delta_0 e_{ij} \\
 \text{Linear}
 \end{array}
 \left[ \begin{array}{l}
 {}_0 S_{ij} \approx C_{ijrs} {}_0 e_{rs} : \text{linear} \\
 \delta_0 e_{ij} : \text{known}
 \end{array} \right.
 \rightarrow
 {}_0 e_{ij} = \frac{1}{2} \left( \underbrace{{}_0 u_{i,j} + {}_0 u_{j,i}}_{\text{unknown}} + \underbrace{{}^t_0 u_{k,i} \underbrace{{}_0 u_{k,j} + {}_0 u_{k,i} \delta_0 u_{k,j}}_{\text{unknown}}}_{\text{known}} \right)$$

$$\begin{array}{l}
 {}_0 S_{ij} \delta_0 \eta_{ij} \\
 \text{nonlinear}
 \end{array}
 \left[ \begin{array}{l}
 {}_0 S_{ij} : \text{linear} \\
 \delta_0 \eta_{ij} : \text{linear}
 \end{array} \right.$$

To linearize PVW, the nonlinear term is neglected.

$$\int_{0V} \left( {}^t_0 S_{ij} \delta_0 e_{ij} + {}^t_0 S_{ij} \delta_0 \eta_{ij} + {}_0 S_{ij} \delta_0 e_{ij} + \cancel{{}_0 S_{ij} \delta_0 \eta_{ij}} \right) d^0V = {}^{t+\Delta t} R$$

$$\int_{0V} {}_0 S_{ij} \delta_0 e_{ij} d^0V + \int_{0V} {}^t_0 S_{ij} \delta_0 \eta_{ij} d^0V = {}^{t+\Delta t} R - \int_{0V} {}^t_0 S_{ij} \delta_0 e_{ij} d^0V$$

Using  ${}_0 S_{ij} \approx {}_0 C_{ijrs} e_{rs}$ , the total Lagrange formulation is obtained

$$\boxed{\int_{0V} \delta_0 e_{ij} {}_0 C_{ijrs} e_{rs} d^0V + \int_{0V} {}^t_0 S_{ij} \delta_0 \eta_{ij} d^0V = {}^{t+\Delta t} R - \int_{0V} {}^t_0 S_{ij} \delta_0 e_{ij} d^0V} \quad (\text{eq. 10.6})$$

## Finite Element Discretization

Using  ${}_0\mathbf{e}^{(m)} = {}_0^t\mathbf{B}_L^{(m)}\mathbf{U}$  and  $\delta_0\mathbf{e}^{(m)} = {}_0^t\mathbf{B}_L^{(m)}\delta\mathbf{U}$  in (eq. 10.6),

$$\sum_m \left[ \int_{V^{(m)}} {}_0^t\mathbf{B}_L^{(m)T} {}_0^t\mathbf{C}^{(m)} {}_0^t\mathbf{B}_L^{(m)} dV^{(m)} + \int_{V^{(m)}} {}_0^t\mathbf{B}_{NL}^{(m)T} {}_0^t\mathbf{S}^{(m)} {}_0^t\mathbf{B}_{NL}^{(m)} dV^{(m)} \right] \mathbf{U} = {}^{t+\Delta t}\mathbf{R} - \sum_m \int_{V^{(m)}} {}_0^t\mathbf{B}_L^{(m)T} {}_0^t\mathbf{S}^{(m)} dV^{(m)},$$

where  ${}_0^t\mathbf{B}_{NL}^{(m)}$  is a matrix utilized to calculate  $\delta_0\boldsymbol{\eta}^{(m)}$ .

Finally, the following incremental equilibrium equation is given

$$\boxed{{}_0^t\mathbf{K}\mathbf{U} = {}^{t+\Delta t}\mathbf{R} - {}_0^t\mathbf{F}}$$

with

$${}_0^t\mathbf{K} = \sum_m {}_0^t\mathbf{K}^{(m)}, \quad {}_0^t\mathbf{K}^{(m)} = {}_0^t\mathbf{K}_L^{(m)} + {}_0^t\mathbf{K}_{NL}^{(m)}, \quad {}_0^t\mathbf{F} = \sum_m {}_0^t\mathbf{F}^{(m)}$$

where

$\mathbf{U}$ : incremental nodal displacement vector

${}^t_0\mathbf{K}$ : tangential stiffness matrix,

${}^t_0\mathbf{F}$ : internal force vector

$${}^t_0\mathbf{K}_L^{(m)} = \int_{0V^{(m)}} {}^t\mathbf{B}_L^{(m)T} {}^t_0\mathbf{C}^{(m)} {}^t_0\mathbf{B}_L^{(m)} dV^{(m)}$$

$${}^t_0\mathbf{K}_{NL}^{(m)} = \int_{0V^{(m)}} {}^t\mathbf{B}_{NL}^{(m)T} {}^t_0\mathbf{S}^{(m)} {}^t_0\mathbf{B}_{NL}^{(m)} dV^{(m)}$$

$${}^t_0\mathbf{F}^{(m)} = \int_{0V^{(m)}} {}^t\mathbf{B}_L^{(m)T} {}^t_0\mathbf{S}^{(m)} dV^{(m)}$$

## Updated Lagrangian Formulation (UL-formulation)

Total Lagrangian formulation

$$\int_{0V} \delta_0 e_{ij} C_{ijrs} e_{rs} d^0V + \int_{0V} {}^t S_{ij} \delta_0 \eta_{ij} d^0V = {}^{t+\Delta t} R - \int_{0V} {}^t S_{ij} \delta_0 e_{ij} d^0V$$

In the TL formulation, set time 0  $\rightarrow$   $t$

$$\int_{tV} \delta_t e_{ij} C_{ijrs} e_{rs} d^tV + \int_{tV} {}^t S_{ij} \delta_t \eta_{ij} d^tV = {}^{t+\Delta t} R - \int_{tV} {}^t S_{ij} \delta_t e_{ij} d^tV$$

$${}^t S_{ij} = {}^t \tau_{ij}$$

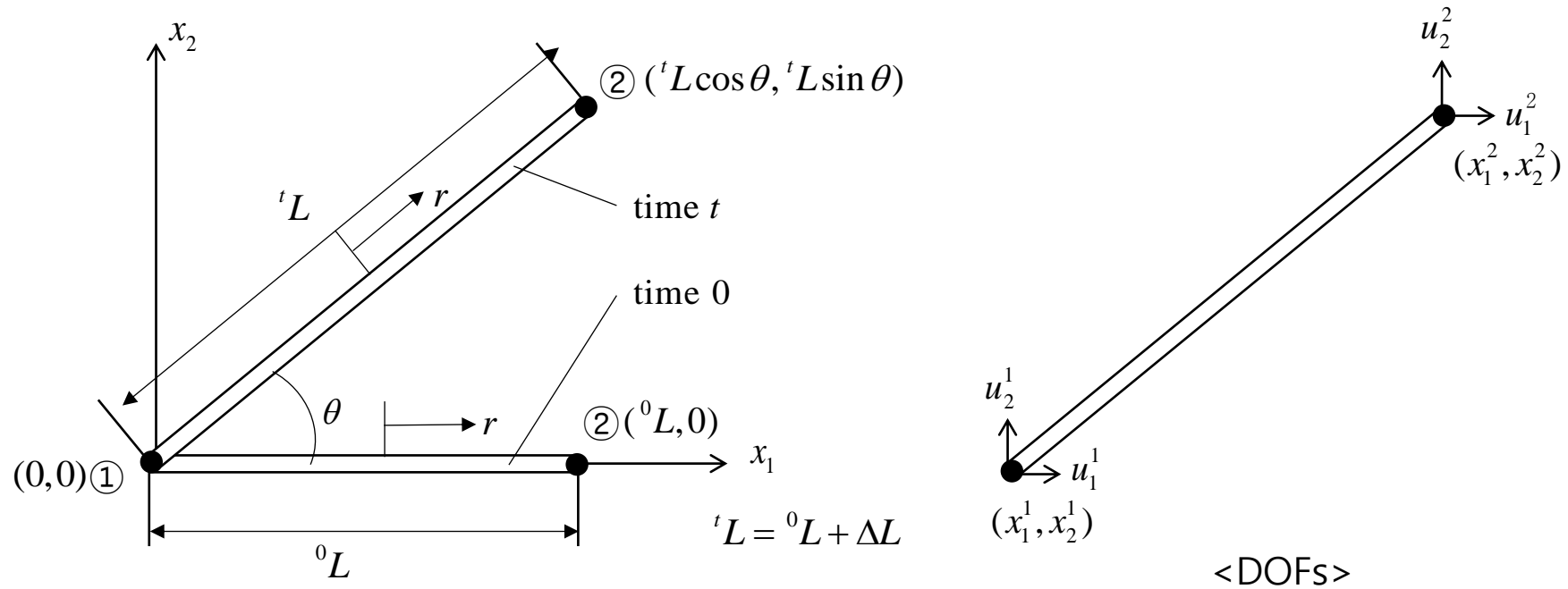
$${}^t x_i = {}^0 x_i + {}^t u_i \rightarrow {}^t x_i = {}^t x_i + {}^t u_i, \quad {}^t u_i = 0$$

$${}^t e_{ij} = \frac{1}{2} \left( {}^t u_{i,j} + {}^t u_{j,i} + \cancel{{}^t u_{k,i}} + \cancel{{}^t u_{k,j}} + u_{k,i} \cancel{{}^t u_{k,j}} \right) = \frac{1}{2} \left( {}^t u_{i,j} + {}^t u_{j,i} \right)$$

Updated Lagrangian formulation

$$\boxed{\int_{tV} \delta_t e_{ij} C_{ijrs} e_{rs} d^tV + \int_{tV} {}^t \tau_{ij} \delta_t \eta_{ij} d^tV = {}^{t+\Delta t} R - \int_{tV} {}^t \tau_{ij} \delta_t e_{ij} d^tV}$$

## Example – Large displacement of a 2-node bar element (TL-formulation)



## Interpolations

$${}^o \mathbf{x} = h_i(r) {}^o \mathbf{x}_i \quad : \text{Geometry at time } = 0, \quad \begin{bmatrix} {}^o x_1 \\ {}^o x_2 \end{bmatrix} = h_i(r) \begin{bmatrix} {}^o x_1^i \\ {}^o x_2^i \end{bmatrix}$$

$${}^t \mathbf{x} = h_i(r) {}^t \mathbf{x}_i \quad : \text{Geometry at time } = t, \quad \begin{bmatrix} {}^t x_1 \\ {}^t x_2 \end{bmatrix} = h_i(r) \begin{bmatrix} {}^t x_1^i \\ {}^t x_2^i \end{bmatrix}$$

$${}^t \mathbf{u} = h_i(r) {}^t \mathbf{u}_i \quad : \text{Displacement at time } = t, \quad \begin{bmatrix} {}^t u_1 \\ {}^t u_2 \end{bmatrix} = h_i(r) \begin{bmatrix} {}^t u_1^i \\ {}^t u_2^i \end{bmatrix}$$

$$\mathbf{u} = h_i(r) \mathbf{u}_i \quad : \text{Incremental displacement,} \quad \begin{bmatrix} u_1 \\ u_2 \end{bmatrix} = h_i(r) \begin{bmatrix} u_1^i \\ u_2^i \end{bmatrix}$$

$$\delta \mathbf{u} = h_i(r) \delta \mathbf{u}_i \quad : \text{Virtual displacement,} \quad \begin{bmatrix} \delta u_1 \\ \delta u_2 \end{bmatrix} = h_i(r) \begin{bmatrix} \delta u_1^i \\ \delta u_2^i \end{bmatrix}$$

$$h_1 = \frac{1}{2}(1-r), \quad h_2 = \frac{1}{2}(1+r)$$

## Linear part of the incremental GL strain

$${}^0e_{ij} = \frac{1}{2} ({}^0u_{i,j} + {}^0u_{j,i} + {}^t u_{k,i} {}^0u_{k,j} + {}^0u_{k,i} {}^t u_{k,j})$$

$${}^0e_{11} = {}^0u_{1,1} + {}^t u_{1,1} {}^0u_{1,1} + {}^0u_{2,1} {}^t u_{2,1} = \frac{\partial u_1}{\partial^0 x_1} + \frac{\partial^t u_1}{\partial^0 x_1} \frac{\partial u_1}{\partial^0 x_1} + \frac{\partial u_2}{\partial^0 x_1} \frac{\partial^t u_2}{\partial^0 x_1}$$

$$\mathbf{U} = \begin{bmatrix} u_1^1 \\ u_1^2 \\ u_2^1 \\ u_2^2 \end{bmatrix}, \quad {}^t \mathbf{U} = \begin{bmatrix} {}^t u_1^1 \\ {}^t u_1^2 \\ {}^t u_2^1 \\ {}^t u_2^2 \end{bmatrix} = \begin{bmatrix} 0 \\ ({}^0L + \Delta L) \cos \theta - {}^0L \\ 0 \\ ({}^0L + \Delta L) \sin \theta \end{bmatrix}$$

$${}^t u_1 = \begin{bmatrix} \frac{1}{2}(1-r) & \frac{1}{2}(1+r) & 0 & 0 \end{bmatrix} {}^t \mathbf{U}, \quad \frac{\partial^t u_1}{\partial r} = \begin{bmatrix} -\frac{1}{2} & \frac{1}{2} & 0 & 0 \end{bmatrix} {}^t \mathbf{U}, \quad \frac{\partial^0 x_1}{\partial r} = \frac{{}^0L}{2}$$

$$\rightarrow \frac{\partial^t u_1}{\partial^0 x_1} = \frac{\partial^t u_1}{\partial r} \frac{\partial r}{\partial^0 x_1} = \begin{bmatrix} -\frac{1}{2} & \frac{1}{2} & 0 & 0 \end{bmatrix} \frac{2}{{}^0L} {}^t \mathbf{U} = \frac{{}^0L + \Delta L}{{}^0L} \cos \theta - 1$$

$${}^t u_2 = \begin{bmatrix} 0 & 0 & \frac{1}{2}(1-r) & \frac{1}{2}(1+r) \end{bmatrix} {}^t \mathbf{U}, \quad \frac{\partial {}^t u_2}{\partial r} = \begin{bmatrix} 0 & 0 & -\frac{1}{2} & \frac{1}{2} \end{bmatrix} {}^t \mathbf{U}$$

$$\rightarrow \frac{\partial {}^t u_2}{\partial {}^0 x_1} = \frac{\partial {}^t u_2}{\partial r} \frac{\partial r}{\partial {}^0 x_1} = \begin{bmatrix} 0 & 0 & -\frac{1}{2} & \frac{1}{2} \end{bmatrix} \frac{2}{{}^0 L} {}^t \mathbf{U} = \frac{{}^0 L + \Delta L}{{}^0 L} \sin \theta$$

$$u_1 = \begin{bmatrix} \frac{1}{2}(1-r) & \frac{1}{2}(1+r) & 0 & 0 \end{bmatrix} \mathbf{U}, \quad \frac{\partial u_1}{\partial r} = \begin{bmatrix} -\frac{1}{2} & \frac{1}{2} & 0 & 0 \end{bmatrix} \mathbf{U}$$

$$\rightarrow \frac{\partial u_1}{\partial {}^0 x_1} = \frac{\partial u_1}{\partial r} \frac{\partial r}{\partial {}^0 x_1} = \begin{bmatrix} -\frac{1}{2} & \frac{1}{2} & 0 & 0 \end{bmatrix} \frac{2}{{}^0 L} \mathbf{U} = \frac{1}{{}^0 L} [-1 \ 1 \ 0 \ 0] \mathbf{U}$$

$$u_2 = \begin{bmatrix} 0 & 0 & \frac{1}{2}(1-r) & \frac{1}{2}(1+r) \end{bmatrix} \mathbf{U}, \quad \frac{\partial u_2}{\partial r} = \begin{bmatrix} 0 & 0 & -\frac{1}{2} & \frac{1}{2} \end{bmatrix} \mathbf{U}$$

$$\rightarrow \frac{\partial u_2}{\partial {}^0 x_1} = \frac{\partial u_2}{\partial r} \frac{\partial r}{\partial {}^0 x_1} = \frac{1}{{}^0 L} [0 \ 0 \ -1 \ 1] \mathbf{U}$$

$$\begin{aligned}
{}^0e_{11} &= \frac{1}{{}^0L}[-1 \ 1 \ 0 \ 0]\mathbf{U} + \left(\frac{{}^0L + \Delta L}{{}^0L}\cos\theta - 1\right)\frac{1}{{}^0L}[-1 \ 1 \ 0 \ 0]\mathbf{U} + \left(\frac{{}^0L + \Delta L}{{}^0L}\sin\theta\right)\frac{1}{{}^0L}[0 \ 0 \ -1 \ 1]\mathbf{U} \\
&= \frac{{}^0L + \Delta L}{({}^0L)^2}[-\cos\theta \ \cos\theta \ -\sin\theta \ \sin\theta]\mathbf{U} = {}^t\mathbf{B}_L^{(m)}\mathbf{U}
\end{aligned}$$

$${}^t\mathbf{B}_L^{(m)} = \frac{{}^0L + \Delta L}{({}^0L)^2}[-\cos\theta \ \cos\theta \ -\sin\theta \ \sin\theta]$$


---

## Nonlinear part of the incremental GL strain

$${}^0\eta_{ij} = \frac{1}{2} {}^0u_{k,i} {}^0u_{k,j}$$

$${}^0\eta_{11} = \frac{1}{2} \left\{ ({}^0u_{1,1})^2 + ({}^0u_{2,1})^2 \right\} = \frac{1}{2} \left\{ \left( \frac{\partial u_1}{\partial^0 x_1} \right)^2 + \left( \frac{\partial u_2}{\partial^0 x_1} \right)^2 \right\}$$

$$\begin{aligned} \delta^0\eta_{11} &= \frac{\partial u_1}{\partial^0 x_1} \frac{\partial \delta u_1}{\partial^0 x_1} + \frac{\partial u_2}{\partial^0 x_1} \frac{\partial \delta u_2}{\partial^0 x_1} \\ &= \begin{bmatrix} \frac{\partial \delta u_1}{\partial^0 x_1} & \frac{\partial \delta u_2}{\partial^0 x_1} \end{bmatrix} \begin{bmatrix} \frac{\partial u_1}{\partial^0 x_1} \\ \frac{\partial u_2}{\partial^0 x_1} \end{bmatrix} = \delta \mathbf{U}^T {}^t_0 \mathbf{B}_{\text{NL}}^{(m)T} {}^t_0 \mathbf{B}_{\text{NL}}^{(m)} \mathbf{U} \end{aligned}$$

$${}^t_0 \mathbf{B}_{\text{NL}}^{(m)} \mathbf{U} = \begin{bmatrix} \frac{\partial u_1}{\partial^0 x_1} \\ \frac{\partial u_2}{\partial^0 x_1} \end{bmatrix} = \frac{1}{{}^0L} \begin{bmatrix} -1 & 1 & 0 & 0 \\ 0 & 0 & -1 & 1 \end{bmatrix} \mathbf{U} \quad \Rightarrow \quad \underline{{}^t_0 \mathbf{B}_{\text{NL}}^{(m)} = \frac{1}{{}^0L} \begin{bmatrix} -1 & 1 & 0 & 0 \\ 0 & 0 & -1 & 1 \end{bmatrix}}$$

## Tangential stiffness matrix

$${}^t_0 \mathbf{K}^{(m)} = {}^t_0 \mathbf{K}_L^{(m)} + {}^t_0 \mathbf{K}_{NL}^{(m)} = \int_{0V^{(m)}} {}^t_0 \mathbf{B}_L^{(m)T} {}^t_0 \mathbf{C}^{(m)} {}^t_0 \mathbf{B}_L^{(m)} dV^{(m)} + \int_{0V^{(m)}} {}^t_0 \mathbf{B}_{NL}^{(m)T} {}^t_0 \mathbf{S}^{(m)} {}^t_0 \mathbf{B}_{NL}^{(m)} dV^{(m)}$$

$${}^t_0 \mathbf{C} \rightarrow E$$

$${}^t_0 \mathbf{S}^{(m)} \rightarrow {}^t_0 S_{11} = E {}^t_0 \varepsilon_{11} = E \left( \frac{\Delta L}{{}^0L} + \frac{1}{2} \left( \frac{\Delta L}{{}^0L} \right)^2 \right)$$

$$\begin{aligned} {}^t_0 \mathbf{K}^{(m)} &= \int_{0V} {}^t_0 \mathbf{B}_L^{(m)T} E {}^t_0 \mathbf{B}_L^{(m)} dV + \int_{0V} {}^t_0 \mathbf{B}_{NL}^{(m)T} {}^t_0 S_{11} {}^t_0 \mathbf{B}_{NL}^{(m)} dV \\ &= A_0 \int_{-1}^1 {}^t_0 \mathbf{B}_L^{(m)T} E {}^t_0 \mathbf{B}_L^{(m)} \left( \frac{{}^0L}{2} \right) dr + A_0 \int_{-1}^1 {}^t_0 \mathbf{B}_{NL}^{(m)T} {}^t_0 S_{11} {}^t_0 \mathbf{B}_{NL}^{(m)} \left( \frac{{}^0L}{2} \right) dr \end{aligned}$$


---

## Internal force vector

$${}^t_0 \mathbf{F}^{(m)} = \int_{0V^{(m)}} {}^t_0 \mathbf{B}_L^{(m)T} {}^t_0 \mathbf{S}^{(m)} dV^{(m)}$$

$${}^t_0 \mathbf{F}^{(m)} = \int_{0V^{(m)}} {}^t_0 \mathbf{B}_L^{(m)T} {}^t_0 S_{11} dV^{(m)} = A_0 \int_{-1}^1 {}^t_0 \mathbf{B}_L^{(m)T} {}^t_0 S_{11} \left( \frac{{}^0L}{2} \right) dr$$

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## Newton-Raphson Method

We iterate the following equations for  $i = 0, 1, 2, 3 \dots$

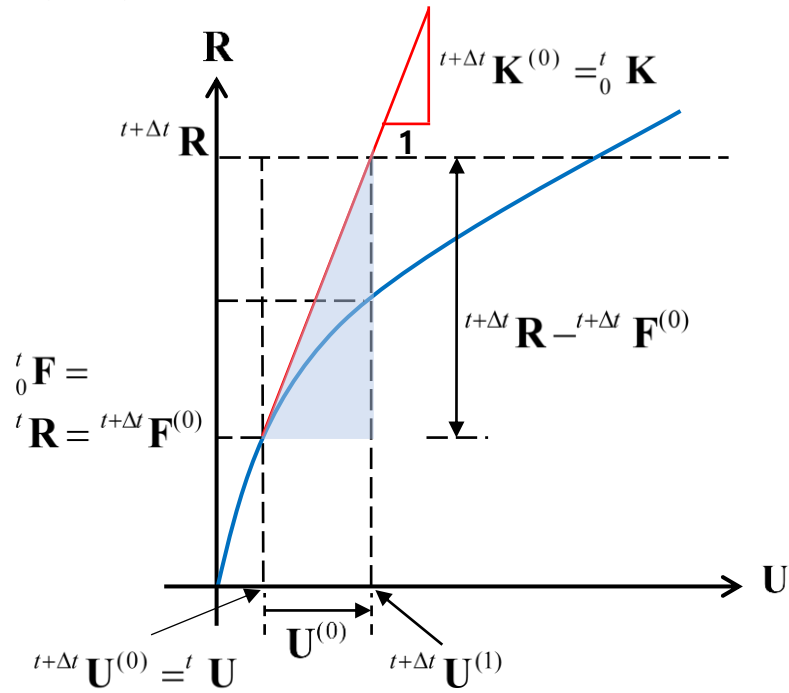
$${}^{t+\Delta t}\mathbf{K}^{(i)} \mathbf{U}^{(i)} = {}^{t+\Delta t}\mathbf{R} - {}^{t+\Delta t}\mathbf{F}^{(i)}$$

$${}^{t+\Delta t}\mathbf{U}^{(i+1)} = {}^{t+\Delta t}\mathbf{U}^{(i)} + \mathbf{U}^{(i)}$$

with initial conditions at  $i = 0$ :  ${}^{t+\Delta t}\mathbf{U}^{(0)} = {}^t\mathbf{U}$ ,  ${}^{t+\Delta t}\mathbf{K}^{(0)} = {}^t_0\mathbf{K}$ ,  ${}^{t+\Delta t}\mathbf{F}^{(0)} = {}^t_0\mathbf{F}$

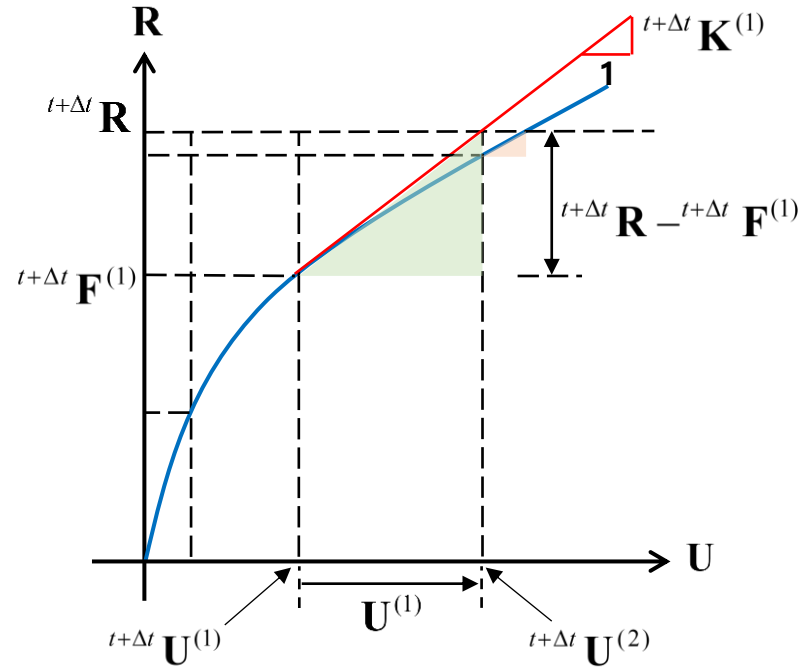
until a convergence criterion is satisfied.

(i = 0)



- ①  $t+\Delta t \mathbf{K}^{(0)} U^{(0)} = t+\Delta t \mathbf{R} - t+\Delta t \mathbf{F}^{(0)}$
- ②  $t+\Delta t U^{(1)} = t+\Delta t U^{(0)} + U^{(0)}$
- ③  $t+\Delta t U^{(1)} \rightarrow t+\Delta t \mathbf{F}^{(1)}$

(i = 1)



- ①  $t+\Delta t \mathbf{K}^{(1)} U^{(1)} = t+\Delta t \mathbf{R} - t+\Delta t \mathbf{F}^{(1)}$
- ②  $t+\Delta t U^{(2)} = t+\Delta t U^{(1)} + U^{(1)}$
- ③  $t+\Delta t U^{(2)} \rightarrow t+\Delta t \mathbf{F}^{(2)}$

## Convergence Criteria

### - Displacement criteria

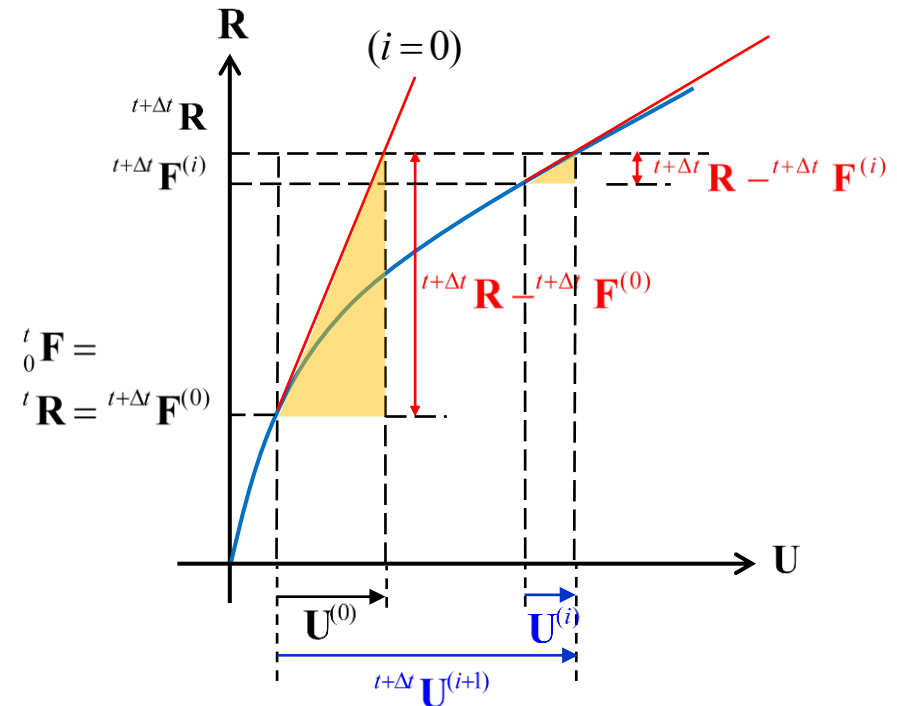
$$\frac{\|\mathbf{U}^{(i)}\|_2}{\|\mathbf{U}^{(i+1)}\|_2} \leq e_D \quad \text{with} \quad \|\mathbf{U}\|_2 = \sqrt{(U_1)^2 + (U_2)^2 + \dots + (U_N)^2}$$

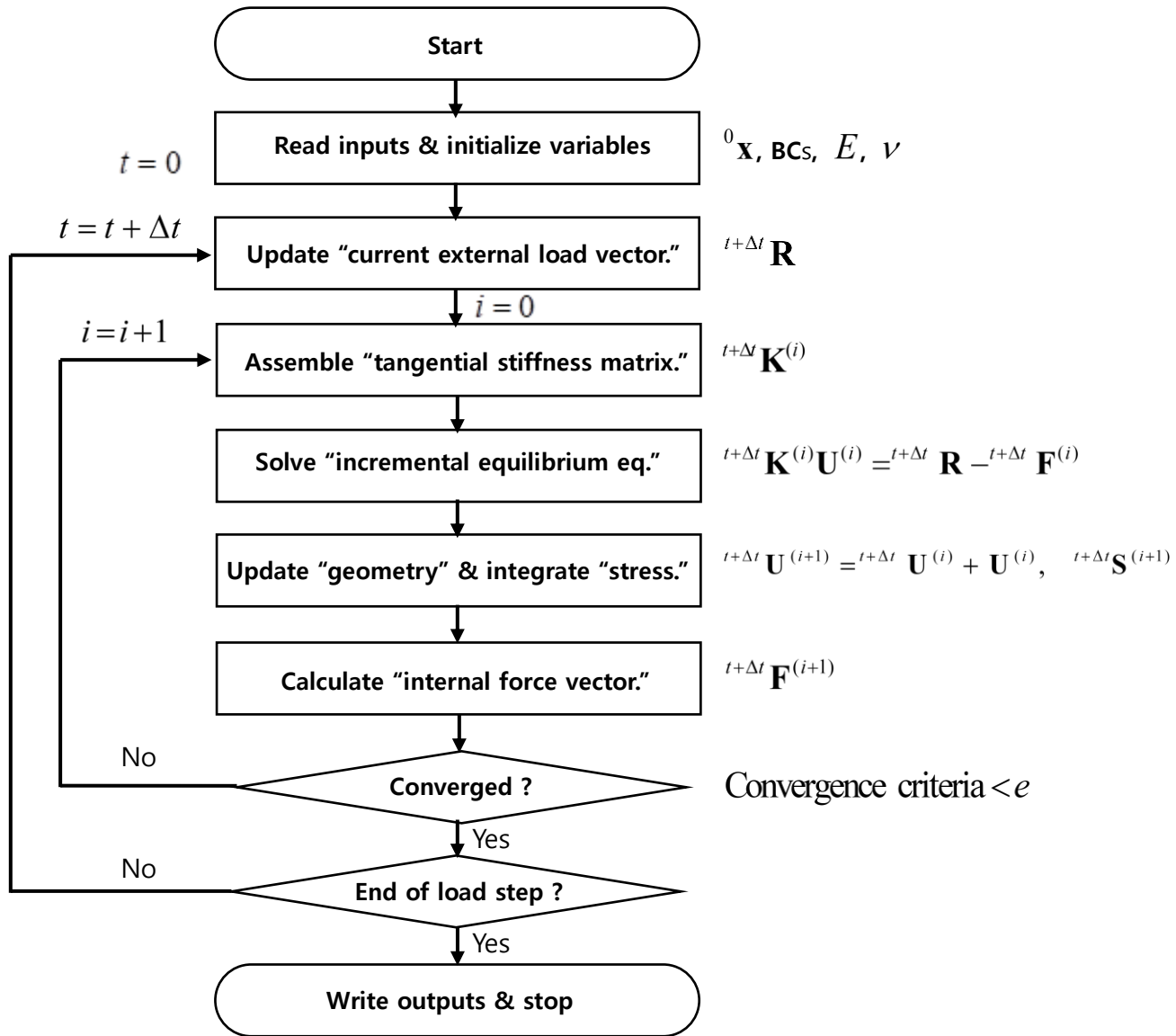
### - Force criteria

$$\frac{\|\mathbf{R} - \mathbf{F}^{(i)}\|_2}{\|\mathbf{R} - \mathbf{F}^{(0)}\|_2} \leq e_F$$

### - Energy criteria

$$\frac{\mathbf{U}^{(i)T} (\mathbf{R} - \mathbf{F}^{(i)})}{\mathbf{U}^{(0)T} (\mathbf{R} - \mathbf{F}^{(0)})} \leq e_E$$





## 11. Dynamic Analysis

Static equilibrium equations

$$\mathbf{K}\mathbf{U} = \mathbf{R}$$

Dynamic equilibrium equations (equations of motion)

$$\mathbf{M}\ddot{\mathbf{U}}(t) + \mathbf{C}\dot{\mathbf{U}}(t) + \mathbf{K}\mathbf{U}(t) = \mathbf{R}(t)$$

with  $\mathbf{M}$ : mass matrix,  $\mathbf{C}$ : damping matrix,  $\mathbf{K}$ : stiffness matrix

Nodal DOF vectors:

$\mathbf{U}$ : displacement vector,  $\dot{\mathbf{U}}$ : velocity vector,  $\ddot{\mathbf{U}}$ : acceleration vector

Initial conditions:  $\mathbf{U}|_{t=0} = {}^0\mathbf{U}$  and  $\dot{\mathbf{U}}|_{t=0} = {}^0\dot{\mathbf{U}}$

## Mass matrix

- Consistent mass matrix:  $\mathbf{M} = \sum_m \mathbf{M}^{(m)}$ ,  $\mathbf{M}^{(m)} = \int_{V^{(m)}} \mathbf{H}^{(m)\top} \rho^{(m)} \mathbf{H}^{(m)} dV^{(m)}$

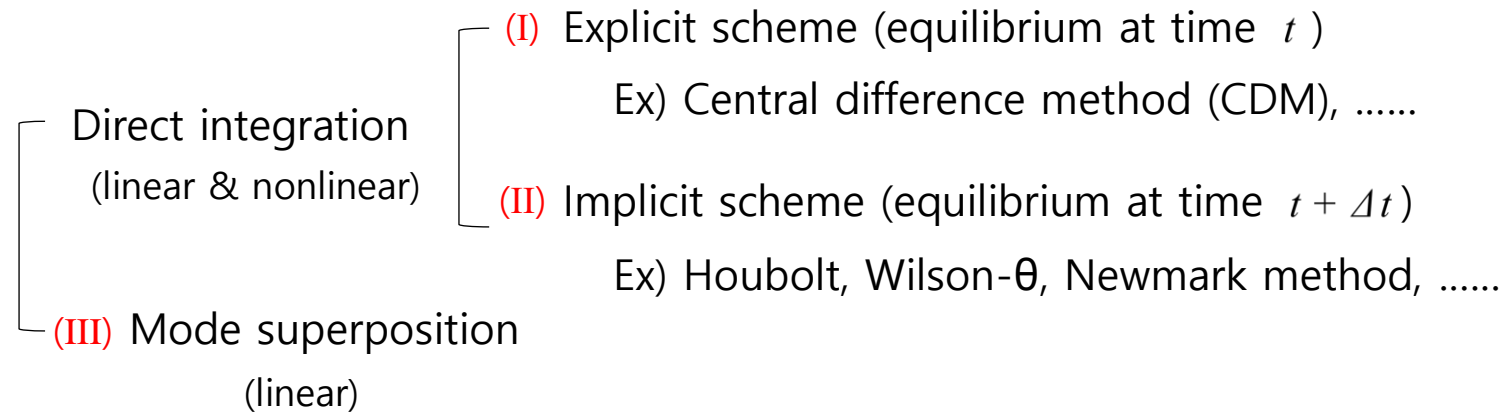
- Lumped mass matrix:  $\mathbf{M} = \begin{bmatrix} m_{11} & & & \\ & m_{22} & & \\ & & \ddots & \\ & & & m_{NN} \end{bmatrix}$

## Damping matrix

- The damping matrix  $\mathbf{C}$  is related to "energy dissipation".
- It can be obtained through experimental tests.
- In engineering practice, mass and stiffness proportional damping is frequently used.

$$\mathbf{C} = \alpha \mathbf{M} + \beta \mathbf{K}$$

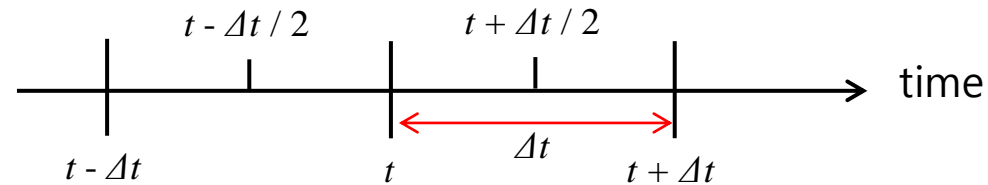
## Solution Methods



## I. Central difference method (Direct integration – explicit scheme)

Let us consider the dynamic equilibrium at time  $t$

$$\mathbf{M}^t \ddot{\mathbf{U}} + \mathbf{C}^t \dot{\mathbf{U}} + \mathbf{K}^t \mathbf{U} = {}^t \mathbf{R} \quad (\text{eq. 11.1})$$



< Discretization of time >

$${}^t \dot{\mathbf{U}} = \frac{1}{2\Delta t} ({}^{t+\Delta t} \mathbf{U} - {}^{t-\Delta t} \mathbf{U}) \quad (\text{eq. 11.2})$$

$${}^{t-\Delta t/2} \dot{\mathbf{U}} = \frac{1}{\Delta t} ({}^t \mathbf{U} - {}^{t-\Delta t} \mathbf{U}), \quad {}^{t+\Delta t/2} \dot{\mathbf{U}} = \frac{1}{\Delta t} ({}^{t+\Delta t} \mathbf{U} - {}^t \mathbf{U})$$

$${}^t \ddot{\mathbf{U}} = \frac{1}{\Delta t} ({}^{t+\Delta t/2} \dot{\mathbf{U}} - {}^{t-\Delta t/2} \dot{\mathbf{U}}) = \frac{1}{(\Delta t)^2} ({}^{t+\Delta t} \mathbf{U} - 2{}^t \mathbf{U} + {}^{t-\Delta t} \mathbf{U}) \quad (\text{eq. 11.3})$$

Knowns: variables at time  $t - \Delta t, t$

Unknowns: variables at time  $t + \Delta t$

Substituting (eq. 11.2) and (eq. 11.3) into (eq. 11.1), the following equation is obtained

$$\left[ \frac{1}{(\Delta t)^2} \mathbf{M} + \frac{1}{2\Delta t} \mathbf{C} \right] {}^{t+\Delta t} \mathbf{U} = {}^t \mathbf{R} - \left( \mathbf{K} - \frac{2}{(\Delta t)^2} \mathbf{M} \right) {}^t \mathbf{U} - \left( \frac{1}{(\Delta t)^2} \mathbf{M} - \frac{1}{2\Delta t} \mathbf{C} \right) {}^{t-\Delta t} \mathbf{U}$$

---

$$\rightarrow \boxed{\hat{\mathbf{M}} {}^{t+\Delta t} \mathbf{U} = {}^t \hat{\mathbf{R}}}$$

- In practice,  $\mathbf{M}$  is lumped and  $\mathbf{C}$  is mass-proportional.  $\hat{\mathbf{M}}$  becomes a diagonal matrix and thus the inverse of  $\hat{\mathbf{M}}$  is computationally cheap.

- In CDM,  $\Delta t$  must be smaller than a critical time step  $\Delta t_{cr}$ .

$$\Delta t \leq \Delta t_{cr} \quad \text{with} \quad \Delta t_{cr} = \frac{T_N}{\pi} = \frac{2}{\omega_N}$$

$T_N$ : the smallest period of the FE model with  $N$  DOFs

$\omega_N$ : the highest natural frequency of the FE model obtained solving  $\mathbf{K}\phi = \omega^2\mathbf{M}\phi$

- $\omega_N$  is bound by the highest natural frequency of all individual finite elements in the model.

- In practice, for most element types, the critical time step can be estimated by

$$\Delta t_{cr} = \frac{L}{c} \quad \text{with} \quad c = \sqrt{\frac{E}{\rho}} \quad (L: \text{characteristic element length, } c: \text{material wave speed),$$

and also

$$\Delta t_{cr} = \frac{L}{c} / \sqrt{1 + \frac{12I}{AL^2}} \quad \text{with } c = \sqrt{\frac{E}{\rho}} \quad \text{for beam elements,}$$

$$\Delta t_{cr} = \frac{L}{c} \quad \text{with } c = \sqrt{\frac{E}{\rho(1-\nu^2)}} \quad \text{for plate and shell elements,}$$

$$\Delta t_{cr} = \frac{L}{c} \quad \text{with } c = \sqrt{\frac{E(1-\nu)}{\rho(1+\nu)(1-2\nu)}} \quad \text{for 3D solid elements.}$$

## II. Newmark method (Direct Integration – implicit scheme)

Consider the dynamic equilibrium at time  $t + \Delta t$

$$\mathbf{M}^{t+\Delta t} \ddot{\mathbf{U}} + \mathbf{C}^{t+\Delta t} \dot{\mathbf{U}} + \mathbf{K}^{t+\Delta t} \mathbf{U} = {}^{t+\Delta t} \mathbf{R} \quad (\text{eq. 11.4})$$

Using "Taylor series expansion",

$${}^{t+\Delta t} \dot{\mathbf{U}} = {}^t \dot{\mathbf{U}} + \Delta t [(1 - \delta) {}^t \ddot{\mathbf{U}} + \delta {}^{t+\Delta t} \ddot{\mathbf{U}}] \quad (\text{eq. 11.5})$$

$${}^{t+\Delta t} \mathbf{U} = {}^t \mathbf{U} + \Delta t {}^t \dot{\mathbf{U}} + (\Delta t)^2 \left[ \left( \frac{1}{2} - \delta \right) {}^t \ddot{\mathbf{U}} + \alpha {}^{t+\Delta t} \ddot{\mathbf{U}} \right] \quad (\text{eq. 11.6})$$

When  $\alpha = \frac{1}{4}$  and  $\delta = \frac{1}{2}$ , it is called "trapezoidal rule"

Knowns: variables at time  $t - \Delta t$ ,  $t$

Unknowns: variables at time  $t + \Delta t$  (External force at  $t + \Delta t$  is known)

Substituting (eq. 11.5) and (eq. 11.6) into (eq. 11.4), we obtain

$$\left[ \mathbf{K} + \frac{1}{\alpha(\Delta t)^2} \mathbf{M} + \frac{\delta}{\alpha\Delta t} \mathbf{C} \right] {}^{t+\Delta t} \mathbf{U} = {}^{t+\Delta t} \mathbf{R} + \mathbf{M} \left( \frac{1}{\alpha(\Delta t)^2} {}^t \mathbf{U} + \frac{1}{\alpha\Delta t} {}^t \dot{\mathbf{U}} + \frac{1-2\alpha}{2\alpha} {}^t \ddot{\mathbf{U}} \right) \\ + \mathbf{C} \left( \frac{\delta}{\alpha\Delta t} {}^t \mathbf{U} + \frac{\delta-\alpha}{\alpha} {}^t \dot{\mathbf{U}} + \frac{\Delta t}{2} \left( \frac{\delta}{\alpha} - 2 \right) {}^t \ddot{\mathbf{U}} \right)$$

$$\rightarrow \boxed{\hat{\mathbf{K}} {}^{t+\Delta t} \mathbf{U} = {}^{t+\Delta t} \hat{\mathbf{R}}}$$

- $\hat{\mathbf{K}}$  is not a diagonal matrix in general.
- Unconditionally stable: no condition on  $\Delta t$  for stability.

**TABLE 9.1** Step-by-step solution using central difference method (general mass and damping matrices)

**A. Initial calculations:**

1. Form stiffness matrix  $\mathbf{K}$ , mass matrix  $\mathbf{M}$ , and damping matrix  $\mathbf{C}$ .
2. Initialize  ${}^0\mathbf{U}$ ,  ${}^0\dot{\mathbf{U}}$ , and  ${}^0\ddot{\mathbf{U}}$ .
3. Select time step  $\Delta t$ ,  $\Delta t \leq \Delta t_{cr}$ , and calculate integration constants:

$$a_0 = \frac{1}{\Delta t^2}; \quad a_1 = \frac{1}{2 \Delta t}; \quad a_2 = 2a_0; \quad a_3 = \frac{1}{a_2}$$

4. Calculate  ${}^{-\Delta t}\mathbf{U} = {}^0\mathbf{U} - \Delta t {}^0\dot{\mathbf{U}} + a_3 {}^0\ddot{\mathbf{U}}$ .
5. Form effective mass matrix  $\hat{\mathbf{M}} = a_0\mathbf{M} + a_1\mathbf{C}$ .
6. Triangularize  $\hat{\mathbf{M}}$ :  $\hat{\mathbf{M}} = \mathbf{LDL}^T$ .

**B. For each time step:**

1. Calculate effective loads at time  $t$ :

$${}^t\hat{\mathbf{R}} = {}^t\mathbf{R} - (\mathbf{K} - a_2\mathbf{M}) {}^t\mathbf{U} - (a_0\mathbf{M} - a_1\mathbf{C}) {}^{t-\Delta t}\mathbf{U}$$

2. Solve for displacements at time  $t + \Delta t$ :

$$\mathbf{LDL}^T {}^{t+\Delta t}\mathbf{U} = {}^t\hat{\mathbf{R}}$$

3. If required, evaluate accelerations and velocities at time  $t$ :

$${}^t\ddot{\mathbf{U}} = a_0({}^{t-\Delta t}\mathbf{U} - 2 {}^t\mathbf{U} + {}^{t+\Delta t}\mathbf{U})$$

$${}^t\dot{\mathbf{U}} = a_1(-{}^{t-\Delta t}\mathbf{U} + {}^{t+\Delta t}\mathbf{U})$$

**TABLE 9.3** Step-by-step solution using Newmark integration method

**A. Initial calculations:**

1. Form stiffness matrix  $\mathbf{K}$ , mass matrix  $\mathbf{M}$ , and damping constant  $\mathbf{C}$ .
2. Initialize  ${}^0\mathbf{U}$ ,  ${}^0\dot{\mathbf{U}}$ , and  ${}^0\ddot{\mathbf{U}}$ .
3. Select time step  $\Delta t$  and parameters  $\alpha$  and  $\delta$  and calculate integration constants:

$$\begin{array}{llll}
 & \delta \geq 0.50; & \alpha \geq 0.25(0.5 + \delta)^2 & \\
 4. & a_0 = \frac{1}{\alpha \Delta t^2}; & a_1 = \frac{\delta}{\alpha \Delta t}; & a_2 = \frac{1}{\alpha \Delta t}; \quad a_3 = \frac{1}{2\alpha} - 1; \\
 & a_4 = \frac{\delta}{\alpha} - 1; & a_5 = \frac{\Delta t}{2} \left( \frac{\delta}{\alpha} - 2 \right); & a_6 = \Delta t (1 - \delta); \quad a_7 = \delta \Delta t
 \end{array}$$

5. Form effective stiffness matrix  $\hat{\mathbf{K}}$ :  $\hat{\mathbf{K}} = \mathbf{K} + a_5\mathbf{M} + a_6\mathbf{C}$ .
6. Triangularize  $\hat{\mathbf{K}}$ :  $\hat{\mathbf{K}} = \mathbf{LDL}^T$ .

**B. For each time step:**

1. Calculate effective loads at time  $t + \Delta t$ :

$${}^{t+\Delta t}\hat{\mathbf{R}} = {}^{t+\Delta t}\mathbf{R} + \mathbf{M} (a_0 {}^t\mathbf{U} + a_2 {}^t\dot{\mathbf{U}} + a_3 {}^t\ddot{\mathbf{U}}) + \mathbf{C} (a_1 {}^t\mathbf{U} + a_4 {}^t\dot{\mathbf{U}} + a_7 {}^t\ddot{\mathbf{U}})$$

2. Solve for displacements at time  $t + \Delta t$ :

$$\mathbf{LDL}^T {}^{t+\Delta t}\mathbf{U} = {}^{t+\Delta t}\hat{\mathbf{R}}$$

3. Calculate accelerations and velocities at time  $t + \Delta t$ :

$${}^{t+\Delta t}\ddot{\mathbf{U}} = a_0 ({}^{t+\Delta t}\mathbf{U} - {}^t\mathbf{U}) - a_2 {}^t\dot{\mathbf{U}} - a_3 {}^t\ddot{\mathbf{U}}$$

$${}^{t+\Delta t}\dot{\mathbf{U}} = {}^t\dot{\mathbf{U}} + a_4 {}^t\ddot{\mathbf{U}} + a_7 {}^{t+\Delta t}\ddot{\mathbf{U}}$$

### III. Mode superposition

Dynamic equilibrium

$$\mathbf{M}\ddot{\mathbf{U}}(t) + \mathbf{C}\dot{\mathbf{U}}(t) + \mathbf{K}\mathbf{U}(t) = \mathbf{R}(t)$$

Coordinate transformation

$\mathbf{U}(t) = \mathbf{P}\mathbf{X}(t)$  with  $\mathbf{P}$ : transformation matrix

$$\mathbf{X}(t) = \begin{Bmatrix} x_1(t) \\ x_2(t) \\ \vdots \\ x_N(t) \end{Bmatrix} : \text{time dependent vector (generalized coordinates)}$$

Rayleigh-Ritz procedure

$$\begin{aligned} \mathbf{P}^T \mathbf{M} \mathbf{P} \ddot{\mathbf{X}} + \mathbf{P}^T \mathbf{C} \mathbf{P} \dot{\mathbf{X}} + \mathbf{P}^T \mathbf{K} \mathbf{P} \mathbf{X} &= \mathbf{P}^T \mathbf{R} \\ \rightarrow \hat{\mathbf{M}} \ddot{\mathbf{X}} + \hat{\mathbf{C}} \dot{\mathbf{X}} + \hat{\mathbf{K}} \mathbf{X} &= \hat{\mathbf{R}} \quad (\text{eq. 11.7}) \end{aligned}$$

What  $\mathbf{P}$  is used?

$\mathbf{M}\ddot{\mathbf{U}} + \mathbf{K}\mathbf{U} = \mathbf{0}$ : free vibration equilibrium without damping

Solution:  $\mathbf{U}(t) = \boldsymbol{\phi} \sin \omega(t - t_0)$

$\mathbf{K}\boldsymbol{\phi} = \omega^2 \mathbf{M}\boldsymbol{\phi}$ : eigenvalue problem

Eigen solutions: eigenvalues (natural frequencies);  $\omega_1 < \omega_2 < \dots < \omega_N$   
eigenvectors (mode shapes);  $\boldsymbol{\phi}_1, \boldsymbol{\phi}_2, \dots, \boldsymbol{\phi}_N$

$\mathbf{P} = \boldsymbol{\Phi} = [\boldsymbol{\phi}_1 \quad \boldsymbol{\phi}_2 \quad \dots \quad \boldsymbol{\phi}_N]$ : eigenvector matrix

Let us use  $\Phi$  in (eq. 11.7).

$$\hat{\mathbf{M}} = \Phi^T \mathbf{M} \Phi = \mathbf{I} = \begin{bmatrix} 1 & & & \\ & 1 & & \\ & & \ddots & \\ & & & 1 \end{bmatrix} \quad (\text{mass orthonormality})$$

$$\hat{\mathbf{K}} = \Phi^T \mathbf{K} \Phi = \mathbf{\Omega}^2 = \begin{bmatrix} \omega_1^2 & & & \\ & \omega_2^2 & & \\ & & \ddots & \\ & & & \omega_N^2 \end{bmatrix} \quad (\text{stiffness orthogonality})$$

$$\rightarrow \boxed{\ddot{\mathbf{X}} + \Phi^T \mathbf{C} \Phi \dot{\mathbf{X}} + \mathbf{\Omega}^2 \mathbf{X} = \Phi^T \mathbf{R}} \quad \text{with initial conditions } {}^0 \mathbf{X} = \Phi^T \mathbf{M}^0 \mathbf{U} \quad \text{and} \quad {}^0 \dot{\mathbf{X}} = \Phi^T \mathbf{M}^0 \dot{\mathbf{U}}$$

Assume that

$$\hat{\mathbf{C}} = \Phi^T \mathbf{C} \Phi = \begin{bmatrix} 2\omega_1 \xi_1 & & & \\ & 2\omega_2 \xi_2 & & \\ & & \ddots & \\ & & & 2\omega_N \xi_N \end{bmatrix}, \quad \xi_i: \text{modal damping parameters.}$$

$$\hat{\mathbf{R}} = \Phi^T \mathbf{R} = \begin{bmatrix} r_1(t) \\ r_2(t) \\ \vdots \\ r_N(t) \end{bmatrix}$$

$$\rightarrow \left. \begin{array}{l} \ddot{x}_1(t) + 2\omega_1 \xi_1 \dot{x}_1(t) + \omega_1^2 x_1(t) = r_1(t) \\ \ddot{x}_2(t) + 2\omega_2 \xi_2 \dot{x}_2(t) + \omega_2^2 x_2(t) = r_2(t) \\ \vdots \\ \ddot{x}_N(t) + 2\omega_N \xi_N \dot{x}_N(t) + \omega_N^2 x_N(t) = r_N(t) \end{array} \right\} \text{“decoupled” } N \text{ single DOF problems}$$

Using “Duhamel integral”, we can solve each single DOF problem.

$$x_i(t) = \frac{1}{\bar{\omega}_i} \int_0^t r_i(\tau) e^{-\xi_i \omega_i (t-\tau)} \sin \bar{\omega}_i (t-\tau) d\tau + e^{-\xi_i \omega_i t} (\alpha_i \sin \bar{\omega}_i t + \beta_i \cos \bar{\omega}_i t) \quad \text{with} \quad \bar{\omega}_i = \omega_i \sqrt{1 - \xi_i^2}.$$

Then,  $\mathbf{U}(t) = \mathbf{\Phi} \mathbf{X}(t)$ .

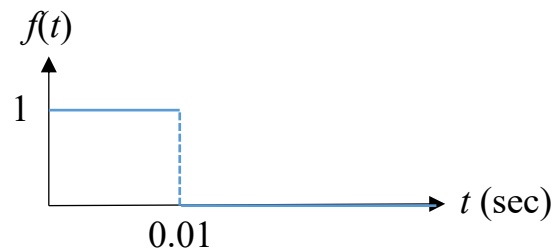
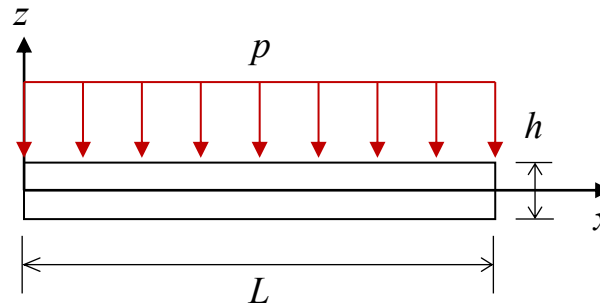
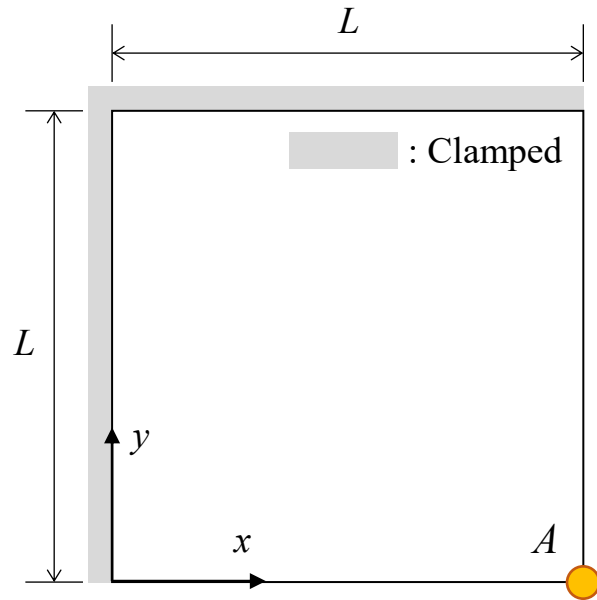
Note that, in this method, we do not need to include all eigenvectors in the transformation matrix  $\mathbf{\Phi}$ .

$$\mathbf{U}(t) = \mathbf{\Phi} \mathbf{X}(t),$$

$$\mathbf{U}(t) = [\phi_1 \quad \phi_2 \quad \cdots \quad \phi_N] \mathbf{X}(t) = \sum_{i=1}^N \phi_i x_i(t) \quad (\text{when all eigenvectors are included})$$

$$\mathbf{U}(t) \approx [\phi_1 \quad \phi_2 \quad \cdots \quad \phi_M] \mathbf{X}(t) = \sum_{i=1}^M \phi_i x_i(t), \quad M \ll N \quad (\text{for many practical cases})$$

## Example – Partly clamped plate subjected to a pressure



Young's modulus :  $E = 200\text{GPa}$

Poisson's ratio :  $\nu = 0.3$

Density :  $\rho = 7850\text{kg/m}^3$

Thickness :  $h = 0.01\text{m}$

Length :  $L = 1\text{m}$

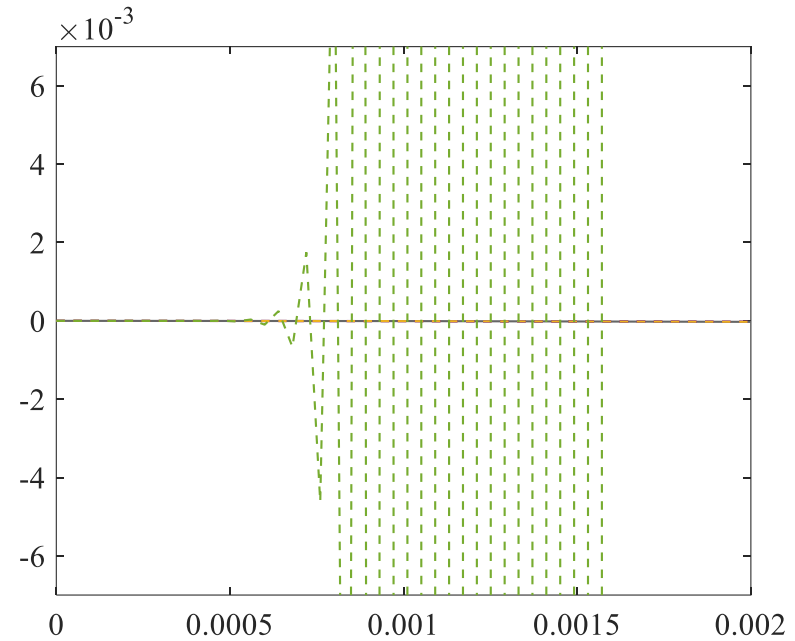
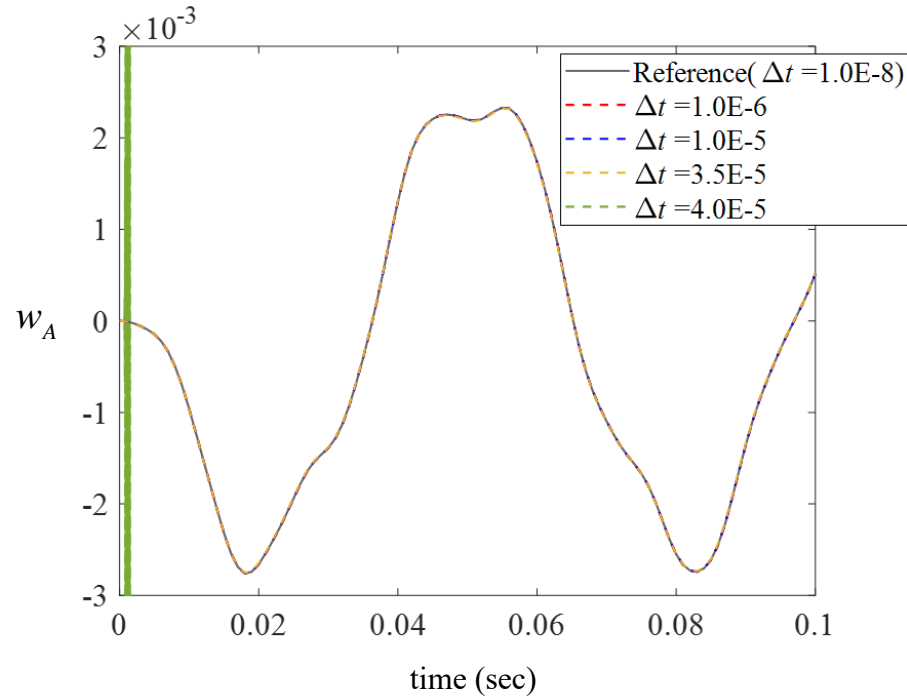
Pressure :  $p(t) = 10^3 f(t)\text{N/m}^2$

5x5 mesh of 4-node shell elements

Dynamic analysis is performed using the following three methods.

- Direct integration (explicit, CDM)
- Direct integration (implicit, Newmark)
- Mode superposition

## Direct integration (Explicit, Central difference method)

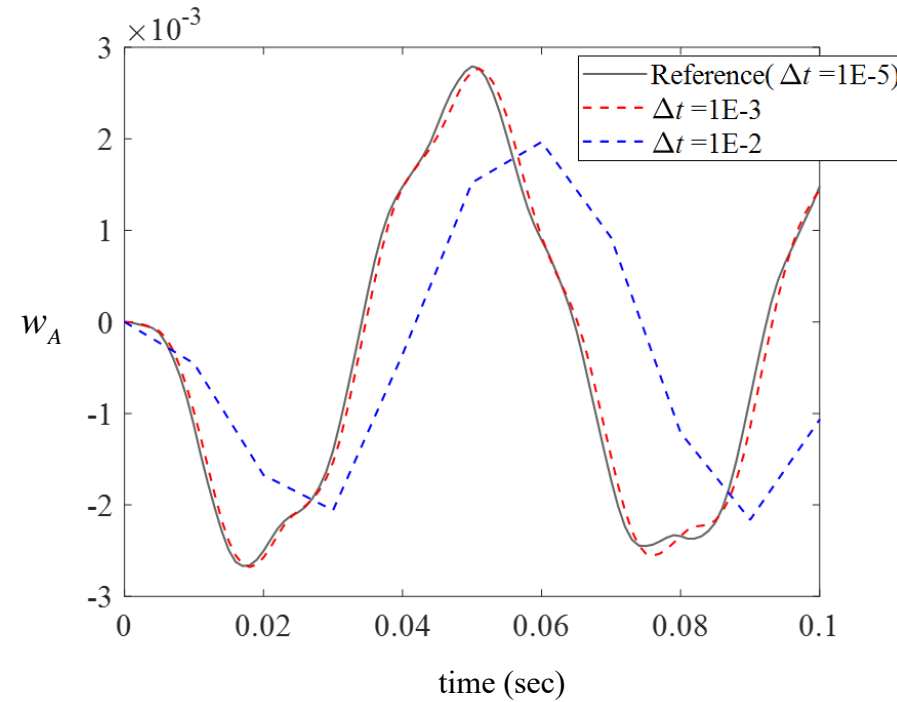


Lumped mass is used. (Using a consistent mass takes too much computation time)

Critical time step:  $\Delta t_{cr} \approx 3.8E-5$

- The time step should be smaller than the critical time step:  $\Delta t < \Delta t_{cr}$
- For the plate and shell elements,  $\Delta t_{cr} = L/c$

## Direct integration (Implicit, Newmark method)



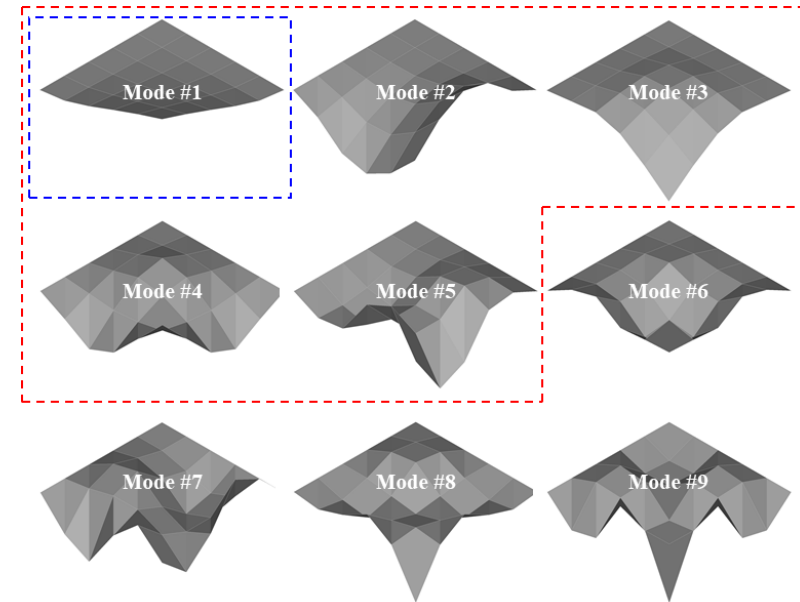
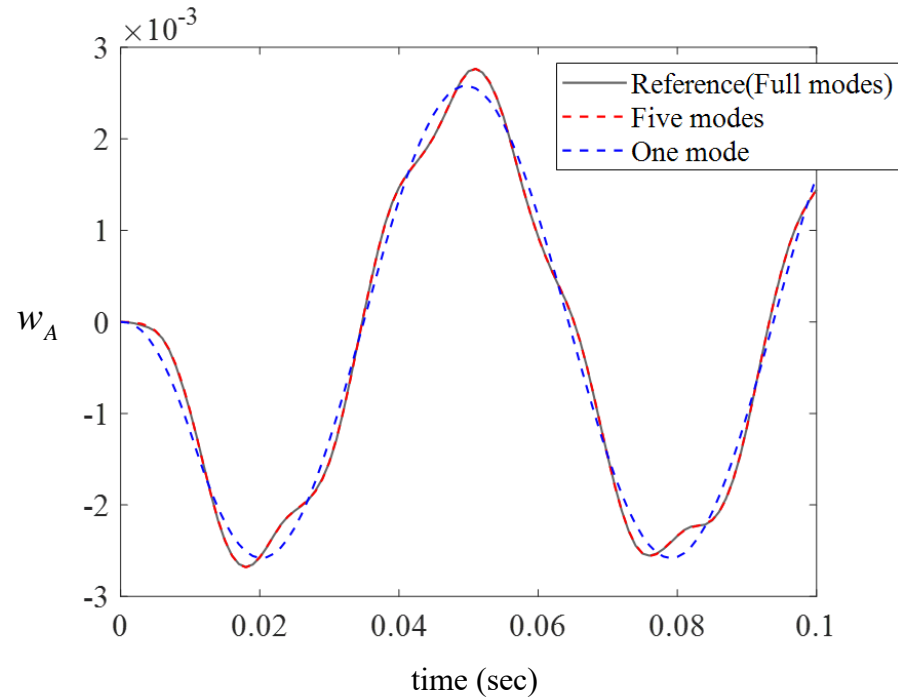
Consistent mass is used.

Newmark parameters:  $\alpha = 0.25$  and  $\delta = 0.5$

Unconditionally stable

- More accurate solution with a smaller time step

## Mode superposition



Degrees of freedom (DOFs) : 125

Consistent mass is used.

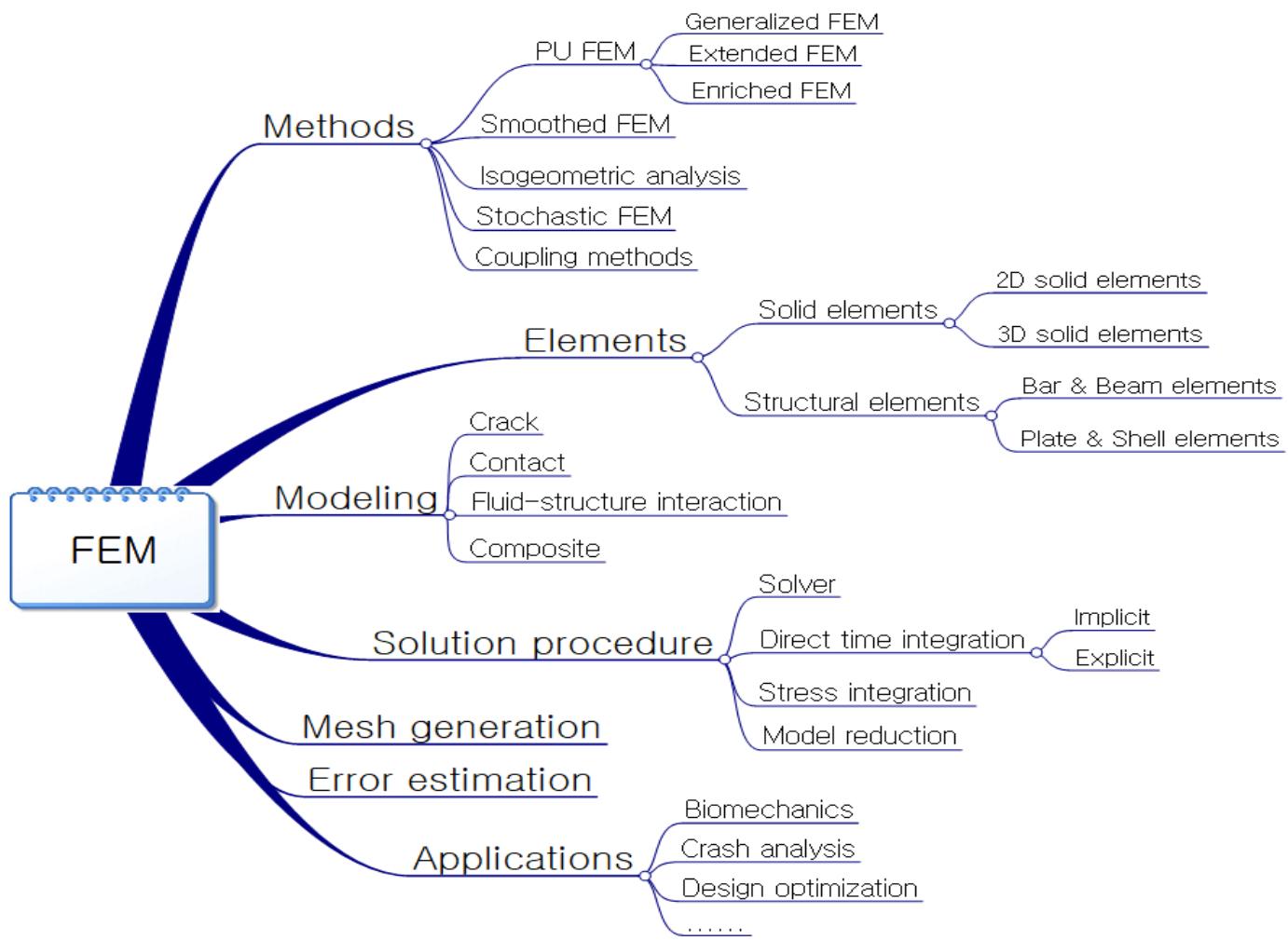
We can predict the response by using only a few modes

- More accurate solution with more modes

## References

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- J Fish, T Belytschko, A first course in finite elements, 2007
- KJ Bathe, Lecture notes, Finite element analysis of solids and fluids
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- PS Lee, "Past, present and future of the finite element method", KSME 2018.
- ADINA structures – Theory and modeling guide

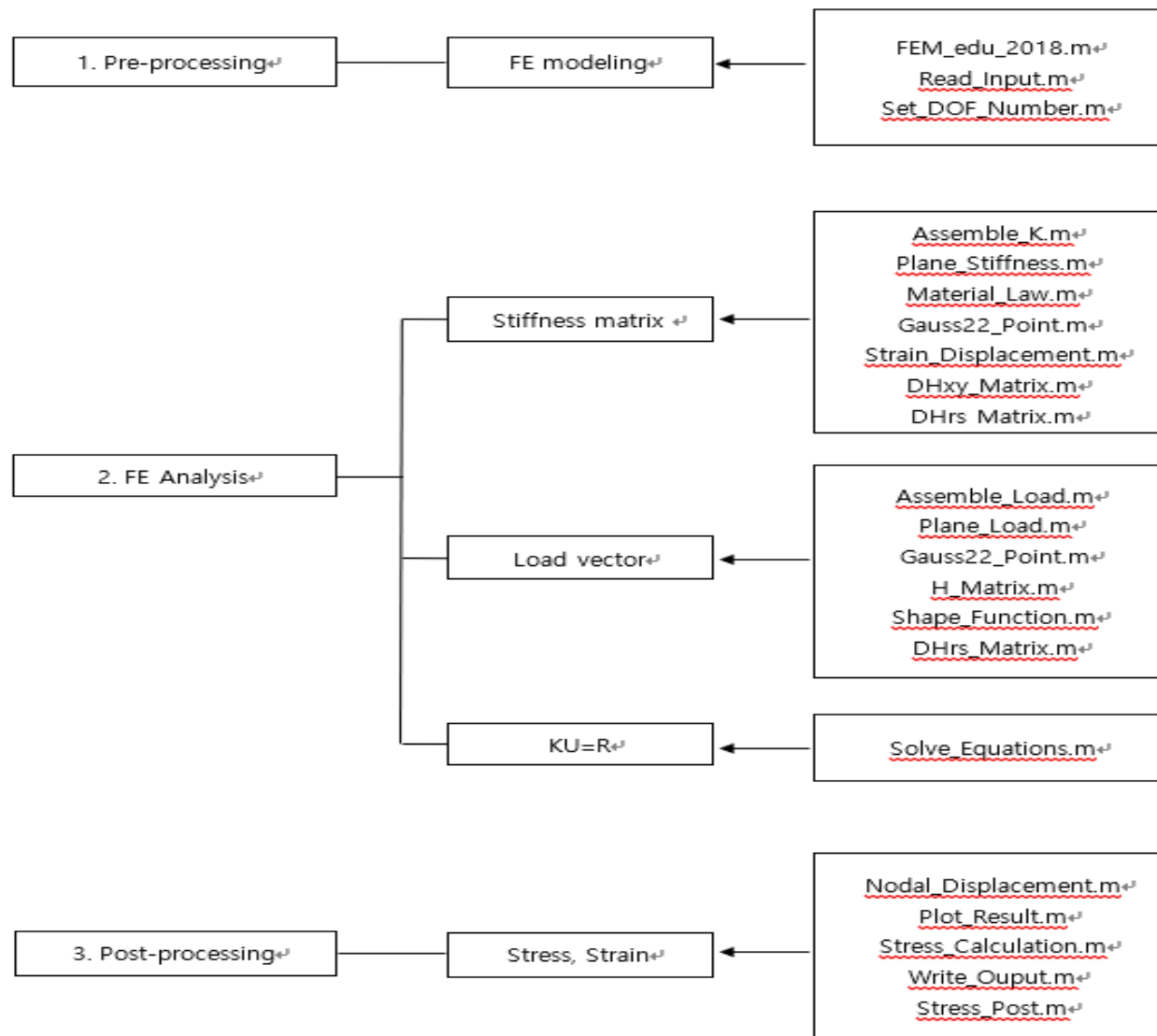
# A1. Research Area Map



## A2. Implementation of FE Code

FEM_edu_2018.m	: Main program
Read_Input.m	: Read the input file
Set_DOF_Number.m	: Set DOF numbers (numbering)
Assemble_K.m	: Assemble the total stiffness matrix using Direct Stiffness Method
Assemble_Load.m	: Assemble the load vector using Direct Stiffness Method
Solve_Equations.m	: Solve the linear equations $KU=R$
Plane_Stiffness.m	: Calculate the element stiffness matrix
Plane_Load.m	: Calculate the element load vector
Gauss22_Point.m	: Give positions and weight factors at Gauss points
Material_Law.m	: Calculate the material law matrix
DHrs_Matrix.m	: Calculate the derivatives of displacements with respect to r and s
DHxy_Matrix.m	: Calculate the derivatives of displacements with respect to x and y
H_Matrix.m	: Calculate the displacement interpolation matrix
Shape_Function.m	: Evaluate the shape functions
Strain_Displacement.m	: Calculate the strain-displacement matrix
Nodal_Displacement.m	: Find the nodal displacements
Stress_Calculation.m	: Calculate the element stresses
Write_Ouput.m	: Write the output file
Stress_Post.m	: Write the output file for plotting deformed shapes and stress contours
Plot_Result.m	: Plot the deformed shapes and stress contours

## FE code flow chart



input.txt

Nodes

```
6
#   x   y   u v   px py
1   0.0 0.0   1 1   0.0 0.0
2   1.0 0.0   0 0   0.0 0.0
3   2.0 0.0   0 0   1.0 0.0
4   0.0 1.0   1 0   0.0 0.0
5   1.0 1.0   0 0   0.0 0.0
6   1.5 1.0   0 0   1.0 0.0
```

Elements

```
2
#   connectivity   fx   fy
1   5 4 1 2   0.0 -1.0
2   6 5 2 3   0.0 -1.0
```

Thickness

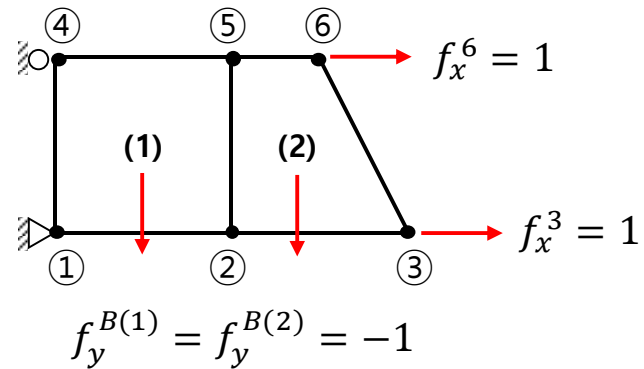
1.0

Young

1.0e5

Poisson

0.3e0



## FEM\_edu\_2018.m

```
% -----  
% KAIST ME535, Finite Element Analysis of Structures  
% FEM code for educational purpose (2D plane stress analysis)  
% PS Lee 1998-02-19  
% HD Seo 2017-10-01  
% HT Jung 2018-10-30  
% -----  
% node_n          : Number of nodes  
% element_n       : Number of elements  
  
% node_x(node_n,2) : Nodal postions  
% node_bc(node_n,6) : Nodal displacement BC  
% node_pm(node_n,6) : Nodal force BC  
% element_cn(element_n,4) : Element connectivity  
% element_q(element_n,2) : Uniformly distributed load on element  
% element_thickness : Thickness  
% material_young    : Young's modulus  
% material_poisson  : Poisson's ratio  
  
% total_dof_n     : Number of total DOFs  
% free_dof_n      : Number of free DOFs  
% fixed_dof_n     : Number of fixed DOFs  
% node_eqn(node_n,6) : Equation numbers assigned at nodes  
  
% K(free_dof_n,free_dof_n) : Stiffness matrix  
% R(free_dof_n)           : Load vector  
% U(free_dof_n)           : Displacement vector  
  
% n_displace(node_n,2) : Nodal displacement matrix (obtained from U)  
% -----  
  
clc;  
clear all;  
  
% [1] Read "input.txt" file  
[node_x, node_bc, node_pm, element_cn, element_q, element_thickness, material_young, material_poisson, node_n, element_n] = Read_Input();  
disp('[1/6] Read input')  
  
% [2] Calculate # of total DOFs, # of free DOFs, # of fixed DOFs  
[total_dof_n, free_dof_n, fixed_dof_n, node_eqn] = Set_DOF_Number(node_n, node_bc);  
disp('[2/6] Assign equation numbers')  
  
% [3] Calculate K (stiffness matirx)  
[K]=Assemble_K(free_dof_n, element_n, node_x, element_cn, material_young, material_poisson, element_thickness, node_eqn);  
disp('[3/6] Caluate K (stiffness matrix)')  
  
% [4] Calculate R (load vector)
```

```
[R]=Assemble_Load(node_n, element_n, node_x, element_cn, element_q, node_eqn, node_pm);
disp(['4/6] Caculate R (load vector)')

% [5] Solve KU=R (linear equations) and find U (nodal DOFs vector)
[U] = Solve_Equations(K, R, free_dof_n);
disp(['5/6] Calculate U (displacement)')

% [6] Post process
[n_displace] = Nodal_Displacement(node_n, node_eqn, free_dof_n, U);
Plot_Result(n_displace, node_x, element_n, element_cn, material_young, material_poisson);
Write_Output(U, K, node_n, node_bc, n_displace, element_n, node_x, element_cn, material_young, material_poisson)
disp(['6/6] Post process')
```

## Read\_Input.m

```
% -----  
% Read "input.txt" file  
% -----  
% node_n           : # of nodes  
% element_n        : # of elements  
  
% node_x(node_n,2) : Nodal positions  
% node_bc(node_n,6) : Nodal displacement BC  
% node_pm(node_n,6) : Nodal force BC  
% element_cn(element_n,4) : Element connectivity  
% element_q(element_n,2) : Uniformly distributed load on element  
% element_thickness : Element thickness  
% material_young    : Young's modulus  
% material_poisson  : Poisson's ratio  
% -----  
  
function [node_x, node_bc, node_pm, element_cn, element_q, element_thickness, material_young, material_poisson, node_n, element_n]=Read_Input()  
  
% read input file : input.txt  
fid = fopen('input.txt','r');  
bufs = fscanf(fid,'%s',1);  
node_n = fscanf(fid,'%g',1);  
bufs = fscanf(fid,'%s',7);  
  
% read node information  
for i=1:1:node_n  
    nodes(:,i) = fscanf(fid,'%*g %g %g %g %g %g %g\n',6);  
    node_x(i,1) = nodes(1,i);  
    node_x(i,2) = nodes(2,i);  
    node_bc(i,1) = nodes(3,i);  
    node_bc(i,2) = nodes(4,i);  
    node_bc(i,3:6) = 1;  
    node_pm(i,1) = nodes(5,i);  
    node_pm(i,2) = nodes(6,i);  
    node_pm(i,3:6) = 0;  
end  
  
% read element information  
bufs = fscanf(fid,'%s',1);  
element_n = fscanf(fid,'%g',1);  
bufs = fscanf(fid,'%s',4);  
for i=1:1:element_n  
    elements(:,i) = fscanf(fid,'%*g %g %g %g %g %g %g \n',6);  
    element_cn(i,1) = elements(1,i);  
    element_cn(i,2) = elements(2,i);
```

```
    element_cn(i,3) = elements(3,i);
    element_cn(i,4) = elements(4,i);
    element_q(i,1) = elements(5,i);
    element_q(i,2) = elements(6,i);
end

% read material information
bufs = fscanf(fid,'%s',1);
element_thickness = fscanf(fid,'%g',1);
bufs = fscanf(fid,'%s',1);
material_young = fscanf(fid,'%g',1);
bufs = fscanf(fid,'%s',1);
material_poisson = fscanf(fid,'%g',1);

fclose(fid);
end
```

**Set\_DOF\_Number.m**

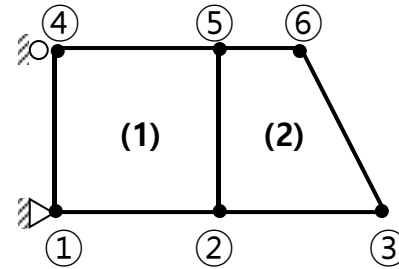
```

% -----
% Assign equation number
% -----
% tn          : # of total DOF
% fn          : # of free DOF
% cn          : # of fixed DOF
% node_eqn(node_n,6) : Equation numbers assigned at nodes
% -----

function [tn, fn, cn, node_eqn]=Set_DOF_Number(node_n,Node_bc)

tn = node_n * 6;
fn = 0; cn = 0;
for i=1:1:node_n
    for j=1:1:6
        if Node_bc(i,j) == 0
            fn = fn + 1;
            node_eqn(i,j) = fn;
        else
            cn = cn + 1;
            node_eqn(i,j) = tn - cn + 1;
        end
    end
end
end
end

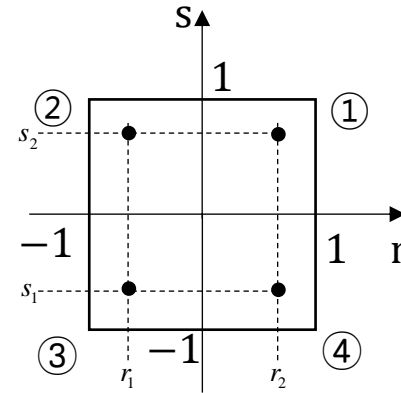
```



Node	u	v	w	$\theta_x$	$\theta_y$	$\theta_z$
1	36	35	34	33	32	31
2	1	2	30	29	28	27
3	3	4	26	25	24	23
4	22	5	21	20	19	18
5	6	7	17	16	15	14
6	8	9	13	12	11	10

### Gauss22\_Point.m

```
% -----  
% Gauss integration  
% -----  
  
function [r, s, weight]=Gauss22_Point(i,j)  
  
    gauss_point(1) = -0.577350269;  
    gauss_point(2) = 0.577350269;  
    w(1) = 1.0;  
    w(2) = 1.0;  
  
    weight = w(i)*w(j);  
    r = gauss_point(i);  
    s = gauss_point(j);  
  
end
```



### Shape\_Function.m

```
% -----  
% Construct H matrix  
% -----  
% Position of node & shape function  
%  
%           s  
%   2----|----1  
%   |   |   |  
%   ----+----r  
%   |   |   |  
%   3----|----4  
% -----  
  
function [H]=Shape_Function(r, s)  
  
    H(1) = 0.25 * (1.0 + r) * (1.0 + s);  
    H(2) = 0.25 * (1.0 - r) * (1.0 + s);  
    H(3) = 0.25 * (1.0 - r) * (1.0 - s);  
    H(4) = 0.25 * (1.0 + r) * (1.0 - s);  
  
end
```

### DHrs\_Matrix.m

```
% -----  
% Calculate dH/dr and dH/ds  
% -----  
% dHrs(2,4) : dH/dr(1,:) and dH/ds(2,:)   
% -----  
  
function [dHrs]=DHrs_Matrix(r, s)  
  
    dHrs(1,1) = 0.25 * (1.0 + s);  
    dHrs(1,2) = -0.25 * (1.0 + s);  
    dHrs(1,3) = -0.25 * (1.0 - s);  
    dHrs(1,4) = 0.25 * (1.0 - s);  
  
    dHrs(2,1) = 0.25 * (1.0 + r);  
    dHrs(2,2) = 0.25 * (1.0 - r);  
    dHrs(2,3) = -0.25 * (1.0 - r);  
    dHrs(2,4) = -0.25 * (1.0 + r);  
  
end
```

$$\frac{\partial h_i}{\partial r}$$

$$\frac{\partial h_i}{\partial s}$$

### DHxy\_Matrix.m

```
% -----  
% Calculate dH/dx and dH/dy  
% -----  
% dHrs(2,4) : dH/dr(1,:) and dH/ds(2,:)   
% jacob(2,2) : Jacobian matrix  
% det_j      : Determinant of Jacobian  
% dHxy(2,4) : dH/dx(1,:) and dH/dy(2,:)   
% -----  
  
function [det_j, dHxy]=DHxy_Matrix(r, s, node)  
  
    [dHrs] = DHrs_Matrix(r,s);  
    jacob = dHrs * node;  
    det_j = jacob(1,1) * jacob(2,2) - jacob(1,2) * jacob(2,1);  
  
    jacob(1,2) = -jacob(1,2);  
    jacob(2,1) = -jacob(2,1);  
    buf = jacob(1,1);  
    jacob(1,1) = jacob(2,2);  
    jacob(2,2) = buf;  
    jacob = jacob / det_j;  
  
    dHxy = jacob * dHrs;  
  
end
```

$$\begin{pmatrix} \frac{\partial h_i}{\partial x} \\ \frac{\partial h_i}{\partial y} \end{pmatrix} = \mathbf{J}^{-1} \begin{pmatrix} \frac{\partial h_i}{\partial r} \\ \frac{\partial h_i}{\partial s} \end{pmatrix}$$

### H\_Matrix.m

```
% -----  
% H matrix and determinant of Jacobian  
% -----  
% jacob(2,2) : Jacobian matrix  
% -----  
  
function [H, det_j]=H_Matrix(r, s, node)  
  
    % shape function (H)  
    [H]=Shape_Function(r, s);  
  
    % dHrs = deriavtive of shape functions (dH/dr, dH/ds)  
    [dHrs]=DHrs_Matrix(r, s);  
  
    % Jacob = Jacobian matrix  
    jacob = dHrs * node;  
  
    % determinant of Jacobian matrix  
    det_j = jacob(1,1) * jacob(2,2) - jacob(1,2) * jacob(2,1);  
  
end
```

### Strain\_Displacement.m

```
% -----  
% Calculate B matrix  
% -----  
% det_j      : Determinant of Jacobian  
% dHxy(2,4) : dH/dx(1,:) and dH/dy(2,:)   
% node(4,2) : Nodal positions of element  
% -----  
  
function [det_j, B]=Strain_Displacement(r, s, node)  
  
    [det_j, dHxy] = DHxy_Matrix(r, s, node);  
  
    B = 0;  
    B(1,1:4)=dHxy(1,1:4);  
  
    B(2,5:8)=dHxy(2,1:4);  
  
    B(3,1:4)=dHxy(2,1:4);  
    B(3,5:8)=dHxy(1,1:4);  
  
end
```

$$\mathbf{B}^{(m)} = \begin{bmatrix} \frac{\partial h_i}{\partial x} & 0 \\ 0 & \frac{\partial h_i}{\partial y} \\ \frac{\partial h_i}{\partial y} & \frac{\partial h_i}{\partial x} \end{bmatrix}$$

### Material\_Law.m

```
% -----  
% Construct Material_law matrix  
% -----  
% young : Young's modulus  
% poisson : Poisson's ratio  
% -----  
  
function [C]=Material_Law(young, poisson)  
  
    C(1,1) = young / (1.0 - poisson^2);  
    C(2,2) = C(1,1);  
    C(1,2) = poisson * C(1,1);  
    C(2,1) = C(1,2);  
    C(3,3) = 0.5 * young / (1.0 + poisson);  
  
End
```

$$\mathbf{C}^{(m)} = \begin{bmatrix} \frac{E}{1-\nu^2} & \frac{\nu E}{1-\nu^2} \\ \frac{\nu E}{1-\nu^2} & \frac{E}{1-\nu^2} \\ & & \frac{E}{2(1+\nu)} \end{bmatrix}$$

### Plane\_Stiffness.m

```
% -----  
% Calculate local stiffness matrix  
% -----  
% C(3,3) : Material law matrix  
% det_j : Determinant of Jacobian  
% Ke(8,8) : Stiffness of element  
% node(4,2) : Nodal positions of element  
% -----  
  
function [Ke]=Plane_Stiffness(young, poisson, thick, node)  
% Calculate material matrix  
[C] = Material_Law(young, poisson);  
Ke = zeros(8,8);  
  
% 2 by 2 Gauss integration  
for i=1:1:2  
    for j=1:1:2  
  
        % Gauss interation point and weight factor  
        [r, s, weight]=Gauss22_Point(i,j);  
  
        % calculate determinant of Jacobian and B-matrix  
        [det_j, B]=Strain_Displacement(r, s, node);  
  
        % integration  
        Ke = Ke + weight * thick * transpose(B)*C*B * det_j;  
    end  
end  
end
```

$$\mathbf{K}^{(m)} = \int_{V^{(m)}} \mathbf{B}^{(m)T} \mathbf{C}^{(m)} \mathbf{B}^{(m)} dV$$

$$\mathbf{B}_{ij} = \mathbf{B}(r_i, r_j)$$

$$(\det \mathbf{J})_{ij} = \det \mathbf{J}(r_i, r_j)$$

$$\mathbf{K}^{(m)} = t \sum w_{ij} \mathbf{B}_{ij}^{(m)T} \mathbf{C}^{(m)} \mathbf{B}_{ij}^{(m)} (\det \mathbf{J})_{ij}$$

### Plane\_Load.m

```
% -----  
% Calculate load vector  
% -----  
% nodal_load(8,1) : Nodal load vector of element  
% det_j          : Determinant of Jacobian  
% q(2)           : Nodal load of element  
% node(4,2)      : Nodal positions of element  
% -----  
  
function [nodal_load]=Plane_Load(node, q)  
  
    nodal_load=zeros(8,1);  
  
    % numerical integration  
    for i=1:1:2  
        for j=1:1:2  
  
            % Gauss points  
            [r, s, weight]=Gauss22_Point(i,j);  
  
            % determinant of Jacobian and shape function (H)  
            [H, det_j]=H_Matrix(r, s, node);  
  
            % equivalent nodal load vector  
            nodal_load(1:4) = nodal_load(1:4) + weight*abs(det_j)*H(:)*q(1);  
            nodal_load(5:8) = nodal_load(5:8) + weight*abs(det_j)*H(:)*q(2);  
        end  
    end  
end
```

$$\begin{aligned}\mathbf{R}^{(m)} &= \int_{V^{(m)}} \mathbf{H}^{(m)T} \mathbf{f}^{(m)} dV \\ &= \sum w_{ij} \mathbf{H}_{ij}^{(m)T} \mathbf{f}^{(m)} (\det \mathbf{J})_{ij}\end{aligned}$$

## Assemble\_K.m

```
% -----  
% Assemble stiffness matrix  
% -----  
% K(free_dof_n,free_dof_n) : Total stiffness matrix  
% free_dof_n : # of free DOFs  
% element_n : # of elements  
% node_x(node_n,2) : Nodal positions  
% element_cn(element_n,4) : Element connectivity  
% material_young : Young's modulus  
% material_poisson : Poisson's ratio  
% element_thickness : Element thickness  
% node_eqn(node_n,6) : Equation numbers assigned at nodes  
% eqn(8) : Equation number vector of element  
% enode(4,2) : Nodal positions of element  
% -----  
function [K]=Assemble_K(free_dof_n, element_n, node_x, element_cn, material_young, material_poisson, element_thickness, node_eqn)  
K = zeros(free_dof_n);  
fid = fopen('stiffness.txt','w');  
for i=1:element_n  
    % extract element nodal position  
    for j=1:1:4  
        for k=1:1:2  
            enode(j,k) = node_x(element_cn(i,j),k);  
        end  
    end  
    % calculate Ke (stiffness of each element)  
    [Ke]=Plane_Stiffness(material_young, material_poisson, element_thickness, enode);  
    fprintf(fid, '\r\n Stiffness matrix of element : ');  
    fprintf(fid, '%g \r\n', i);  
    fprintf(fid, '-----\r\n');  
    for j=1:1:8  
        fprintf(fid, '%e %e %e %e %e %e %e %e \r\n', Ke(j,:));  
    end  
    eqn(1:4) = node_eqn(element_cn(i,1:4),1);  
    eqn(5:8) = node_eqn(element_cn(i,1:4),2);  
  
    % assemble total stiffness matrix  
    for j=1:8  
        for k=1:8  
            if (eqn(j)<=free_dof_n) && (eqn(k)<=free_dof_n)  
                K(eqn(j),eqn(k))=K(eqn(j),eqn(k))+Ke(j,k);  
            end  
        end  
    end  
end  
fclose(fid);  
end
```

Local DOF → Global DOF

← Direct stiffness method

### Assemble\_Load.m

```
% -----  
% Assemble Load vector  
% -----  
% node_n           : # of nodes  
% element_n        : # of elements  
% node_x(node_n,2) : Nodal positions  
% element_cn(element_n,4) : Element connectivity  
% element_q(element_n,2) : Uniformly distributed load on element  
% node_eqn(node_n,6) : Equation numbers assigned at nodes  
% node_pm(node_n,6) : Nodal force BC  
% enode(4,2)       : Nodal positions of element  
% -----  
  
function [R]=Assemble_Load(node_n, element_n, node_x, element_cn, element_q, node_eqn, node_pm)  
  
    % assemble load vector for nodal load  
    for i=1:1:node_n  
        for j=1:1:2  
            R(node_eqn(i,j)) = node_pm(i,j);  
        end  
    end  
  
    % assemble load vector for body force  
    for i=1:1:element_n  
  
        % nodal position of element  
        for j=1:1:4  
            for k=1:1:2  
                enode(j,k) = node_x(element_cn(i,j),k);  
            end  
        end  
  
        % calculate equivalent nodal load from body force  
        [nodal_load]=Plane_Load(enode,element_q(i,:));  
  
        % assemble load vector  
        for j=1:1:2  
            for k=1:1:4  
                R(node_eqn(element_cn(i,k),j)) = R(node_eqn(element_cn(i,k),j)) + nodal_load(4*j+k-4);  
            end  
        end  
    end  
  
end
```

### Solve\_Equations.m

```
% -----  
% Solve equations  
% -----  
% K(free_dof_n,free_dof_n) : Stiffness matrix  
% R(free_dof_n)           : Load vector  
% U(free_dof_n)           : Displacement vector  
% -----  
  
function [U]=Solve_Equations(K, R, free_dof_n)  
    % solve equations  
    U = K\transpose(R(1:free_dof_n));  
end
```

$$\mathbf{U} = \mathbf{K}^{-1}\mathbf{R}$$

### Nodal\_Displacement.m

```
% -----  
% Obtain nodal displacement  
% -----  
% n_displace(node_n,2) : Displacement for post process  
% -----  
  
function [n_displace] = Nodal_Displacement(node_n, node_eqn, free_dof_n, U)  
n_displace = zeros(node_n,2);  
for i =1:node_n  
    for j= 1:2  
        if node_eqn(i,j) <= free_dof_n  
            n_displace(i,j) = U(node_eqn(i,j));  
        end  
    end  
end  
end  
  
end
```

### Stress\_Calculation.m

```
% -----  
% Calculate stress  
% -----  
% C(3,3) : Material law matrix  
% enode(4,2) : Nodes of element  
% ele_U(8) : Element nodal displacement  
% -----  
  
function [stress]=Stress_Calculation(young, poisson, row_U, enode)  
  
r4(1) = 1.0;  
r4(2) = -1.0;  
r4(3) = -1.0;  
r4(4) = 1.0;  
  
s4(1) = 1.0;  
s4(2) = 1.0;  
s4(3) = -1.0;  
s4(4) = -1.0;  
  
% calculate a material matrix  
[C]=Material_Law(young, poisson);  
  
for i=1:1:2  
  
    for j=1:1:2  
  
        % set positions where stresses are out  
        r = r4((i-1)*2+j); % at nodal positions of element  
        s = s4((i-1)*2+j);  
  
        % calculate B-matrix  
        [buf_det, B]=Strain_Displacement(r, s, enode);  
  
        % calculate stresses  
        stress(i*2+j-2,:)=C*B*transpose(ele_U);  
    end  
  
end  
  
end
```

$$\boldsymbol{\tau}^{(m)}(r, s) = \mathbf{C}^{(m)} \mathbf{B}^{(m)}(r, s) \mathbf{U}^{(m)}$$

## Stress\_Post.m

```
% -----  
% Stress post processing  
% -----  
% element_n          : # of elements  
% node_x(node_n,2)   : Nodal postions  
% element_cn(element_n,4) : Element connectivity  
% material_young      : Young's modulus  
% material_poisson    : Poisson's ratio  
% displ(node_n,2)     : Nodal displacement matrix  
% enode(4,2)          : Nodal positions of element  
% ele_U(8)           : Element nodal displacement  
% -----  
  
function Stress_Post(element_n, node_x, element_cn, material_young, material_poisson, displ, fid)  
  
for i=1:1:element_n  
  
    % nodal position of element  
    for j=1:1:4  
        for k=1:1:2  
            enode(j,k) = node_x(element_cn(i,j),k);  
        end  
    end  
  
    eqn(1:4) = displ(element_cn(i,1:4),1);  
    eqn(5:8) = displ(element_cn(i,1:4),2);  
  
    % calculate stress of element  
    [stress]=Stress_Calculation(material_young, material_poisson, ele_U, enode);  
  
    fprintf(fid, '\r\n Stress of Element : %g\r\n',i);  
    fprintf(fid, '-----\r\n');  
    fprintf(fid, 'Node      Sxx          Syy          Sxy\r\n');  
    for j=1:4  
        fprintf(fid, '%g      %e      %e      %e\r\n',element_cn(i,j),stress(j,1),stress(j,2),stress(j,3));  
    end  
end  
  
fclose(fid);  
  
end
```

## Write\_Output.m

```
% -----  
% Write the output file  
% -----  
% free_dof_n      : # of free DOFs  
% element_n      : # of elements  
  
% U(free_dof_n)   : Displacement vector  
% K(free_dof_n,free_dof_n) : Stiffness matrix  
% n_displace(node_n,2) : Displacement for post process  
% node_bc(node_n,6)  : Nodal displacement BC  
% node_x(node_n,2)   : Nodal positions  
  
% element_cn(element_n,4) : Element connectivity  
% material_young      : Young's modulus  
% material_poisson    : Poisson's ratio  
% -----  
  
function Write_Output(U, K, node_n, node_bc, n_displace, element_n, node_x, element_cn, material_young, material_poisson)  
fid = fopen('results.txt','w');  
fprintf(fid, '*****\r\n');  
fprintf(fid, '*           Plane Stress Element           *\r\n');  
fprintf(fid, '*****\r\n\r\n');  
  
fprintf(fid, 'EQUATION NUMBER\r\n');  
fprintf(fid, '-----\r\n');  
fprintf(fid, 'node  DOF  eqn\r\n');  
fn = 0;  
    for i=1:1:node_n  
        for j=1:1:6  
            if node_bc(i,j) == 0  
                fn = fn + 1;  
                fprintf(fid, '%g      %g      %g \r\n', i, j, fn);  
            end  
        end  
    end  
    fprintf(fid, '\r\n');  
    fprintf(fid, 'Strain Energy : ');  
    fprintf(fid, '%e\r\n\r\n', 0.5*U'*K*U);  
  
    fprintf(fid, '           Displacement\r\n');  
    fprintf(fid, '-----\r\n');  
    fprintf(fid, 'Node      Dx           Dy\r\n');  
    for i=1:node_n  
        fprintf(fid, '%g      %e      %e\r\n', i, n_displace(i,1), n_displace(i,2));  
    end  
    Stress_Post(element_n, node_x, element_cn, material_young, material_poisson, n_displace, fid);  
end
```

## Plot\_Result.m

```
% -----  
% Plot initial and deformed configurations with stress  
% -----  
% n_displace(node_n,2) : Nodal displacement for post process  
% node_x(node_n,2) : Nodal positions  
% element_n : # of elements  
% element_cn(element_n,4) : Element connectivity  
% material_young : Young's modulus  
% material_poisson : Poisson's ratio  
% -----  
  
function Plot_Result(n_displace, node_x, element_n, element_cn, material_young, material_poisson)  
  
% m : scale factor  
m = 2000;  
  
current_node(:, :) = m*n_displace(:, :) + node_x(:, :);  
% Deformed configuration plot  
for i=1:element_n  
    for j=1:4  
        for k=1:2  
            enode(j,k) = current_node(element_cn(i,j),k);  
        end  
    end  
    for j=1:4  
        for k=1:2  
            enode2(j,k) = node_x(element_cn(i,j),k);  
        end  
    end  
  
    row_U(1:4) = n_displace(element_cn(i,1:4),1);  
    row_U(5:8) = n_displace(element_cn(i,1:4),2);  
  
    % Nodal position information  
    xx = [enode(1,1) enode(2,1) enode(3,1) enode(4,1)];  
    yy = [enode(1,2) enode(2,2) enode(3,2) enode(4,2)];  
    zz = [1 1 1 1]';  
    [stress]=Stress_Calculation(material_young, material_poisson, row_U, enode2);  
  
    % Stress components  
    for j=1:4  
        sxx(j) = stress(j,1);  
        syy(j) = stress(j,2);  
        sxy(j) = stress(j,3);  
    end  
    % choose the component (sxx or syy or sxy)  
    %S = [sxx(1) sxx(2) sxx(3) sxx(4)];
```

```

S = [syy(1) syy(2) syy(3) syy(4)];
S = [sxy(1) sxy(2) sxy(3) sxy(4)];
patch(xx,yy,S,'Marker','o','EdgeColor','g','LineWidth',1);
hold on;
end

% Initial configuration plot
for i=1:element_n
    for j=1:4
        for k=1:2
            enode(j,k) = node_x(element_cn(i,j),k);
        end
    end
end

xx = [enode(1,1) enode(2,1) enode(3,1) enode(4,1)];
yy = [enode(1,2) enode(2,2) enode(3,2) enode(4,2)];
colormap jet;
patch(xx,yy,zeros(size(xx)),'Marker','o','EdgeColor','black','LineWidth',3,'FaceColor','none');
colorbar
caxis([-3 3])

set(gca,'xlim',[-0.5 2.5]);
set(gca,'ylim',[-0.5 1.5]);
pbaspect([3, 2, 1]);
end

end

```

**stiffness.txt**

Siffness matrix of element : 1

```
-----  
+4.945055e+04 -3.021978e+04 -2.472527e+04 +5.494506e+03 +1.785714e+04 -1.373626e+03 -1.785714e+04 +1.373626e+03  
-3.021978e+04 +4.945055e+04 +5.494506e+03 -2.472527e+04 +1.373626e+03 -1.785714e+04 -1.373626e+03 +1.785714e+04  
-2.472527e+04 +5.494506e+03 +4.945055e+04 -3.021978e+04 -1.785714e+04 +1.373626e+03 +1.785714e+04 -1.373626e+03  
+5.494506e+03 -2.472527e+04 -3.021978e+04 +4.945055e+04 -1.373626e+03 +1.785714e+04 +1.373626e+03 -1.785714e+04  
+1.785714e+04 +1.373626e+03 -1.785714e+04 -1.373626e+03 +4.945055e+04 +5.494506e+03 -2.472527e+04 -3.021978e+04  
-1.373626e+03 -1.785714e+04 +1.373626e+03 +1.785714e+04 +5.494506e+03 +4.945055e+04 -3.021978e+04 -2.472527e+04  
-1.785714e+04 -1.373626e+03 +1.785714e+04 +1.373626e+03 -2.472527e+04 -3.021978e+04 +4.945055e+04 +5.494506e+03  
+1.373626e+03 +1.785714e+04 -1.373626e+03 -1.785714e+04 -3.021978e+04 -2.472527e+04 +5.494506e+03 +4.945055e+04
```

Siffness matrix of element : 2

```
-----  
+7.692308e+04 -5.769231e+04 -3.571429e+04 +1.648352e+04 +2.747253e+04 -1.098901e+04 -2.197802e+04 +5.494505e+03  
-5.769231e+04 +6.730769e+04 +1.648352e+04 -2.609890e+04 -8.241758e+03 -8.241758e+03 +2.747253e+03 +1.373626e+04  
-3.571429e+04 +1.648352e+04 +5.631868e+04 -3.708791e+04 -2.197802e+04 +5.494505e+03 +2.472527e+04 -8.241758e+03  
+1.648352e+04 -2.609890e+04 -3.708791e+04 +4.670330e+04 +2.747253e+03 +1.373626e+04 -5.494505e+03 -1.098901e+04  
+2.747253e+04 -8.241758e+03 -2.197802e+04 +2.747253e+03 +7.142857e+04 -1.648352e+04 -3.846154e+04 -1.648352e+04  
-1.098901e+04 -8.241758e+03 +5.494505e+03 +1.373626e+04 -1.648352e+04 +4.395604e+04 -1.648352e+04 -1.098901e+04  
-2.197802e+04 +2.747253e+03 +2.472527e+04 -5.494505e+03 -3.846154e+04 -1.648352e+04 +5.494505e+04 +3.748069e-06  
+5.494505e+03 +1.373626e+04 -8.241758e+03 -1.098901e+04 -1.648352e+04 -1.098901e+04 +3.748070e-06 +2.747253e+04
```

results.txt

\*\*\*\*\*  
\* Plane Stress Element \*  
\*\*\*\*\*

EQUATION NUMBER

-----

node	DOF	eqn
2	1	1
2	2	2
3	1	3
3	2	4
4	2	5
5	1	6
5	2	7
6	1	8
6	2	9

Strain Energy : 1.076086e-04

Displacement

-----

Node	Dx	Dy
1	+0.000000e+00	+0.000000e+00
2	-1.409486e-05	-8.488085e-05
3	+1.148395e-07	-1.710452e-04
4	+0.000000e+00	-3.123749e-05
5	+6.005329e-05	-8.550479e-05
6	+7.488516e-05	-1.334563e-04

Stress of Element : 1

-----

Node	Sxx	Syy	Sxy
5	+6.578693e+00	+1.911214e+00	+7.646482e-01
4	+5.569455e+00	-1.452913e+00	-2.087204e+00
1	-2.578693e+00	-3.897357e+00	-3.264648e+00
2	-1.569455e+00	-5.332300e-01	-4.127963e-01

Stress of Element : 2

-----

Node	Sxx	Syy	Sxy
6	+2.918128e+00	-1.608224e-01	-2.423409e-01

5	+3.239183e+00	+9.093613e-01	-8.367272e-01
2	+1.540936e+00	+3.998873e-01	-4.621629e-01
3	+1.380409e+00	-1.352045e-01	-1.649697e-01

